

## MATH PRELIMINARIES

The wave equation: when we come to consider Maxwell's equations in generality we'll find fields satisfy an equation of the form

$$\left[ \nabla^2 - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \right] \psi(\vec{r}, t) = f(\vec{r}, t).$$

First up let's consider the one-dimensional version of this equation without a source term ( $f=0$ )

$$\left[ \frac{\partial^2}{\partial x^2} - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \right] \psi(x, t) = 0$$

which can be easily solved by changing variables to  $\xi = x+ct$ ,  $\eta = x-ct$

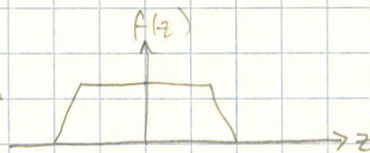
since then  $\frac{\partial}{\partial x} \Big|_t = \frac{\partial \xi}{\partial x} \frac{\partial}{\partial \xi} + \frac{\partial \eta}{\partial x} \frac{\partial}{\partial \eta} = \frac{\partial}{\partial \xi} + \frac{\partial}{\partial \eta}$

& similarly  $\left( \frac{\partial}{\partial t} \right)_x = \frac{\partial}{\partial \xi} - \frac{\partial}{\partial \eta}$  so that  $\frac{\partial^2}{\partial x^2} - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} = 4 \frac{\partial^2}{\partial \xi \partial \eta}$

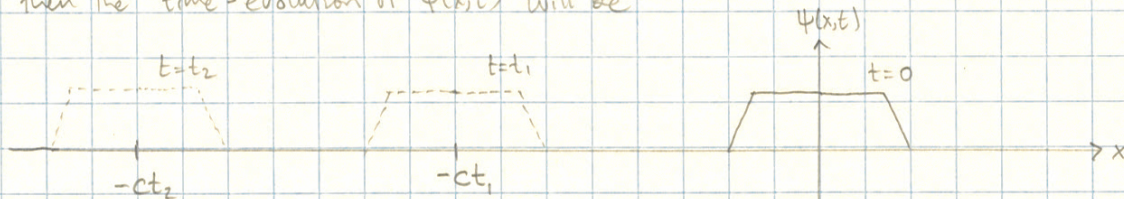
and thus  $\frac{\partial^2 \psi(\xi, \eta)}{\partial \xi \partial \eta} = 0$  which is solved by  $\psi = f(\xi) + g(\eta)$

or  $\psi(x, t) = f(x+ct) + g(x-ct)$  where  $f, g$  are any well-behaved functions.

e.g. suppose  $f(z)$  is a localized function  
( $g(z)=0$ )



then the time-evolution of  $\psi(x, t)$  will be



& the "bump" is observed to move left at a speed  $c$

Similarly  $g(x-ct)$  corresponds to disturbances moving to the right at speed  $c$ .

Generalizing to three space dimensions,  $f(x \pm ct)$  is still a solution to  $\left( \nabla^2 - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \right) \psi(\vec{r}, t) = 0$   
- it's a particular solution called a "plane-wave" since every value of  $y, z$  has the same amplitude.



Returning to the origin problem, in three-dimensions, with a source,

$$\left[ \nabla^2 - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \right] \psi(\vec{r}, t) = f(\vec{r}, t),$$

We can seek a general solution to this equation using Green's functions:

$$\text{if we can solve } \left[ \nabla_r^2 - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \right] G(\vec{r}, t; \vec{r}', t') = -4\pi \delta^{(3)}(\vec{r} - \vec{r}') \delta(t - t') \quad [G]$$

for  $G(\vec{r}, t; \vec{r}', t')$  we can construct a solution as

$$\psi(\vec{r}, t) = -\frac{1}{4\pi} \int d^3\vec{r}' dt' G(\vec{r}, t; \vec{r}', t') f(\vec{r}', t') + \varphi(\vec{r}, t) \quad [S] \quad \left[ \text{assuming only boundaries at } \infty \right]$$

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where the solutions to the homogeneous eqn  $\left[ \nabla^2 - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \right] \varphi = 0$  will be explored along the way.

Our task then is to solve [G] - first we note that we can change variables to  $\vec{\rho} = \vec{r} - \vec{r}'$ ,  $\tau = t - t'$  & that  $G(\vec{\rho}, \tau)$  can only depend upon  $|\vec{\rho}|$

$$\left[ \nabla_{\rho}^2 - \frac{1}{c^2} \frac{\partial^2}{\partial \tau^2} \right] G(\rho, \tau) = -4\pi \delta(\vec{\rho}) \delta(\tau)$$

$$\& \nabla^2 G = \frac{1}{\rho^2} \frac{\partial}{\partial \rho} \left( \rho^2 \frac{\partial G}{\partial \rho} \right) = \frac{2}{\rho} \frac{\partial G}{\partial \rho} + \frac{\partial^2 G}{\partial \rho^2} = \frac{1}{\rho} \frac{\partial^2}{\partial \rho^2} (\rho G)$$

$$\text{so } \frac{1}{\rho} \left[ \frac{\partial^2}{\partial \rho^2} - \frac{1}{c^2} \frac{\partial^2}{\partial \tau^2} \right] (\rho G) = -4\pi \delta(\vec{\rho}) \delta(\tau)$$

this is the one-dim wave eqn we already studied

so, except at  $\vec{\rho} = \vec{0}$ , we have  $\rho G(\rho, \tau) = g_{\pm}(\tau \pm \rho/c)$  for any functions  $g_{\pm}(s)$

$$\text{now } \nabla^2 \left( \frac{g_{\pm}}{\rho} \right) = \vec{\nabla} \cdot \left[ \frac{1}{\rho} \vec{\nabla} g + g \vec{\nabla} \frac{1}{\rho} \right] = -\frac{\hat{r}}{\rho^2} \cdot \vec{\nabla} g + \frac{1}{\rho} \nabla^2 g - \frac{\hat{r}}{\rho^2} \cdot \vec{\nabla} g + g \nabla^2 \left( \frac{1}{\rho} \right)$$

$$= -\frac{2}{\rho^2} \frac{\partial}{\partial \rho} g + \frac{1}{\rho} \left( \frac{2}{\rho} \frac{\partial g}{\partial \rho} + \frac{\partial^2 g}{\partial \rho^2} \right) + g \cdot (-4\pi \delta^{(3)}(\vec{\rho})) = \frac{1}{\rho} \frac{\partial^2 g}{\partial \rho^2} - 4\pi \delta(\vec{\rho}) g$$

$$\text{but } \frac{\partial g}{\partial \rho} = \frac{\partial s}{\partial \rho} \frac{d}{ds} g(s) = \pm \frac{1}{c} g'$$

$$\text{so } \nabla^2 \left( \frac{g_{\pm}}{\rho} \right) = \frac{1}{c^2} \frac{1}{\rho} g''_{\pm} - 4\pi \delta(\vec{\rho}) g_{\pm}$$

$$s = \tau \pm \rho/c$$

$$\left[ \nabla^2 - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \right] \left[ \frac{g_{\pm}}{r} \right] = \frac{1}{c^2} \frac{1}{r} g_{\pm}'' - 4\pi \delta(\vec{r}) g_{\pm} - \frac{1}{c^2} \frac{1}{r} g_{\pm}$$

$$\left[ \nabla^2 - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \right] \left( \frac{g_{\pm}}{\rho} \right) = -4\pi \delta(\vec{r}) g_{\pm} \quad \text{which is to be compared to}$$

$$\left[ \nabla^2 - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \right] G(\rho, \tau) = -4\pi \delta(\vec{r}) \delta(\tau) \quad \text{in the limit } \rho \rightarrow 0$$

$$\text{so } G_{\pm}(\rho, \tau) = \frac{1}{\rho} \delta(\tau \pm \rho/c)$$

$$\text{\& thus } \underline{G_{\pm}(\vec{r}, t; \vec{r}', t')} = \frac{1}{|\vec{r} - \vec{r}'|} \delta\left(t - t' \pm \frac{1}{c} |\vec{r} - \vec{r}'|\right)$$

→ Consider [S] with the choice  $G_-$ , then

$$\psi(\vec{r}, t) = \varphi(\vec{r}, t) - \frac{1}{4\pi} \int d^3\vec{r}' \frac{1}{|\vec{r} - \vec{r}'|} f(\vec{r}', t - \frac{1}{c} |\vec{r} - \vec{r}'|) \quad [R]$$

the "retarded" solution.

and we see that the amplitude at  $\vec{r}$  at time  $t$  is caused by the action of the source at  $\vec{r}'$  at an earlier time,  $t' = t - \frac{|\vec{r} - \vec{r}'|}{c}$ ,

i.e. we must account for the finite time for the disturbance to propagate from  $\vec{r}'$  to  $\vec{r}$ .

An interpretation of  $\varphi(\vec{r}, t)$  comes if we suppose that at some very early time ( $t \rightarrow -\infty$  in the way we've done this), there was no source,  $f=0$ , then  $\varphi(\vec{r}, t)$  is an "incoming wave".

→ the other option,  $G_+$ , gives

$$\psi(\vec{r}, t) = \varphi(\vec{r}, t) - \frac{1}{4\pi} \int d^3\vec{r}' \frac{1}{|\vec{r} - \vec{r}'|} f(\vec{r}', t + \frac{1}{c} |\vec{r} - \vec{r}'|)$$

the "advanced" solution

and we'll have less cause to use this possible solution

A convenient shorthand for [R] in the case of no incoming wave is

$$\psi(\vec{r}, t) = -\frac{1}{4\pi} \int d^3\vec{r}' \frac{[f(\vec{r}', t')]_{\text{ret}}}{|\vec{r} - \vec{r}'|} \quad \text{where } [ ]_{\text{ret}} \text{ means evaluate } t' \text{ at } t - \frac{1}{c} |\vec{r} - \vec{r}'|$$



The homogeneous or "free" wave equation,  $[\nabla^2 - \frac{1}{c^2} \frac{\partial^2}{\partial t^2}] \psi(\vec{r}, t) = 0$

has spherical wave solutions. We can obtain the Helmholtz equation by Fourier analyzing in time

$$\psi(\vec{r}, t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} d\omega \psi(\vec{r}, \omega) e^{-i\omega t}$$

Where for each frequency  $\omega$ ,  $(\nabla^2 + k^2) \psi(\vec{r}, \omega) = 0$   $[k^2 = \omega^2/c^2]$

Spherically symmetric solutions,  $\psi(r, \omega)$ , follow from  $\nabla^2 \psi(r, \omega) = \frac{1}{r} \frac{d^2}{dr^2} (r\psi)$

$$\frac{1}{r} \frac{d^2}{dr^2} (r\psi) = -k^2 \psi \rightarrow \frac{d^2}{dr^2} (r\psi) = -k^2 (r\psi) \Rightarrow r\psi = e^{\pm ikr}$$
$$\& \psi(r, \omega) = \frac{1}{r} e^{\pm ikr}$$

and for each frequency  $\omega$  we have a spherical wave  $\psi(r, t) \sim \frac{1}{r} e^{\pm ikr - i\omega t}$

Consider a point of constant phase  $\phi = \pm kr - \omega t$

$$\frac{d\phi}{dt} = 0 = \pm k \frac{dr}{dt} - \omega \Rightarrow \frac{dr}{dt} = \pm \omega/k \quad \text{"phase velocity"}$$

$\Rightarrow +$  case = outgoing wave  
 $-$  case = incoming wave

We can also find solutions to  $\left[\nabla^2 - \frac{1}{c^2} \frac{\partial^2}{\partial t^2}\right] \psi(\vec{r}, t) = 0$  that are not spherically symmetric. A Fourier analysis in time gives the homogeneous Helmholtz equation

$$(\nabla^2 + k^2) \psi(\vec{r}, \omega) = 0 \quad (k^2 = \omega^2/c^2)$$

& we can express  $\nabla^2$  in spherical polar coordinates

$$\nabla^2 = \frac{1}{r^2} \frac{d}{dr} \left( r^2 \frac{d}{dr} \right) + \frac{1}{r^2} \left[ \frac{1}{\sin \theta} \frac{d}{d\theta} \left( \sin \theta \frac{d}{d\theta} \right) + \frac{1}{\sin^2 \theta} \frac{d^2}{d\phi^2} \right]$$

but we already know the eigenfunctions of the operator in square brackets are the  $Y_{\ell m}(\theta, \phi)$ . So we may separate our solution as

$$\psi(\vec{r}, \omega) = \sum_{\ell m} f_{\ell m}(r) Y_{\ell m}(\theta, \phi),$$

and the  $f_{\ell m}(r)$  functions satisfy the differential equation

$$\left[ \frac{d^2}{dr^2} + \frac{2}{r} \frac{d}{dr} - \frac{\ell(\ell+1)}{r^2} + k^2 \right] f_{\ell m}(r) = 0 \quad (\text{n.B. independent of } m)$$

changing to  $f_{\ell m}(r) = \frac{1}{\sqrt{r}} u_{\ell}(r)$  we find  $u_{\ell}'' + \frac{1}{r} u_{\ell}' + \left( k^2 - \frac{(\ell+1/2)^2}{r^2} \right) u_{\ell} = 0$

defining  $x = kr$  we have  $x^2 \frac{d^2 u}{dx^2} + x \frac{du}{dx} + (x^2 - p^2) u = 0$  with  $p = \ell + 1/2$

which is Bessel's equation. It follows that

$$f_{\ell m}(r) = A_{\ell m} \frac{1}{r^{1/2}} J_{\ell+1/2}(kr) + B_{\ell m} \frac{1}{r^{1/2}} N_{\ell+1/2}(kr)$$

It's convenient to define a class of special functions called the spherical Bessel & spherical Hankel functions:

$$j_{\ell}(x) \equiv \left( \frac{\pi}{2x} \right)^{1/2} J_{\ell+1/2}(x) \quad ; \quad n_{\ell}(x) \equiv \left( \frac{\pi}{2x} \right)^{1/2} N_{\ell+1/2}(x)$$

$$h_{\ell}^{(1,2)}(x) \equiv j_{\ell}(x) \pm i n_{\ell}(x)$$

$$\text{e.g. } j_0(x) = \frac{\sin x}{x} \quad ; \quad n_0(x) = -\frac{\cos x}{x} \quad ; \quad h_0^{(1)}(x) = \frac{e^{-ix}}{ix}$$

$$j_1(x) = \frac{\sin x}{x^2} - \frac{\cos x}{x} \quad ; \quad n_1(x) = -\frac{\cos x}{x^2} - \frac{\sin x}{x} \quad ; \quad h_1^{(1)}(x) = -\frac{e^{-ix}}{x} \left( 1 + \frac{i}{x} \right)$$



## MONOCHROMATIC WAVES IN 1-D

$$\left(\frac{\partial^2}{\partial x^2} - \frac{1}{c^2} \frac{\partial^2}{\partial t^2}\right) \psi(x,t) = 0 \quad [W] \quad \psi(x,t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} d\omega e^{-i\omega t} \psi(x,\omega)$$

$$\Rightarrow \left(\frac{\partial^2}{\partial x^2} + \frac{\omega^2}{c^2}\right) \psi(x,\omega) = 0$$

$\Rightarrow$  a basis of solutions is  $\psi(x,t) \sim e^{\pm ikx - i\omega t}$  with  $k = \omega/c$

these are monochromatic waves with phase velocity  $v_p = \frac{\omega}{k} = c$ .

## SUPERPOSITION OF MONOCHROMATIC WAVES

We can write a general solution of the wave equation as a superposition of monochromatic waves

$$\psi(x,t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} dk A(k) e^{ikx - i\omega t}$$

Now in practice we'll find that inside some materials, waves do not propagate according to the wave eqn [W] but rather in a way that can be described by having a relationship between  $k$  &  $\omega$  that is not as simple as  $k = \omega/c$ .

Allowing a more general form for  $k(\omega)$ , or equivalently  $\omega(k)$  is called DISPERSION. For this first discussion we'll insist that  $\omega(k)$  is a REVE function, and since  $\omega$  can't depend on whether the wave is left-travelling or right-travelling:  $\omega(-k) = \omega(k)$ .

our general wave is then  $\psi(x,t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} dk A(k) e^{ikx - i\omega(k)t}$

(N.B. we've integrated a 2nd order diff eqn - here should be two constants - they are  $\text{Re}(A), \text{Im}(A)$  for  $A$  complex)

But physical solutions for classical waves are real & we must remember to take the real part of this expression

$\phi = \text{Re } \psi = \frac{1}{2} (\psi + \psi^*)$

to get an expression for  $A(k)$  consider  $\psi(x,0) = \frac{1}{2\pi} \int_{-\infty}^{\infty} dk A(k) e^{ikx}$

$$\& \frac{\partial \psi}{\partial t}(x,0) = \frac{-i}{2\pi} \int_{-\infty}^{\infty} dk A(k) e^{ikx} \omega(k)$$

$$\& \int_{-\infty}^{\infty} dx e^{-ik'x} \phi(x,0) = \frac{1}{2} \left[ \frac{1}{2\pi} \int_{-\infty}^{\infty} dk A(k) \int_{-\infty}^{\infty} dx e^{i(k-k')x} + \frac{1}{2\pi} \int_{-\infty}^{\infty} dk A^*(k) \int_{-\infty}^{\infty} dx e^{-i(k+k')x} \right]$$

$$= \frac{1}{2} (A(k') + A^*(-k'))$$

$$\int_{-\infty}^{\infty} dx e^{-ik'x} \frac{\partial \phi}{\partial t}(x,0) = \frac{1}{2} \left[ \frac{-i}{2\pi} \int_{-\infty}^{\infty} dk A(k) \omega(k) \int_{-\infty}^{\infty} dx e^{i(k-k')x} + \frac{(-i)^*}{2\pi} \int_{-\infty}^{\infty} dk A^*(k) \omega^*(k) \int_{-\infty}^{\infty} dx e^{-i(k+k')x} \right]$$

$$= \frac{1}{2} \left[ -i A(k') \omega(k') + i A^*(-k') \omega(-k') \right] \quad (\omega \text{ is real})$$

$$= \frac{-i \omega(k')}{2} [A(k') - A^*(-k')]$$

$$\Rightarrow \int_{-\infty}^{\infty} dx e^{-ik'x} \left( \phi(x,0) + \frac{i}{\omega(k')} \frac{\partial \phi}{\partial t}(x,0) \right) = A(k')$$


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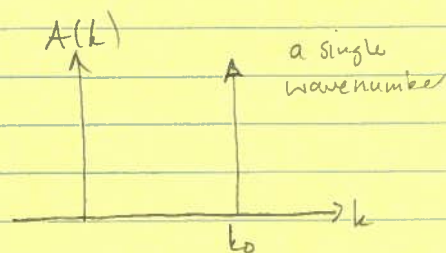


For a moment, let's not insist that our solutions are purely real, in which case we have

$$A(k) = \int_{-\infty}^{\infty} dx e^{-ikx} \psi(x, 0)$$

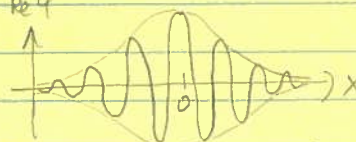
and if  $\psi(x, 0) = e^{ik_0 x}$  then  $A(k) = 2\pi \delta(k - k_0)$

$$\& \psi(x, t) = e^{ik_0 x - i\omega t}$$



But what if we start with a wave that is localized in space, e.g.

$$\psi(x, 0) = e^{ik_0 x} e^{-x^2/2a^2}$$

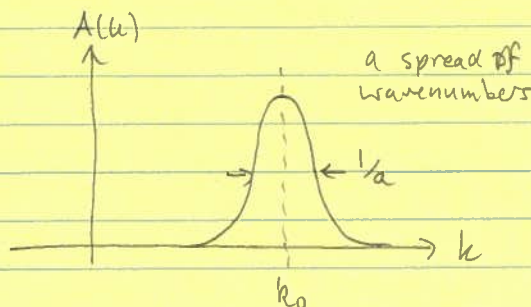


$$\text{then } A(k) = \int_{-\infty}^{\infty} dx e^{i(k_0 - k)x} e^{-x^2/2a^2} = \int_{-\infty}^{\infty} dx \exp\left[-\frac{1}{2a^2}(x^2 - 2a^2(k_0 - k)x)\right]$$

$$= \int_{-\infty}^{\infty} dx \exp\left[-\frac{1}{2a^2}(x - a^2(k_0 - k))^2 - \frac{a^2}{2}(k_0 - k)^2\right]$$

$$= e^{-a^2(k_0 - k)^2/2} \int_{-\infty}^{\infty} \frac{dx}{(\sqrt{2}a)} e^{-x'^2} \quad x' = x/\sqrt{2}a$$

$$= \sqrt{2\pi} a e^{-a^2(k_0 - k)^2/2}$$



we can examine what happens to  $\psi(x, t)$  approximately for any such peaked distribution - expand  $\omega(k)$  in a Taylor series around the peaking wavenumber,  $k_0$

$$\omega(k) = \underbrace{\omega(k_0)}_{\omega_0} + \left. \frac{d\omega}{dk} \right|_{k_0} (k - k_0) + \dots$$

then

$$\psi(x, t) \approx \frac{1}{2\pi} \int_{-\infty}^{\infty} dk A(k) e^{ikx} e^{-i[\omega_0 t + \left. \frac{d\omega}{dk} \right|_{k_0} (k - k_0)t + \dots]}$$

$$= \frac{1}{2\pi} e^{-i[\omega_0 - \left. \frac{d\omega}{dk} \right|_{k_0}]t} \int_{-\infty}^{\infty} dk A(k) e^{ik(x - \left. \frac{d\omega}{dk} \right|_{k_0} t)}$$



the integral here can be identified as  $\psi\left(x - \frac{dw}{dt}\bigg|_t, 0\right)$

and thus

$$\psi(x, t) \approx u\left(x - \frac{dw}{dt}\bigg|_t, 0\right) e^{i\left[\frac{dw}{dt}\bigg|_t - \omega_0\right]t}$$

and the original pulse translates undistorted (apart from a changing phase) with a velocity, called the "group velocity"

$$v_g \equiv \frac{dw}{dt}\bigg|_{\omega_0}$$

this is only a first approximation - in fact the effect of the neglected terms in the Taylor series is to distort by "spreading out" the wavepacket as it propagates.