

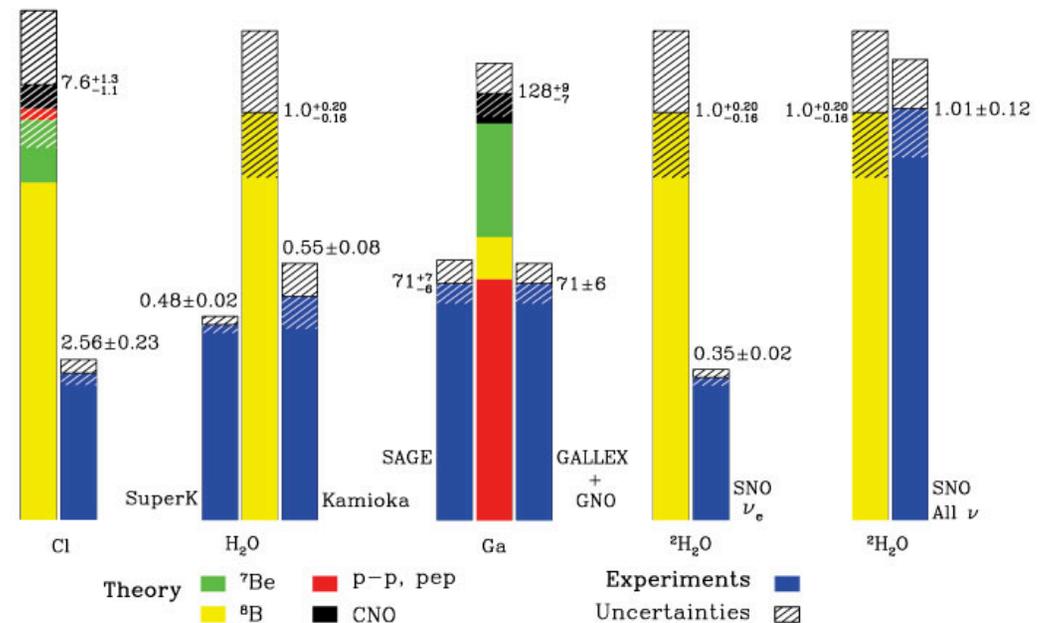
# Neutrinos

- Oscillation
- CP violation
- Mass
- Majorana vs. Dirac
- Sterile Neutrinos
- Other fun neutrino experiments...
  - Ice Cube

# Neutrinos DISAPPEAR!

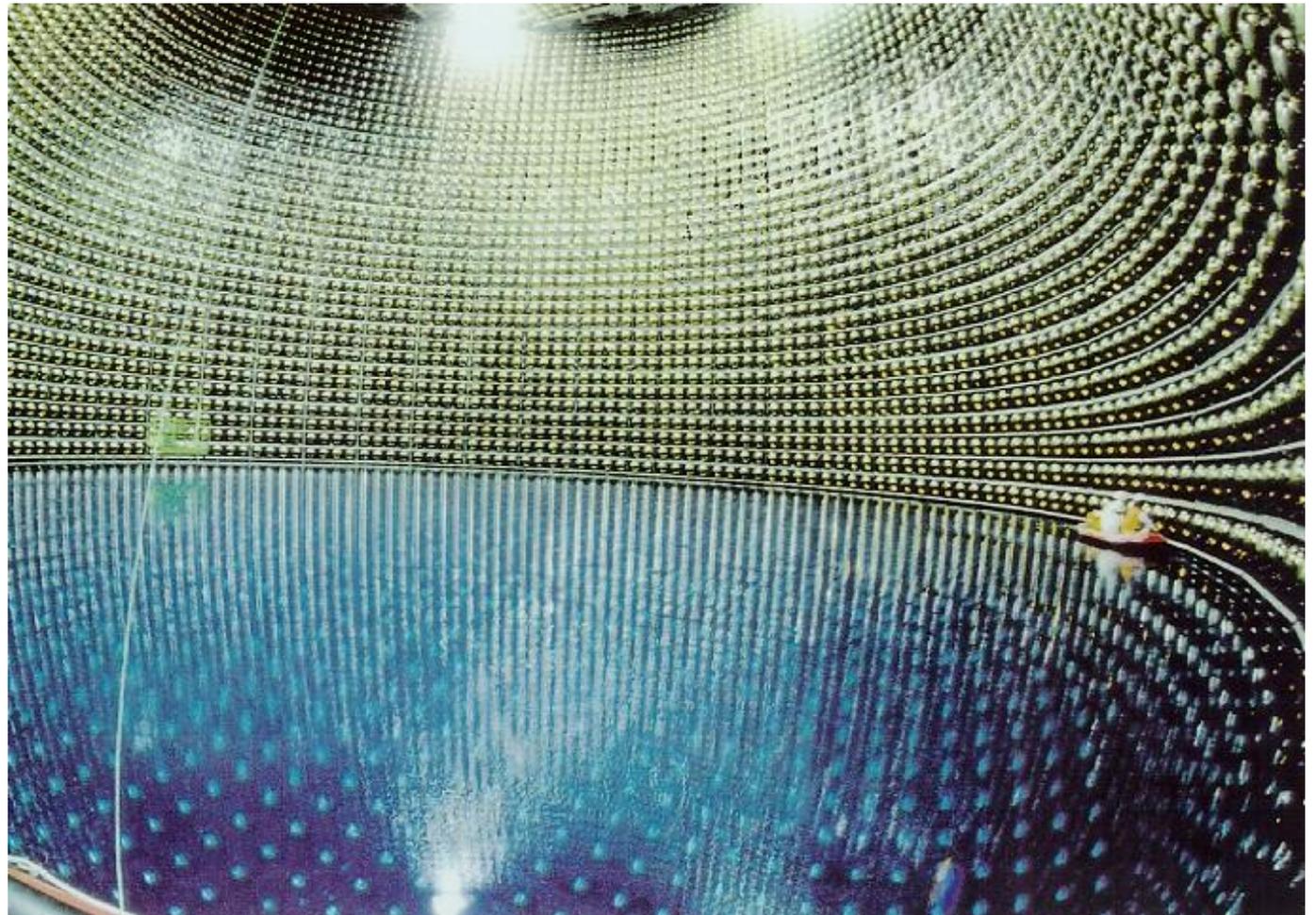
- Originally discovered by Ray Davis: there are too few neutrinos coming from the sun
- Original experiment in Homestead Mine (Cl): Only 1/3 of expected flux
- Confirmed by Sage, Gallex, Super-K, SNO, ...
- Confirmed with reactors: Bugey, Chooz, KamLand,... and accelerator neutrinos (T2K, NOvA,...)
- Also found disappearance of  $\mu$ -neutrinos in atmosphere: Super-K.

Total Rates: Standard Model vs. Experiment  
Bahcall-Pinsonneault 2000



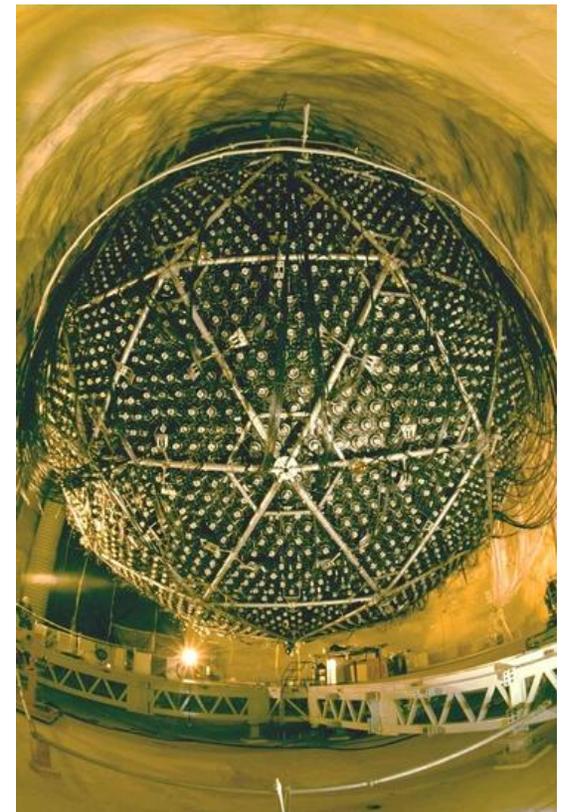
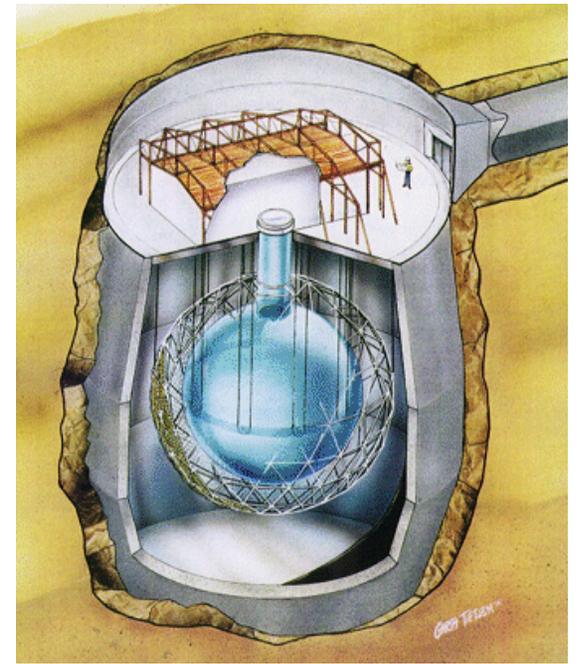
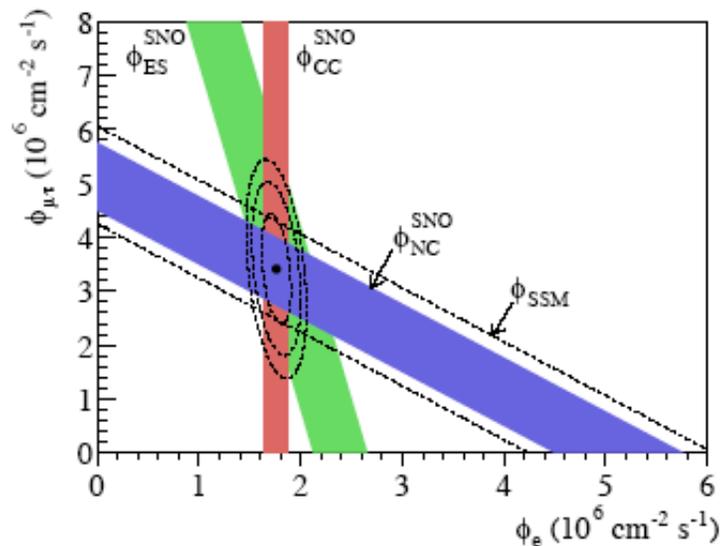
# Kamiokande, Super-K

- Detect neutrinos from sun and atmospheric neutrinos
- Only 50% of solar vs
- Detection via Cherenkov Light



# SNO

- Heavy Water Cherenkov detector
- Sensitive to all 3 types of  $\nu$ 's with different observables:
  - $d + \nu_e \rightarrow p + p + e$ ;
  - $d + \nu_\mu \rightarrow p + n + \nu_\mu$
- First unambiguous confirmation that total number of  $\nu$ 's from sun is as expected - only flavor changes





The Nobel Prize in Physics 2015  
Takaaki Kajita, Arthur B. McDonald

Share this:     1.5K 

# The Nobel Prize in Physics 2015



Photo © Takaaki Kajita

**Takaaki Kajita**

Prize share: 1/2



Photo: K. MacFarlane.  
Queen's University  
/SNOLAB

**Arthur B. McDonald**

Prize share: 1/2

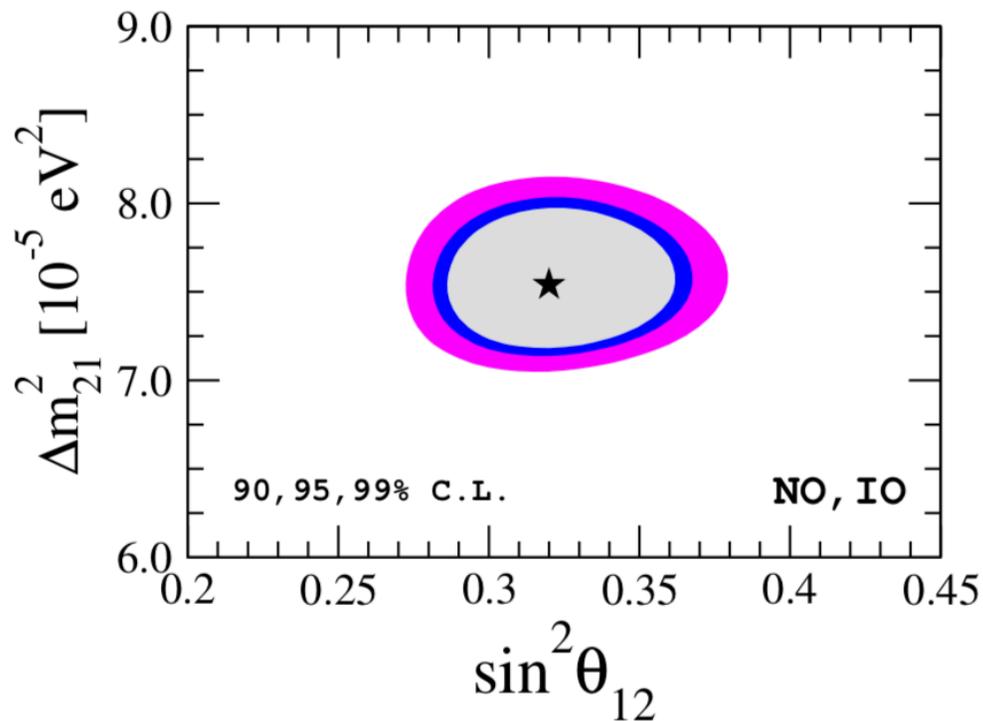
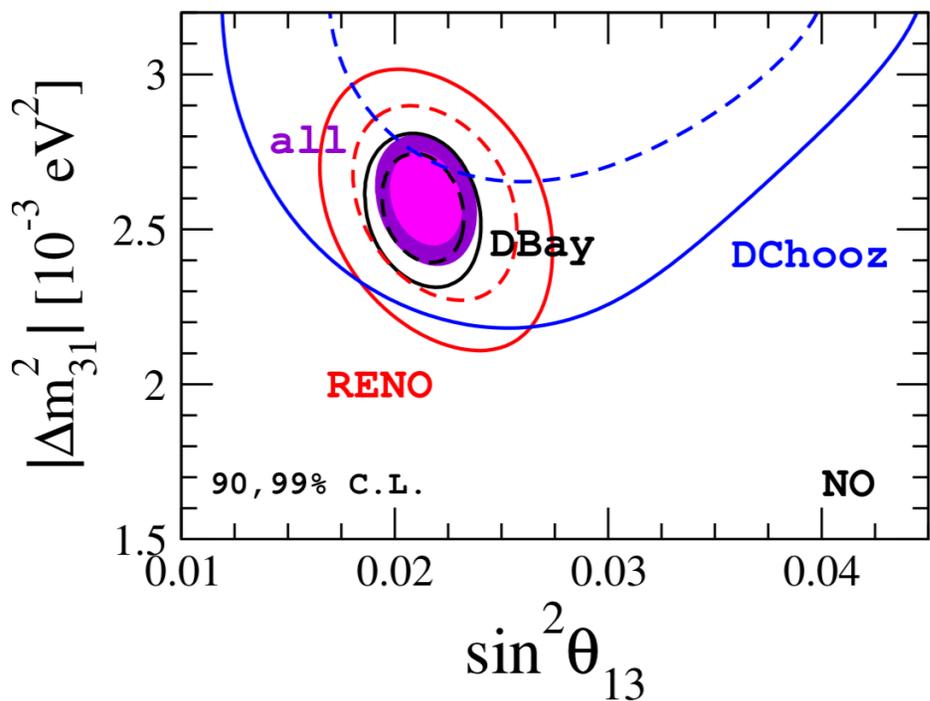
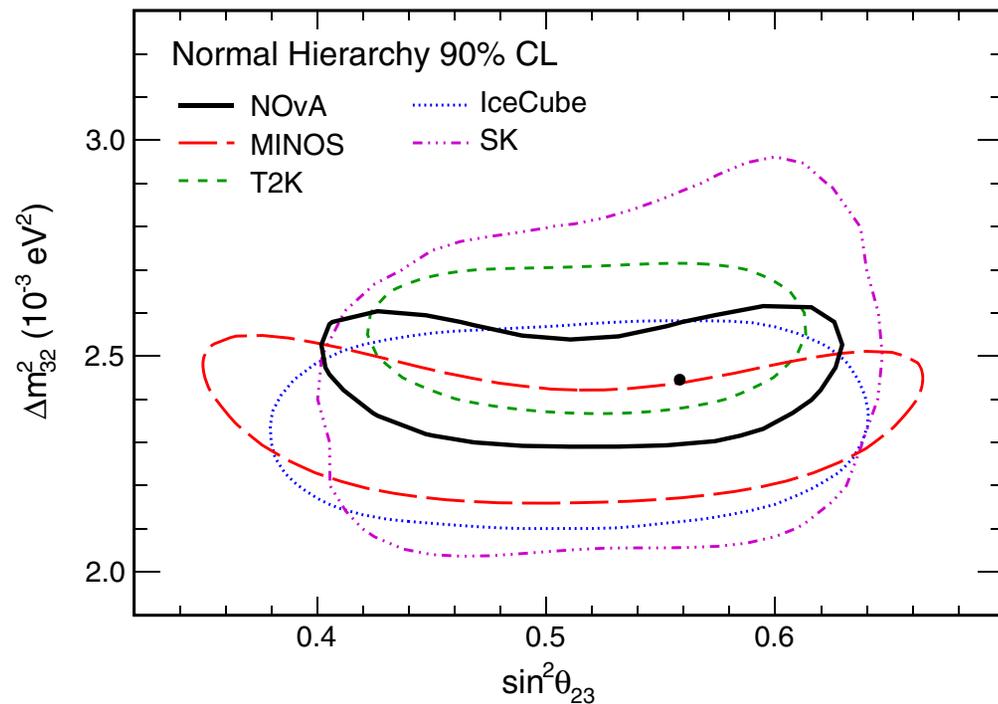
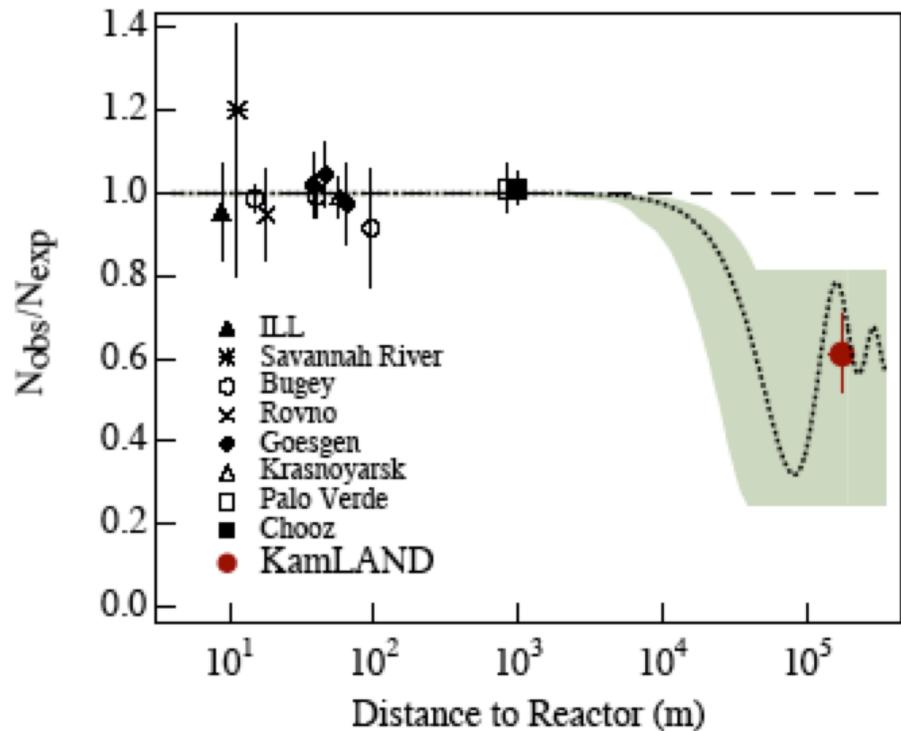
The Nobel Prize in Physics 2015 was awarded jointly to Takaaki Kajita and Arthur B. McDonald *"for the discovery of neutrino oscillations, which shows that neutrinos have mass"*

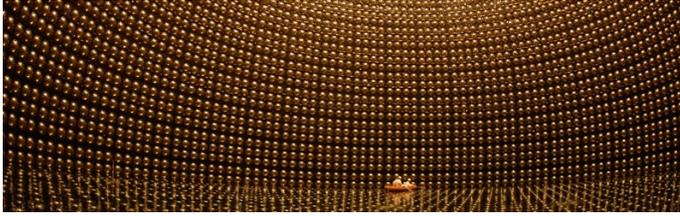
# Explanation: 2 –neutrino model

$$P_{\alpha \rightarrow \beta, \alpha \neq \beta} = \sin^2(2\theta) \sin^2\left(\frac{\Delta m^2 L}{4E}\right) \text{ (natural units).}$$

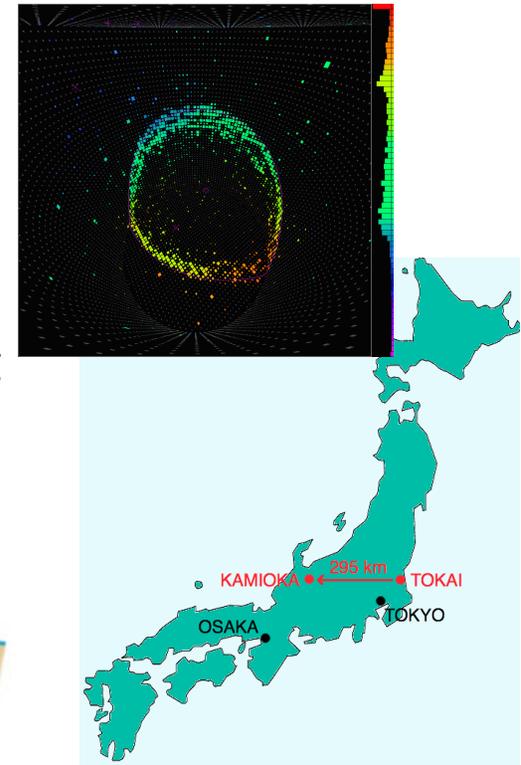
$$P_{\alpha \rightarrow \beta, \alpha \neq \beta} = \sin^2(2\theta) \sin^2\left(1.27 \frac{\Delta m^2 L [\text{eV}^2] [\text{km}]}{E [\text{GeV}]}\right).$$

- The mass differences,  $\Delta m^2$ , are known to be on the order of  $1 \times 10^{-4} \text{ eV}^2$
- Oscillation distances,  $L$ , in modern experiments are on the order of [kilometers](#)
- Neutrino energies,  $E$ , in modern experiments are typically on order of MeV or GeV.

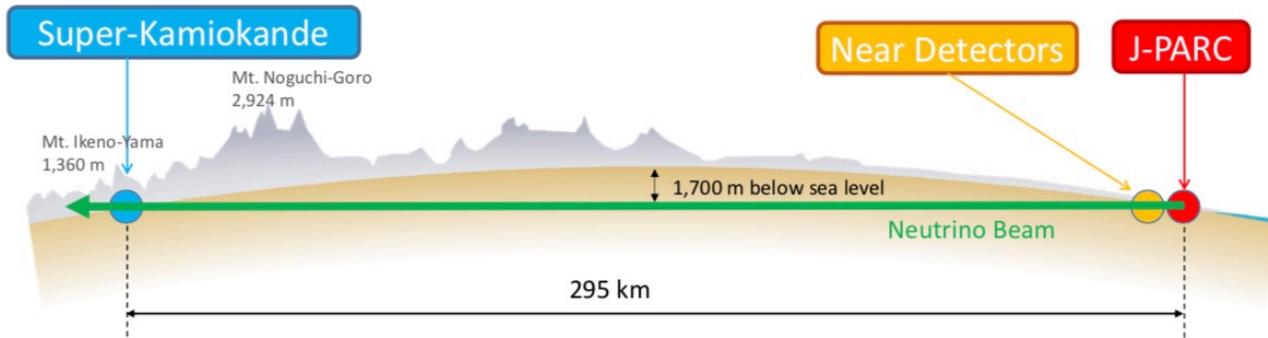




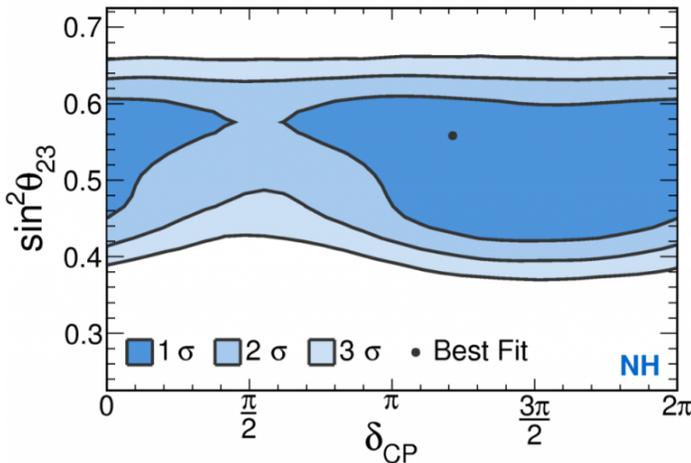
# CP violation



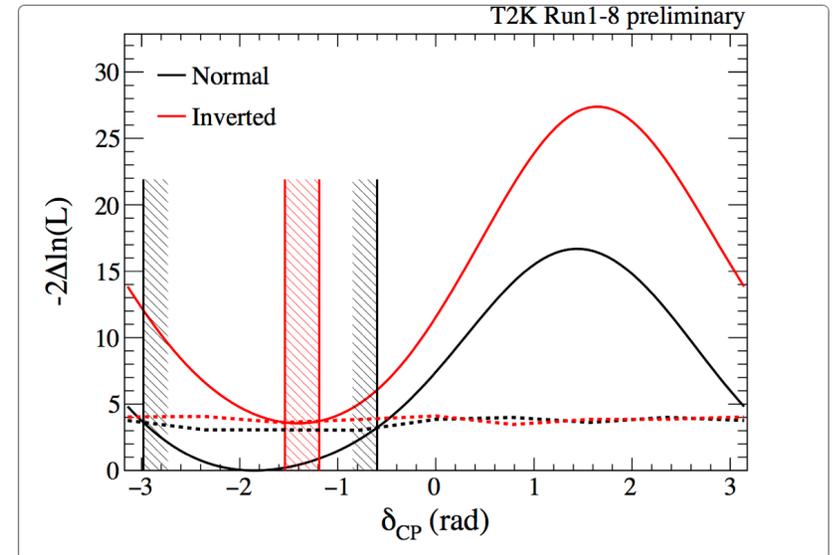
Due to a mixing in the [PMNS matrix](#) describing [neutrino](#) mixing



**Result from NOvA:** A joint fit to the data for  $\nu_\mu$  disappearance and  $\nu_e$  appearance gives the best fit point as normal mass hierarchy,  $\Delta m_{32}^2 = 2.44 \times 10^{-3} \text{ eV}^2/c^4$ ,  $\sin^2 \theta_{23} = 0.56$ , and  $\delta_{CP} = 1.21\pi$ . The 68.3% confidence intervals in the normal mass hierarchy are  $\Delta m_{32}^2 \in [2.37, 2.52] \times 10^{-3} \text{ eV}^2/c^4$ ,  $\sin^2 \theta_{23} \in [0.43, 0.51] \cup [0.52, 0.60]$ , and  $\delta_{CP} \in [0, 0.12\pi] \cup [0.91\pi, 2\pi]$ . The inverted mass hierarchy is disfavored at the 95% confidence level for all choices of the other oscillation parameters.

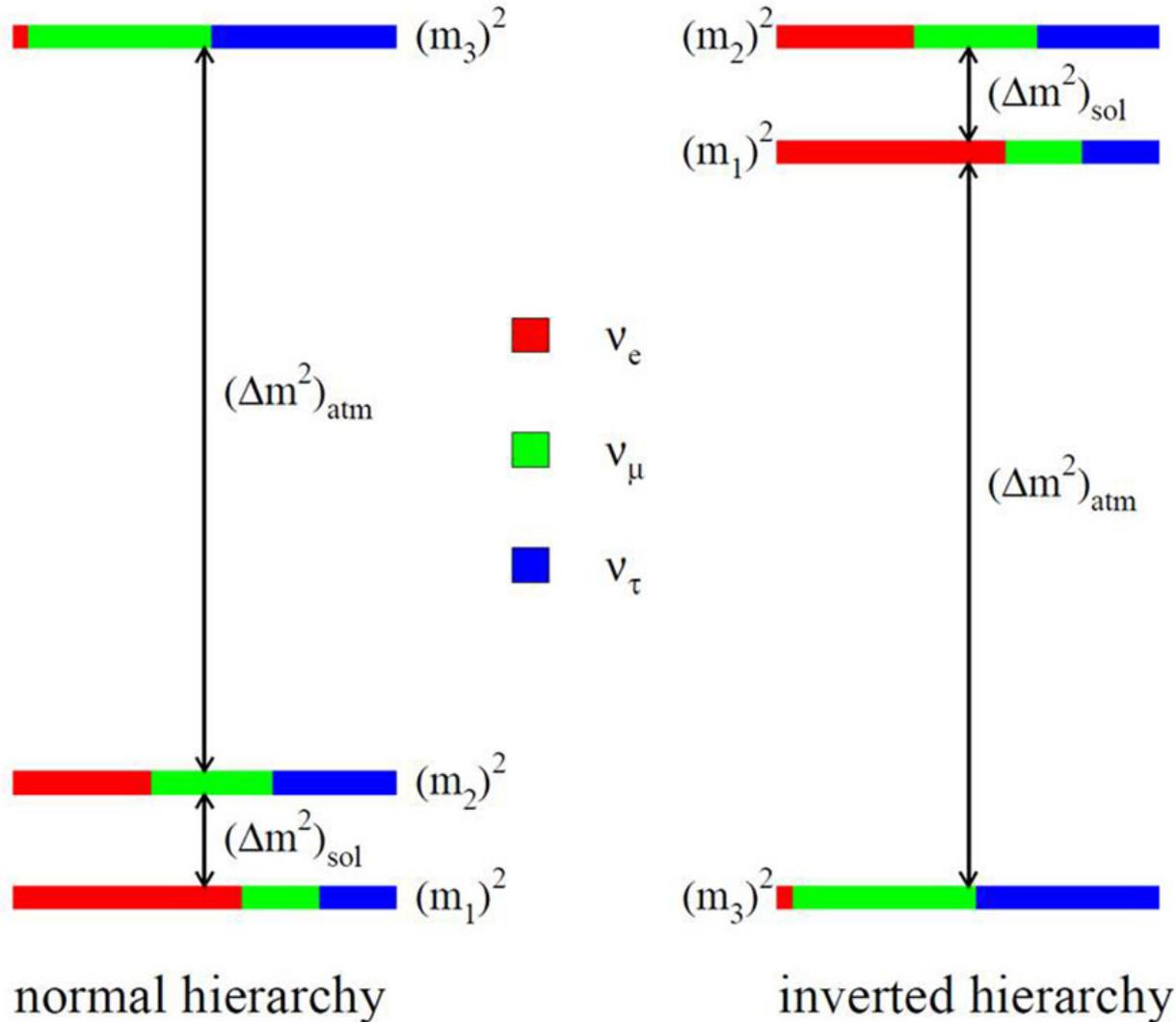


Fermilab-Minnesota



$-2\Delta \ln L$  (equivalent of  $\Delta \chi^2$ ) as a function of  $\delta_{CP}$  for the normal (black) and inverted (red) mass ordering. The vertical lines show the corresponding allowed 95% confidence interval, calculated using the Feldman-Cousins method.

# Neutrino Masses



# Absolute Neutrino Mass

KATRIN spectrometer

Intense  $T_2$  source  
( $10^{11}$  decays/second)

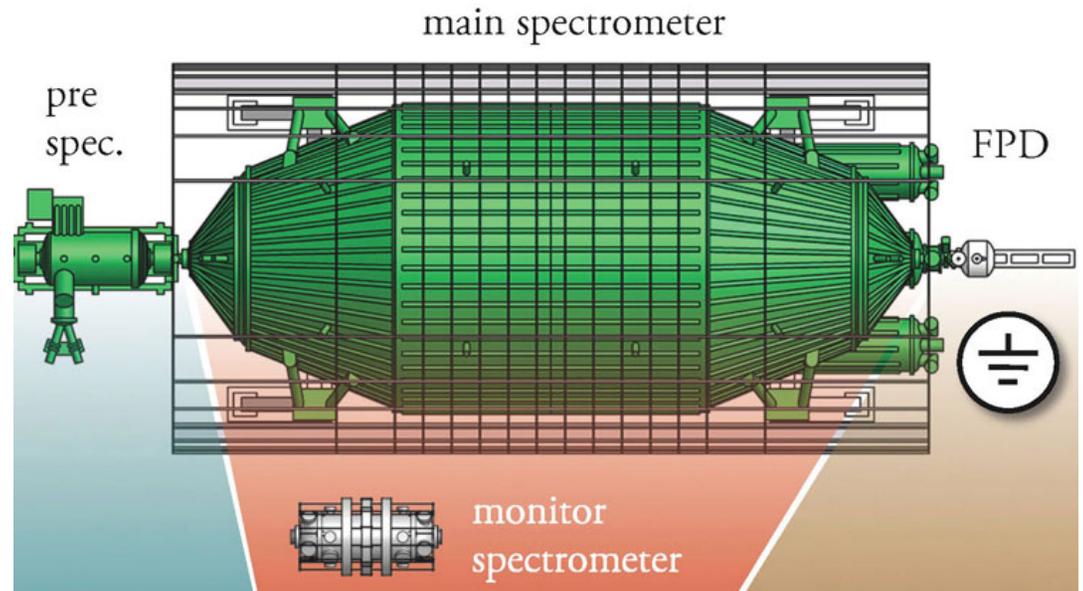
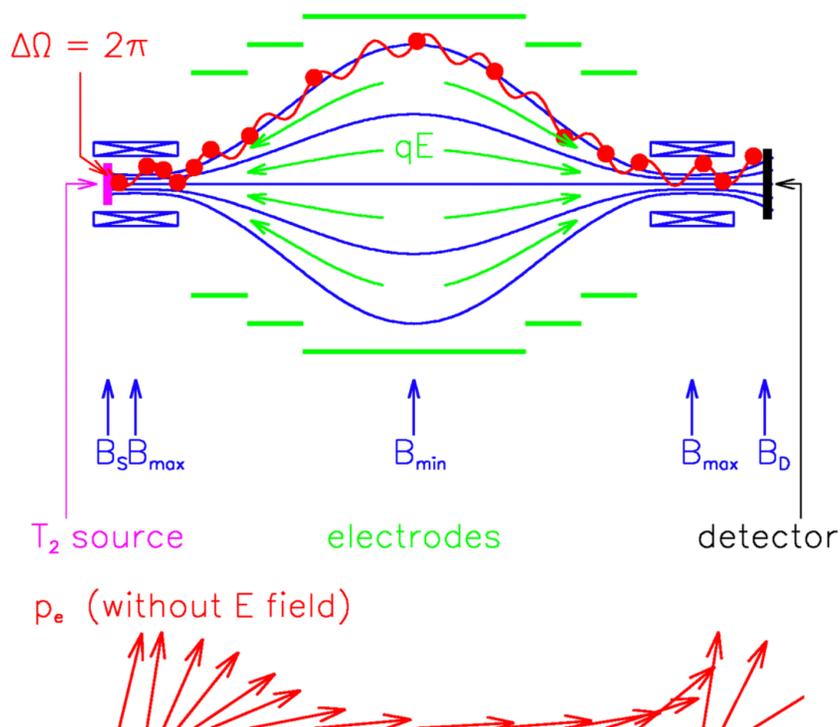
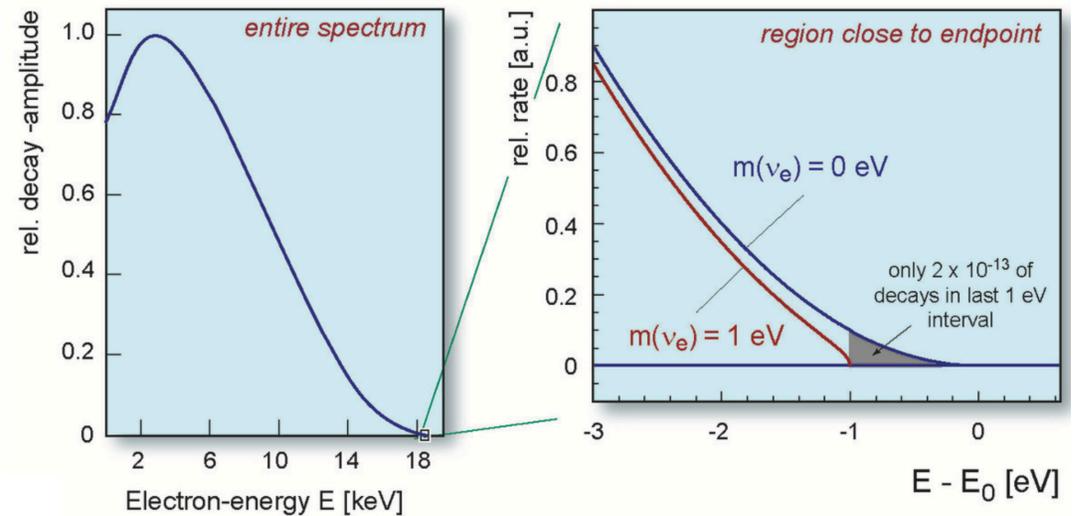
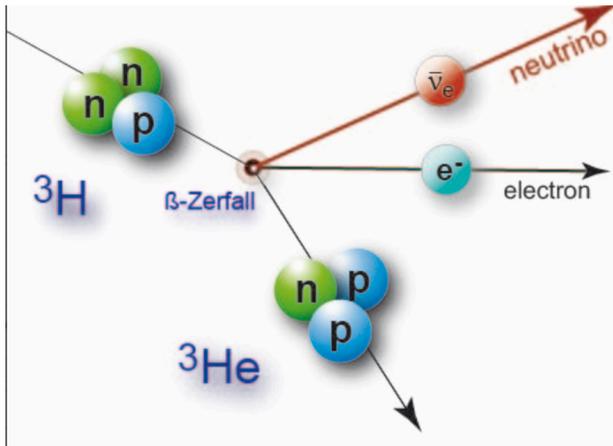
Spectrum analysis with  
electromagnetic filter

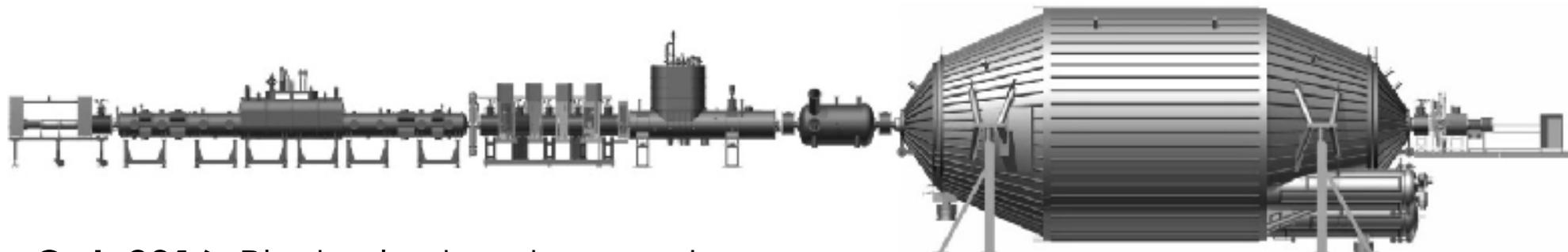
Design resolution:  **$0.93\text{eV}$**

Design  $m_{\nu\beta}$  sensitivity:  **$0.2\text{eV}$**   
(90%CL)



# How does it work?





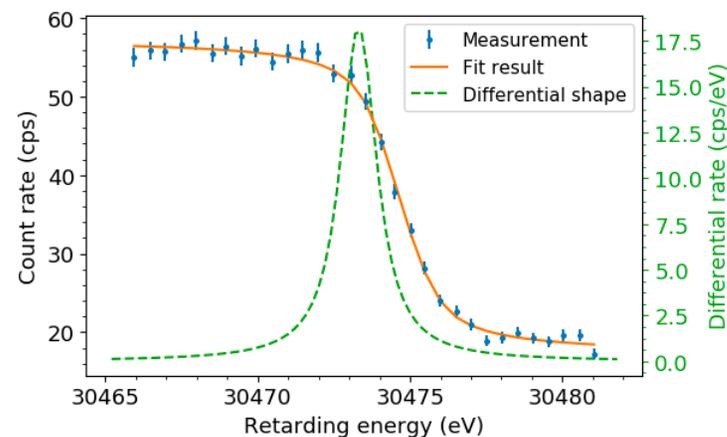
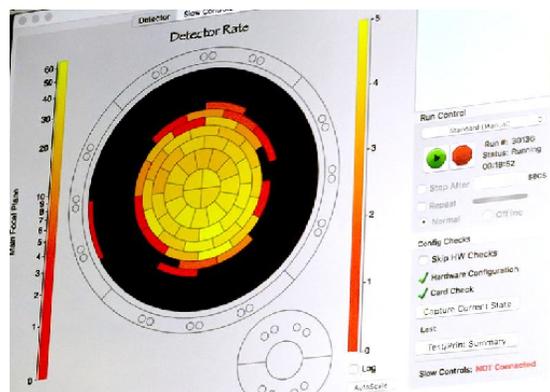
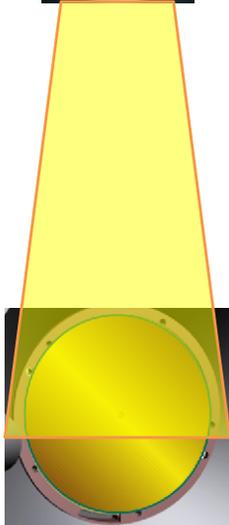
**Oct. 2016:** Photoelectron transport over full 70m apparatus.

**Summer 2017:** Gaseous and condensed  $^{83}\text{mKr}$  source measurements.



- Illuminate rear wall
- Magnetically guide photoelectrons along beamline
- First all-KATRIN commissioning

- calibration
- alignment
- systematics



# KATRIN - Status and Next 3 Years



## Status:

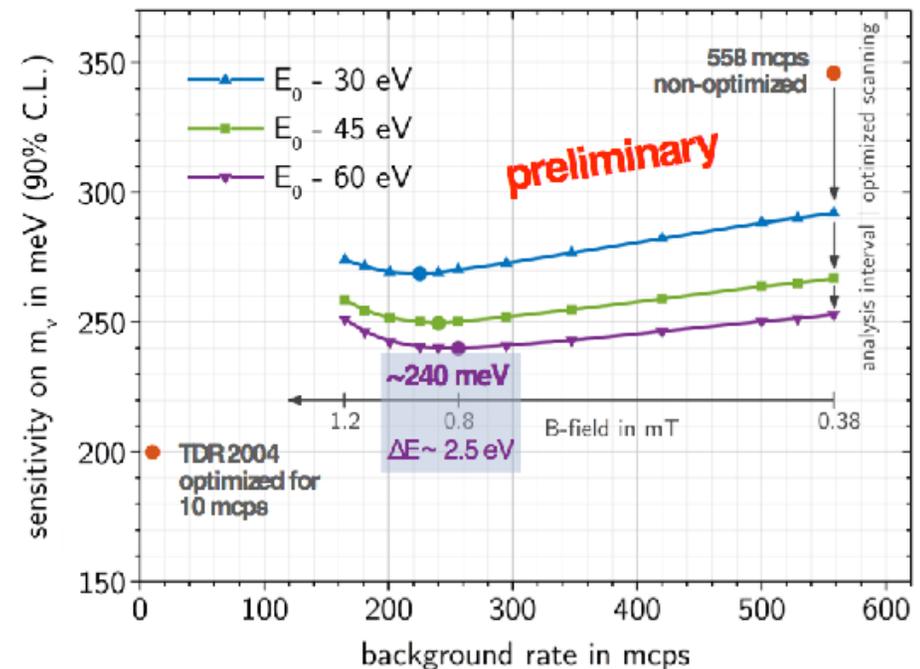
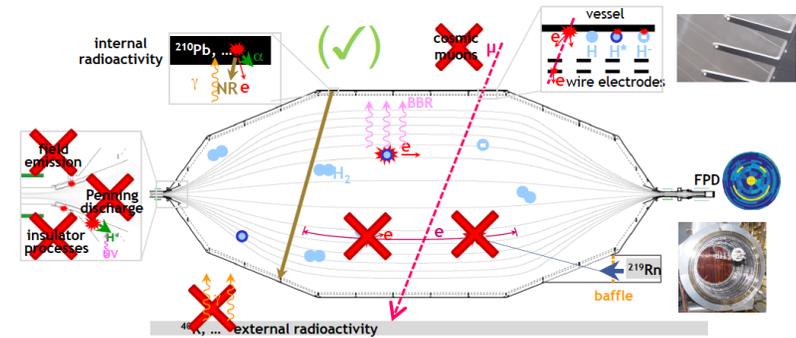
- All major components on-site
- Unexpected background from  $^{210}\text{Po}$  decay in/on walls. Background rate  $\sim 50$  times larger than design value (10 mcps), due to ionization of Rydberg atoms by black body radiation
- With revised measurement plan, should reach sensitivity of  $\sim 240$  meV.
- Implementing major revision of Electronics/FPGA/DAQ to optimize mass sensitivity and sterile neutrinos searches (support much higher data rates,  $\sim$  MHz per channel).
- Successful gaseous and condensed Kr commissioning measurements.

**Spring 2018:** System commissioning with  $\text{H}_2$ ,  $\text{D}_2$ ,

**Mid 2018:** First tritium data

**2018-2020:**

- First mass results (final sensitivity: 3 beam-yrs)
- Sterile neutrino searches
- Searches for beyond-the-standard-model physics



# Neutrinos beyond the Standard Model

- Majorana vs. Dirac
  - What is a Majorana Particle?
  - Could neutrinos be majorana particles?
  - Double beta decay
  - Neutrinoless double beta decay
- Sterile Neutrinos?

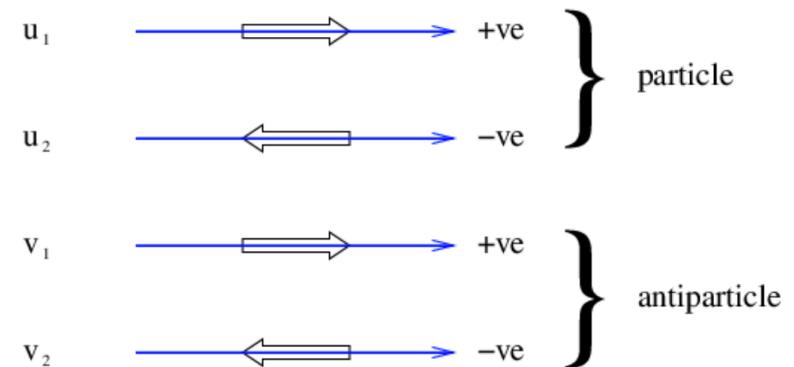
# What is a Majorana Particle?

- Basic definition:  
A fermion that is its own antiparticle

- Cannot be true for charged particles (electrons, muons, taus and quarks) – have 4 distinctive dof:

(Left-handed - right handed) x (particle – antiparticle)

- If neutrinos had NO mass, they would ONLY participate in the weak interaction => only 2 dof: left-handed neutrinos and right-handed (anti)neutrinos
- Mass term in Dirac-equation couples LH to RH -> massive neutrinos must be Dirac-Fermions... or must they?
- Mass eigenstates COULD be linear combinations of neutrinos and antineutrinos
- Another possibility: one heavy (sterile) Majorana neutrino coupling to 3 light and 3 heavy Majorana neutrinos
- Consequence: Lepton number violation



# Dirac equation

For a moving particle,  $\vec{p} \neq 0$  the Dirac equation becomes (using (5.13) and (5.17)):

$$(\gamma^\mu p_\mu - m) \begin{pmatrix} u_A \\ u_B \end{pmatrix} = \begin{pmatrix} E - m & -\vec{\sigma} \cdot \vec{p} \\ \vec{\sigma} \cdot \vec{p} & -E - m \end{pmatrix} \begin{pmatrix} u_A \\ u_B \end{pmatrix} = 0 \quad (5.27)$$

where  $u_A$  and  $u_B$  denote the  $1 \times 2$  upper and lower components of  $u$  respectively. The equations for  $u_A$  and  $u_B$  are coupled:

$$u_A = \frac{\vec{\sigma} \cdot \vec{p}}{E - m} u_B \quad u_B = \frac{\vec{\sigma} \cdot \vec{p}}{E + m} u_A \quad (5.28)$$

Setting  $m = 0$  and  $p = p_z = E$  in the highly relativistic limit  $\beta \rightarrow 1$ :

$$u^1 = \begin{pmatrix} 1 \\ 0 \\ p_z/(E + m) \\ (p_x + ip_y)/(E + m) \end{pmatrix} \quad u^2 = \begin{pmatrix} 0 \\ 1 \\ (p_x - ip_y)/(E + m) \\ -p_z/(E + m) \end{pmatrix} \quad u^3 = \begin{pmatrix} -p_z/(-E + m) \\ (-p_x - ip_y)/(-E + m) \\ 1 \\ 0 \end{pmatrix} \quad u^4 = \begin{pmatrix} (-p_x + ip_y)/(-E + m) \\ p_z/(-E + m) \\ 0 \\ 1 \end{pmatrix} \quad v^1 = \begin{pmatrix} 1 \\ 0 \\ 1 \\ 0 \end{pmatrix} \quad v^2 = \begin{pmatrix} 0 \\ 1 \\ 0 \\ -1 \end{pmatrix} \quad v^3 = \begin{pmatrix} 1 \\ 0 \\ 1 \\ 0 \end{pmatrix} \quad v^4 = \begin{pmatrix} 0 \\ -1 \\ 0 \\ 1 \end{pmatrix}$$

# What is a Majorana Particle?

Left-handed Majorana Mass Term:  $\mathcal{L}_L = m_L \overline{\nu}_L \nu_L^c$ , which absorbs a  $(\bar{\nu})_R$  and creates a  $\nu_L$

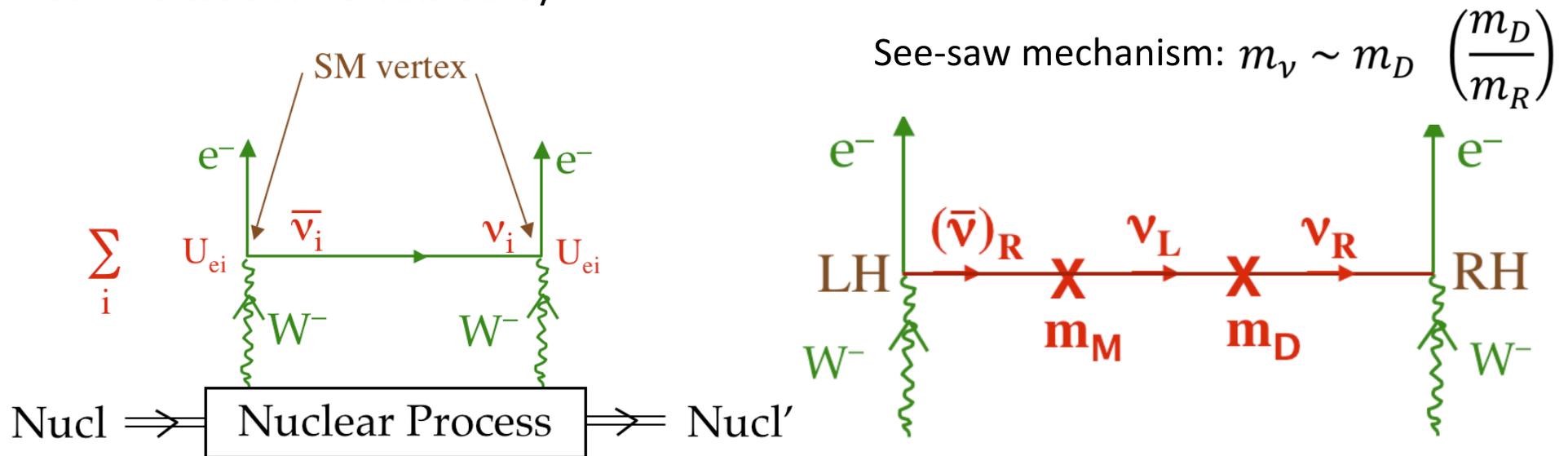
=> Mass eigenstates:  $\nu_i = \nu_L + \nu_L^c$

Consequence: Lepton-number violating neutrinoless double-beta decay

More general (+RH heavy Majorana  $\nu$ ):

$$\mathcal{L}_m \sim m_D [\bar{\psi}_L \psi_R + \dots] + [m_L \bar{\psi}_L^c \psi_L + m_R \bar{\psi}_R^c \psi_R + h.c.]$$

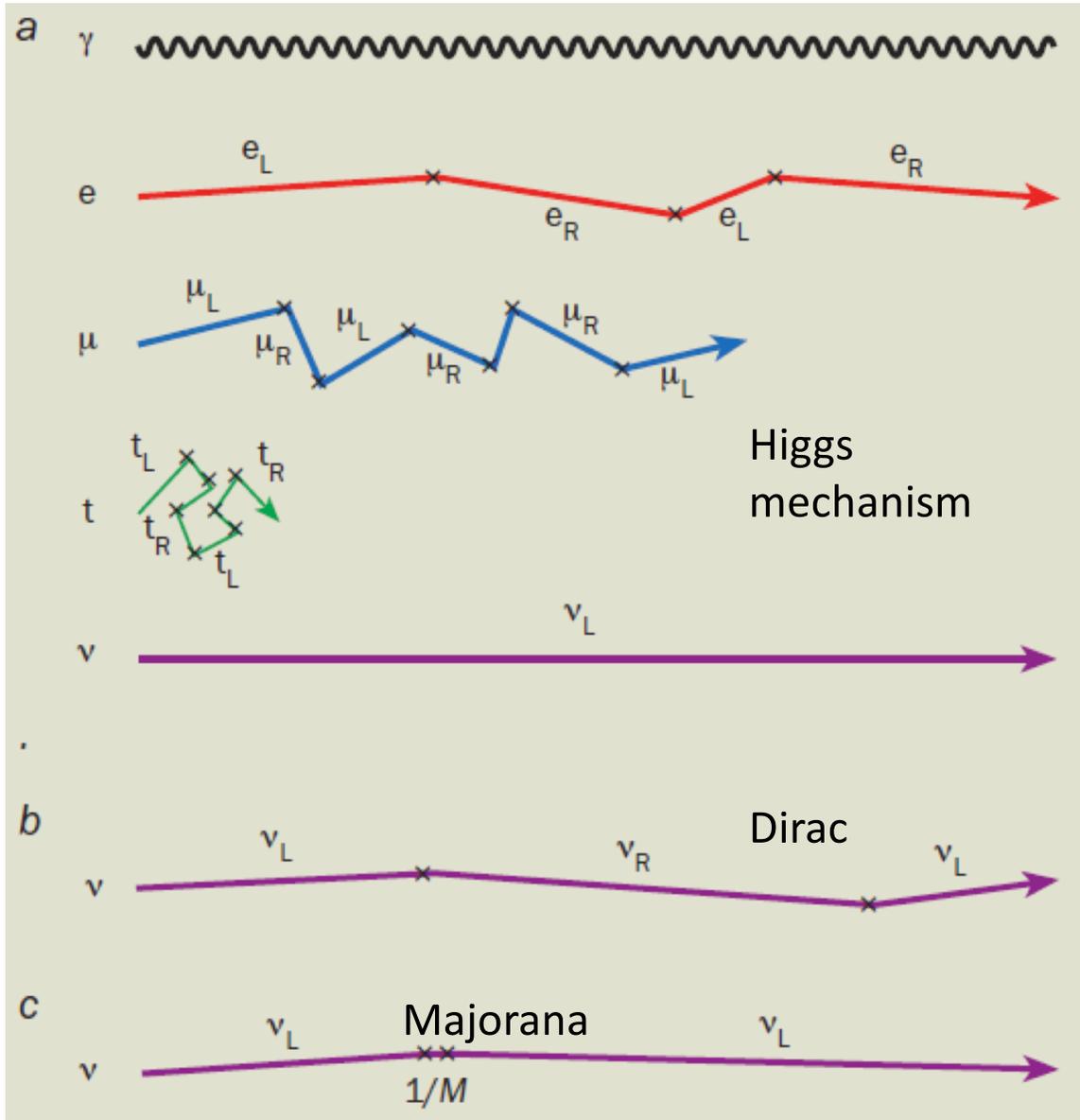
See-saw mechanism:  $m_\nu \sim m_D \left( \frac{m_D}{m_R} \right)$



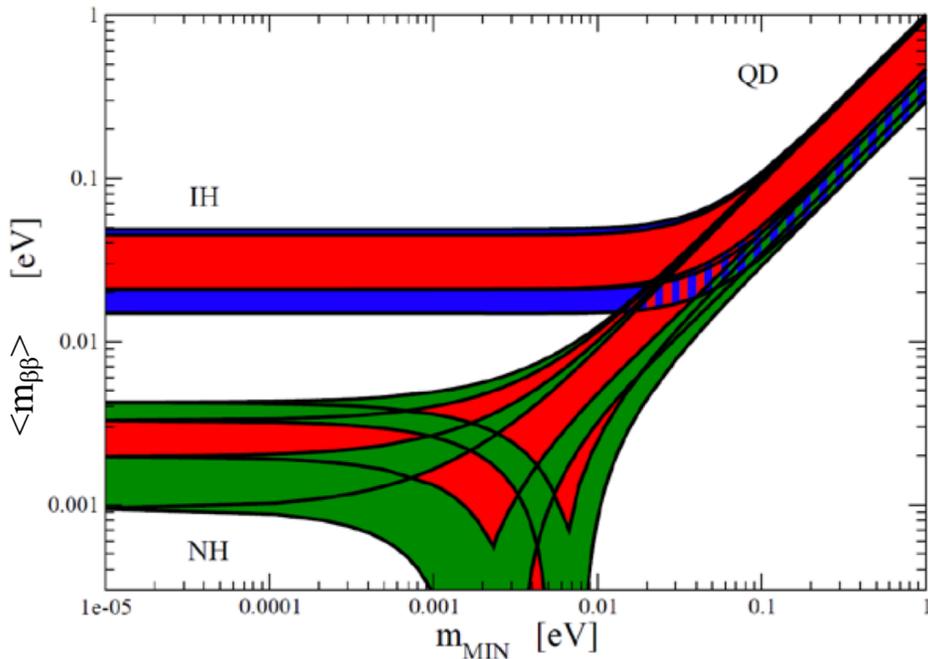
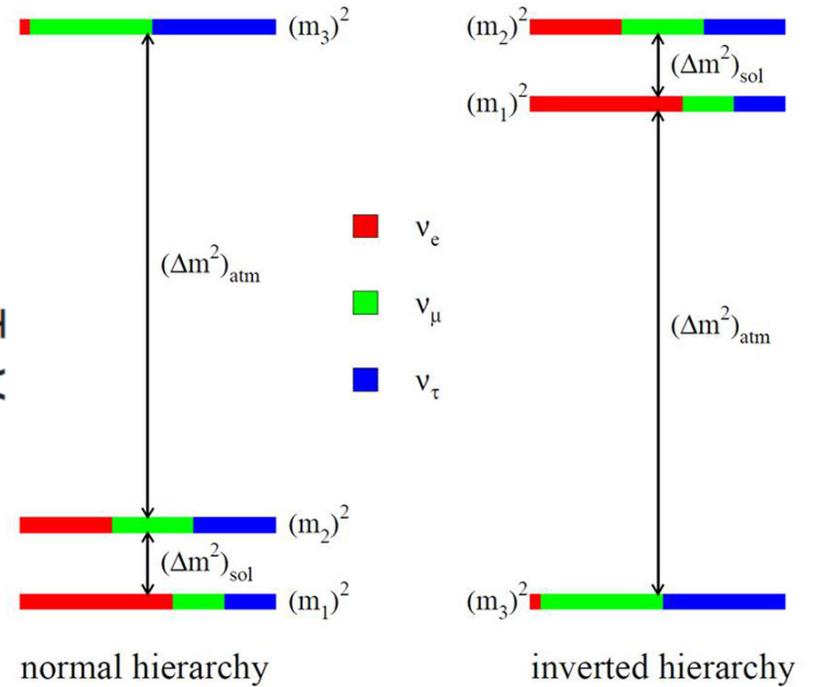
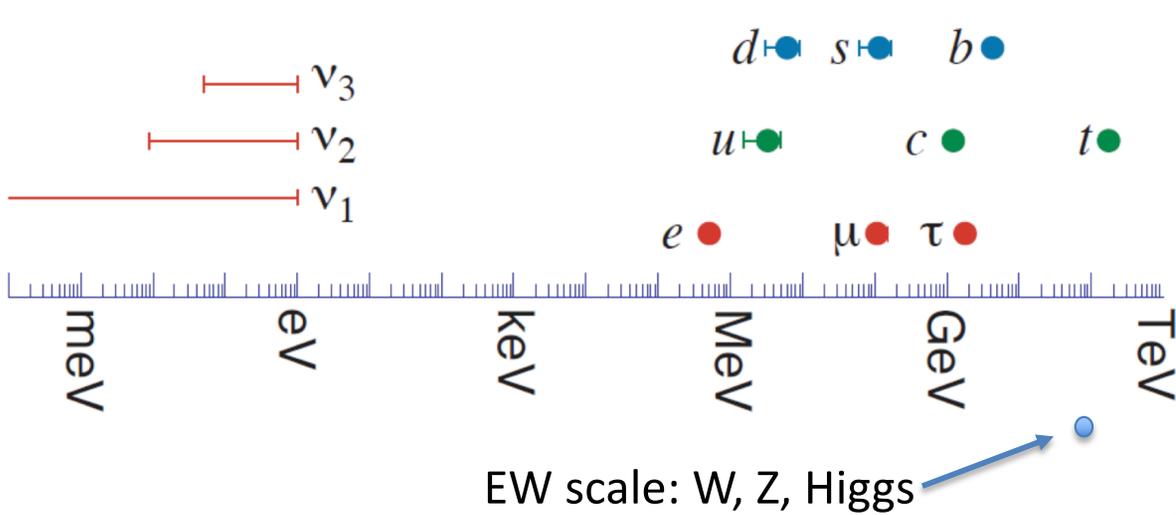
Decay rate is proportional to

$$\left| \sum_i m_i U_{ei}^2 \right| \equiv m_{\beta\beta}$$

# Mass generation



# Reminder: neutrino masses

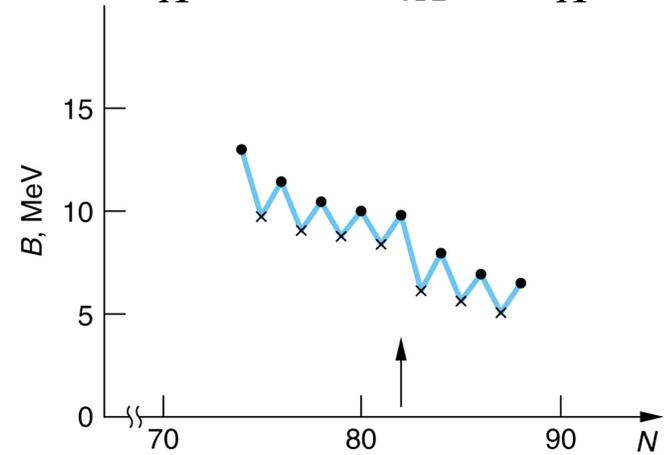
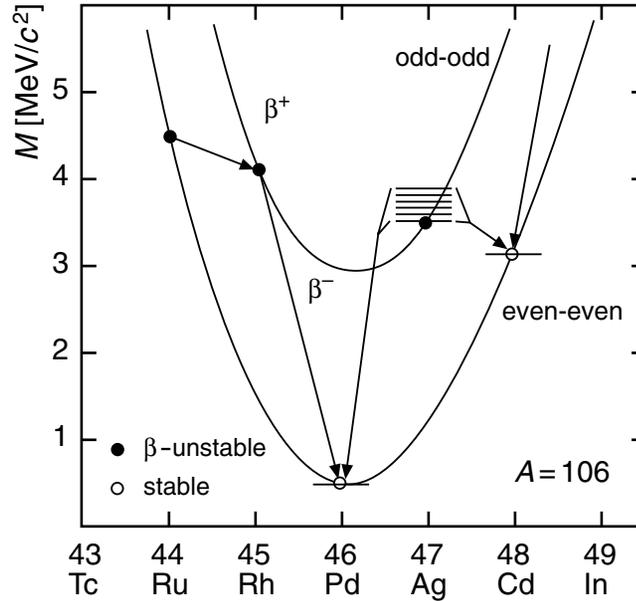
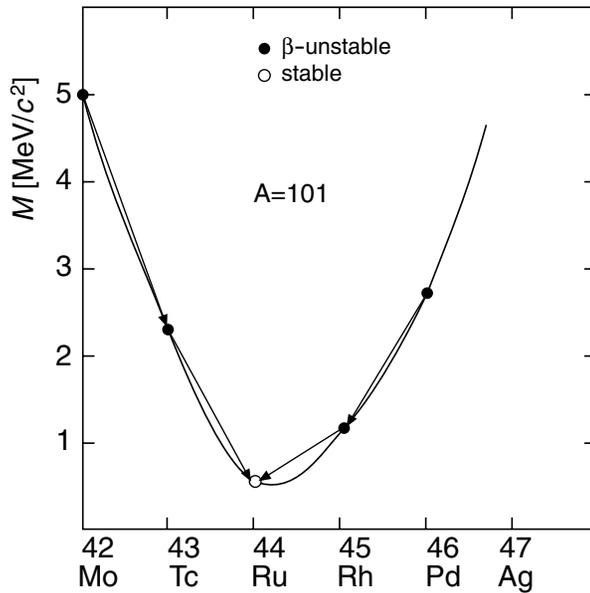


Cosmological bounds:  
 Present:  $\Sigma m < 0.25$  eV  
 Future: push to 0.01 eV

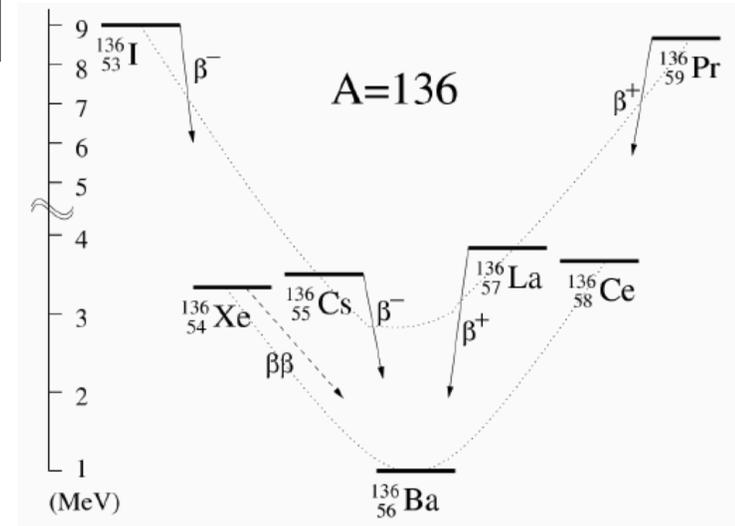
# Nuclear Beta Decay

Reminder:

$$M(A,Z) = N \cdot M_n + Z \cdot M_p + Z \cdot m_e - |B|/c^2; \quad |B| = a_V A - a_S A^{2/3} - a_C \frac{Z^2}{A^{1/3}} - a_a \frac{(N-Z)^2}{4A} \pm \frac{\delta}{A^{1/2}}$$

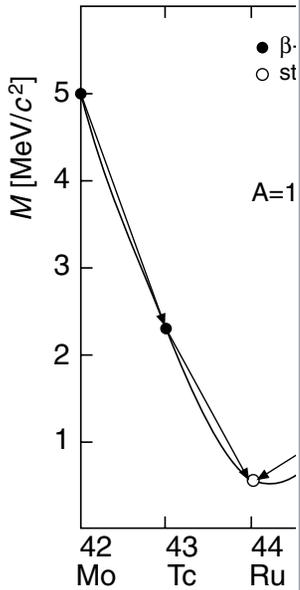


Beta-minus decay:  $M(A,Z) > M(A,Z+1)$



Reminder:

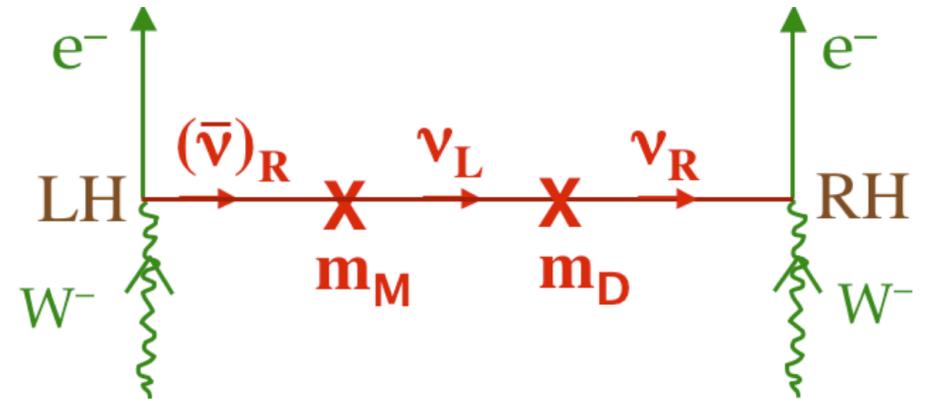
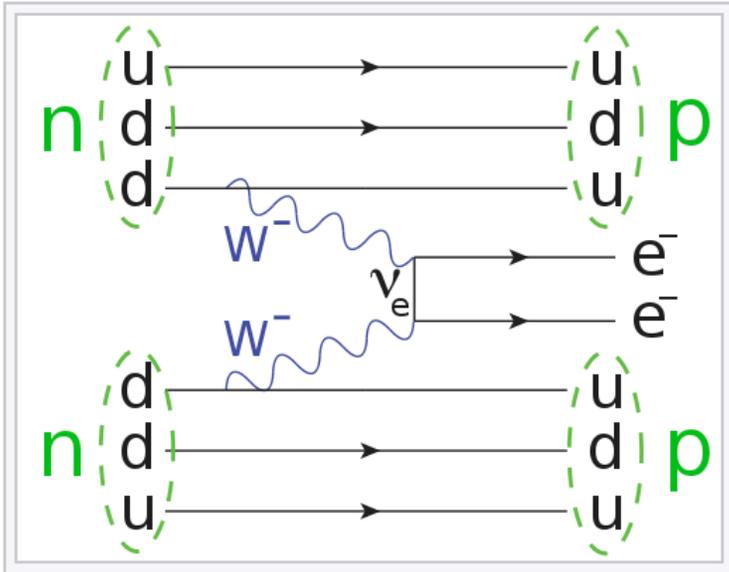
$$M(A, Z) =$$



Beta-minu

Nuclide	Half-life, $10^{21}$ years	Mode	Transition	Method	Experiment
$^{48}\text{Ca}$	$0.064^{+0.007}_{-0.006} \pm^{+0.012}_{-0.009}$	$\beta^-\beta^-$		direct	NEMO-3 <sup>[10]</sup>
$^{76}\text{Ge}$	$1.926 \pm 0.094$	$\beta^-\beta^-$		direct	GERDA <sup>[9]</sup>
$^{78}\text{Kr}$	$9.2^{+5.5}_{-2.6} \pm 1.3$	$\epsilon\epsilon$		direct	BAKSAN <sup>[9]</sup>
$^{82}\text{Se}$	$0.096 \pm 0.003 \pm 0.010$	$\beta^-\beta^-$		direct	NEMO-3 <sup>[9]</sup>
$^{96}\text{Zr}$	$0.0235 \pm 0.0014 \pm 0.0016$	$\beta^-\beta^-$		direct	NEMO-3 <sup>[9]</sup>
$^{100}\text{Mo}$	$0.00693 \pm 0.00004$	$\beta^-\beta^-$		direct	NEMO-3 <sup>[9]</sup>
	$0.69^{+0.10}_{-0.08} \pm 0.07$	$\beta^-\beta^-$	$0^+ \rightarrow 0^+_1$		Ge coincidence <sup>[9]</sup>
$^{116}\text{Cd}$	$0.028 \pm 0.001 \pm 0.003$	$\beta^-\beta^-$		direct	NEMO-3 <sup>[9]</sup>
	$0.026^{+0.009}_{-0.005}$				ELLEGANT IV <sup>[9]</sup>
$^{128}\text{Te}$	$7200 \pm 400$ $1800 \pm 700$	$\beta^-\beta^-$		geochemical	[9]
$^{130}\text{Te}$	$0.82 \pm 0.02 \pm 0.06$	$\beta^-\beta^-$		direct	CUORE-0 <sup>[11]</sup>
$^{136}\text{Xe}$	$2.165 \pm 0.016 \pm 0.059$	$\beta^-\beta^-$		direct	EXO-200 <sup>[9]</sup>
$^{130}\text{Ba}$	$(0.5 - 2.7)$	$\epsilon\epsilon$		geochemical	[12][13]
$^{150}\text{Nd}$	$0.00911^{+0.00025}_{-0.00022} \pm 0.00063$	$\beta^-\beta^-$		direct	NEMO-3 <sup>[9]</sup>
	$0.107^{+0.046}_{-0.026}$	$\beta^-\beta^-$	$0^+ \rightarrow 0^+_1$		Ge coincidence <sup>[9]</sup>
$^{238}\text{U}$	$2.0 \pm 0.6$	$\beta^-\beta^-$		radiochemical	[9]

# NeutrinoLESS double beta decay

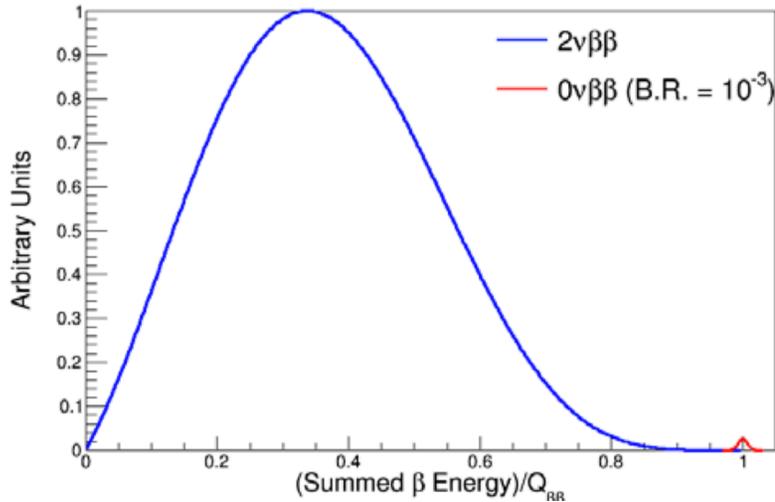


$$(T_{1/2}^{0\nu})^{-1} = G^{0\nu} \cdot |M^{0\nu}|^2 \cdot \langle m_{\beta\beta} \rangle^2 \quad (\text{of order } 10^{25} \text{ years})$$

where  $G^{0\nu}$  is the exactly calculable phase space integral,  $\langle m_{\beta\beta} \rangle$  is the effective neutrino mass and  $M^{0\nu}$  is the nuclear matrix element. The effective neutrino mass is

$$\langle m_{\beta\beta} \rangle^2 = |\sum_i U_{ei}^2 m_{\nu i}|^2$$

# Detection

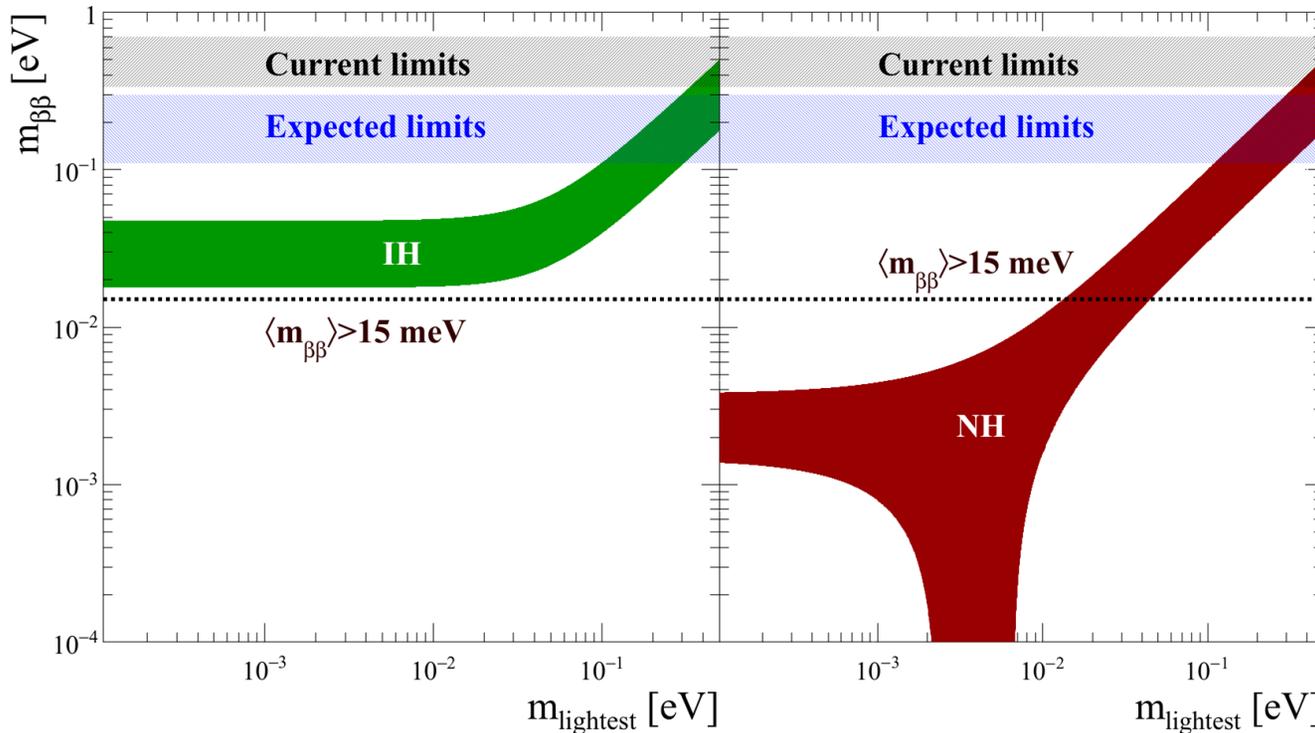


Reminder: Ordinary beta-decay

$$dW(E_e) = \frac{2\pi}{\hbar} |\mathcal{M}_{fi}|^2 \frac{d\rho_f(E_0, E_e)}{dE_e} dE_e$$

$$d\rho_f(E_0, E_e) = (4\pi)^2 V^2 \frac{E_e \sqrt{E_e^2 - m_e^2 c^4} \cdot (E_0 - E_e)^2}{(2\pi \hbar c)^6} dE_e$$

Similar shape for  $2\beta 2\nu$  decay



Sensitivity:

*Effective average neutrino mass from neutrinoless double beta decay vs. the mass of the lightest neutrino. Current limits and expected limits from ongoing experiments are shown as gray and blue horizontal bands. The green (for inverted hierarchy) and red (for normal hierarchy) bands show the expected ranges within the light Majorana neutrino exchange mechanism. Next-generation ton-scale experiments aim to probe effective Majorana neutrino masses down to 15 meV, shown as the horizontal dashed line.*

# NeutrinoLESS double beta decay

Experiments taking data as of November 2017:

[COBRA](#),  $^{116}\text{Cd}$  in room temperature CdZnTe crystals

[CUORE](#),  $^{130}\text{Te}$  in ultracold  $\text{TeO}_2$  crystals

[EXO](#), a  $^{136}\text{Xe}$  and  $^{134}\text{Xe}$  search

[GERDA](#), a  $^{76}\text{Ge}$  detector

[KamLAND-Zen](#), a  $^{136}\text{Xe}$  search. Data collection from 2011.[\[20\]](#)

[Majorana](#), using high purity  $^{76}\text{Ge}$  p-type point-contact detectors.[\[21\]](#)

XMASS using liquid Xe

Proposed/future experiments:

CANDLES,  $^{48}\text{Ca}$  in  $\text{CaF}_2$ , at [Kamioka Observatory](#)

MOON, developing  $^{100}\text{Mo}$  detectors

AMoRE,  $^{100}\text{Mo}$  enriched  $\text{CaMoO}_4$  crystals at YangYang [\[22\]](#)

nEXO, using liquid  $^{136}\text{Xe}$  in a time projection chamber [\[23\]](#)

LEGEND, Neutrinoless Double-beta Decay of  $^{76}\text{Ge}$ .

LUMINEU, exploring  $^{100}\text{Mo}$  enriched  $\text{ZnMoO}_4$  crystals at LSM, France.

NEXT, a Xenon TPC. NEXT-DEMO ran and NEXT-100 will run in 2016.

[SNO+](#), a liquid scintillator, will study  $^{130}\text{Te}$

[SuperNEMO](#), a NEMO upgrade, will study  $^{82}\text{Se}$

TIN.TIN, a  $^{124}\text{Sn}$  detector at [INO](#)

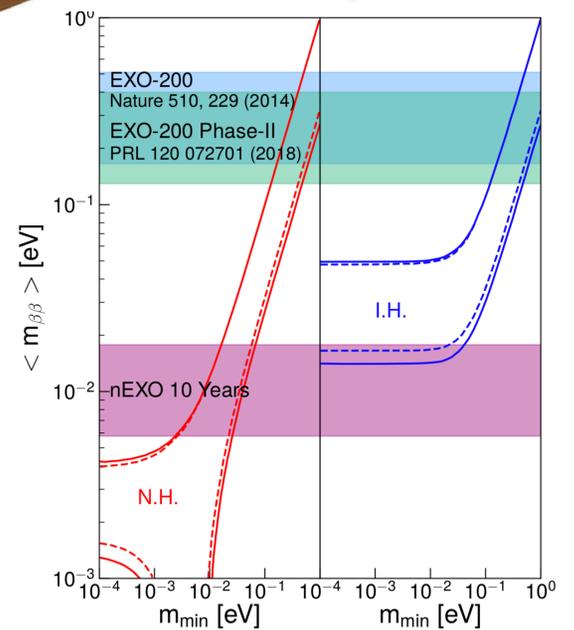
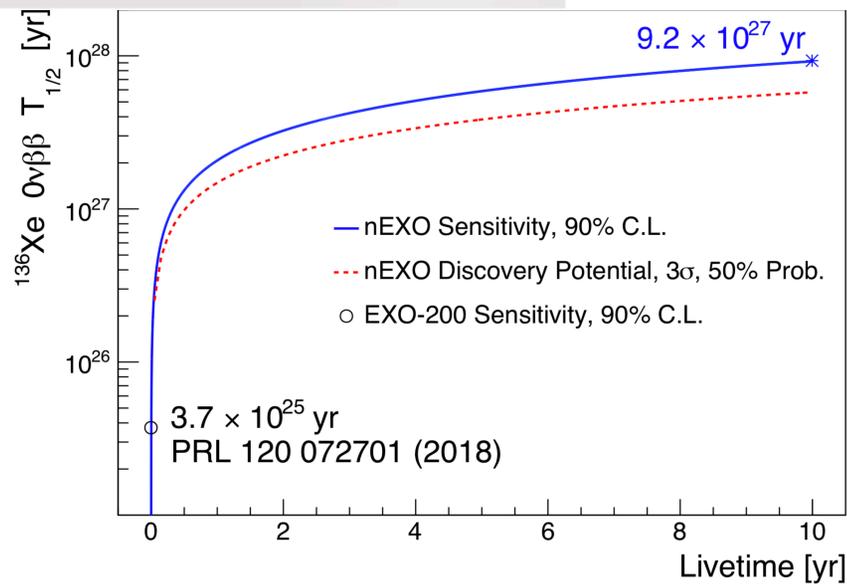
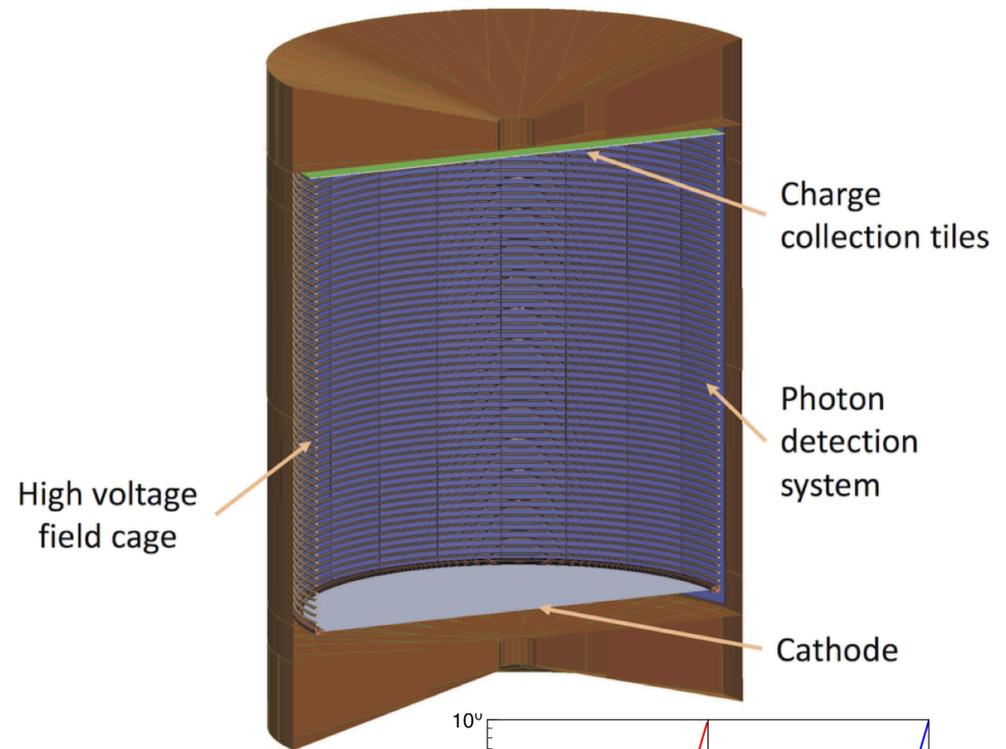
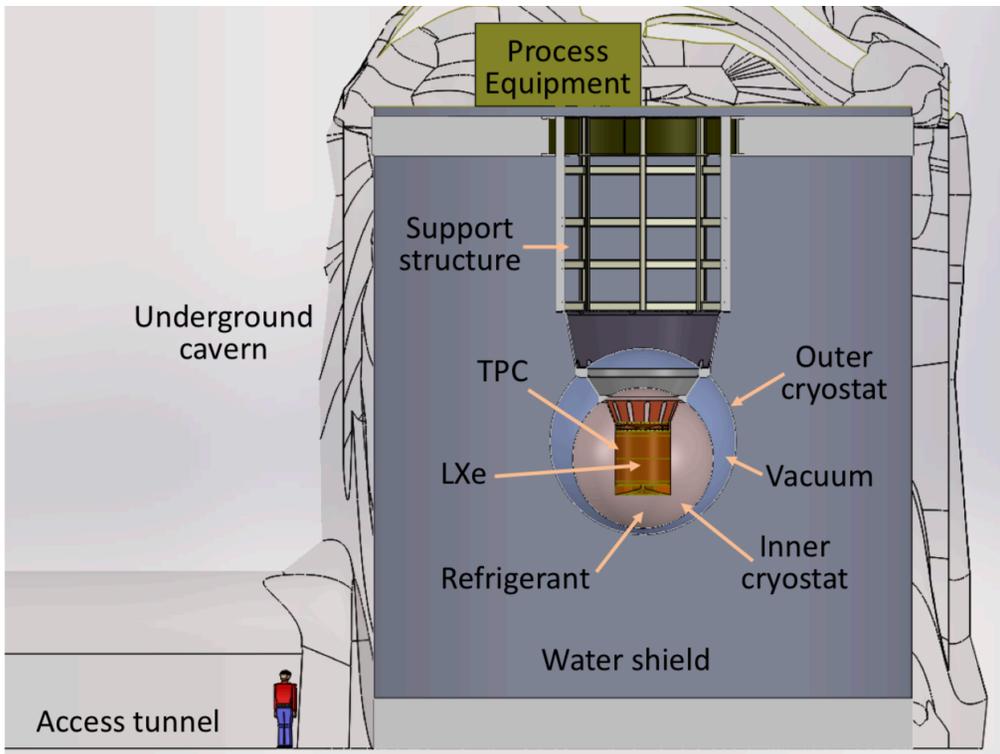
[PandaX-III](#), an experiment with 200 kg to 1000 kg of 90% enriched  $^{136}\text{Xe}$

In the U.S. the Enriched Xenon Observatory (EXO-200) is currently operational at WIPP in New Mexico using 3D/time imaging in a liquid  $^{136}\text{Xe}$  chamber, while the Majorana Demonstrator at the Sanford laboratory in South Dakota using p-type point-contact  $^{76}\text{Ge}$  detectors is about to come on line. The Cryogenic Underground Observatory for Rare Events (CUORE) located at Gran Sasso National Laboratory in Italy is being assembled and commissioned using  $\text{TeO}_2$  crystals in a bolometric detector configuration. SNO+ at SNOLAB in Canada is a large-volume, loaded-scintillator detector under construction using  $^{130}\text{Te}$ . Other experiments, with some U.S. involvement, using  $^{136}\text{Xe}$  are KamLAND-Zen at the Kamioka mine in Japan, NEXT at the Canfranc Laboratory in Spain, and PANDAX-III at the JinPing Laboratory in China.

# Running Experiments

Experiment	BI	Median Sensitivity	$T_{1/2}$ Limit	$\langle m_{\beta\beta} \rangle$
KamLAND-Zen	$\sim 20 \times 10^{-3}$ c/(keV kg yr)	$5.6 \times 10^{25}$ yr	$1.1 \times 10^{26}$ yr	61-165meV
EXO-200	$1.5 \times 10^{-3}$ c/(keV kg yr)	$3.7 \times 10^{25}$ yr	$1.8 \times 10^{25}$ yr	147-398meV
CUORE	$14 \times 10^{-3}$ c/(keV kg yr)	$7 \times 10^{24}$ yr	$1.5 \times 10^{25}$ yr	140-400meV
GERDA	$1.0^{+0.6}_{-0.4} \times 10^{-3}$ c/(keV kg yr)	$4.0 \times 10^{25}$ yr	$5.3 \times 10^{25}$ yr	150-330meV
MJD	$1.6^{+1.2}_{-1.0} \times 10^{-3}$ c/(keV kg yr)	$2.1 \times 10^{25}$ yr	$1.9 \times 10^{25}$ yr	240-520meV

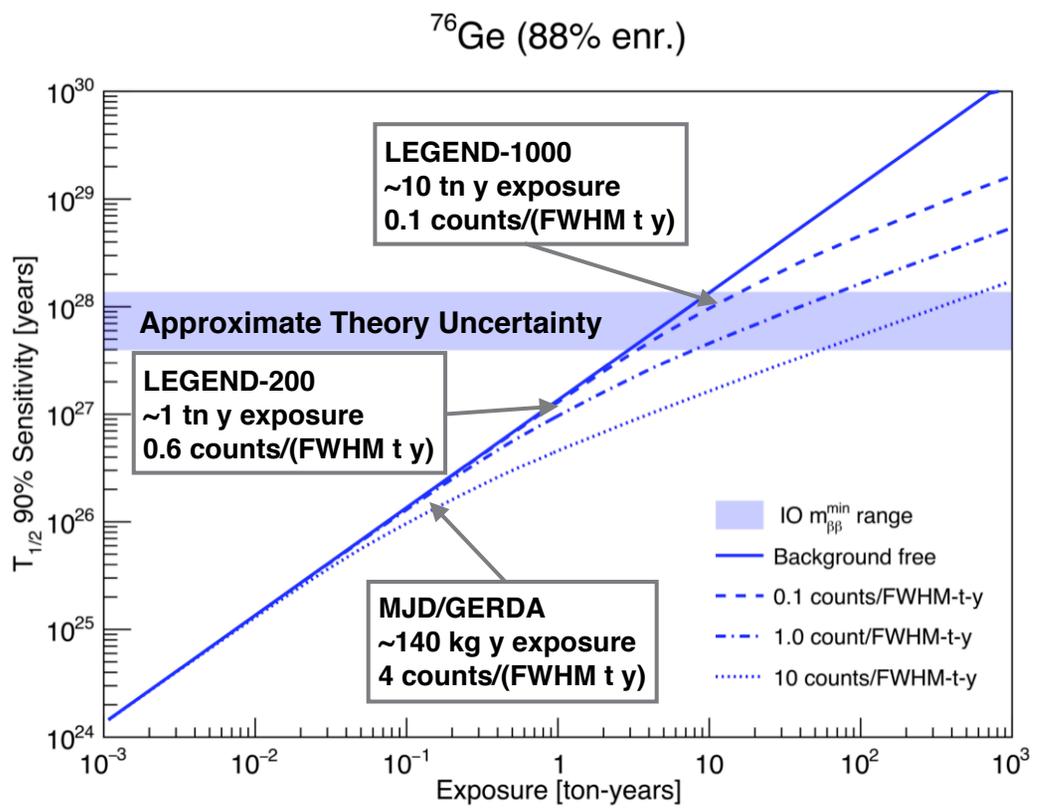
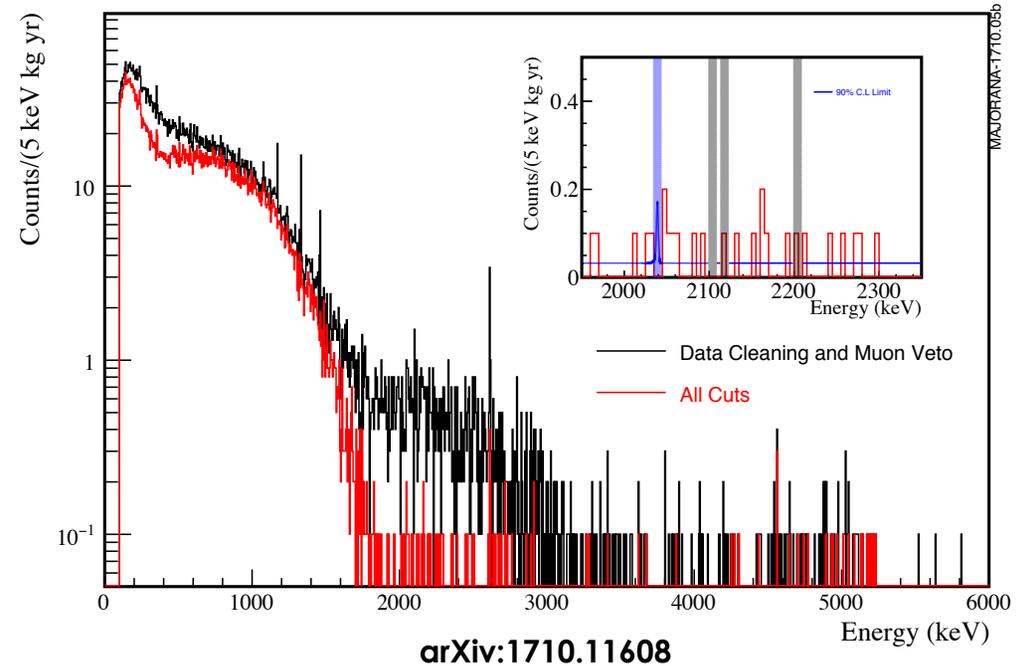
# Example: nEXO (Snolab?)



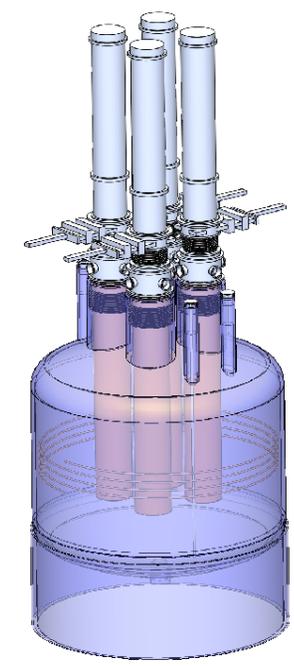
# Majorana Demo

## MJD Accomplishments - 2015-201

Demonstrated the best en  
**(2.4keV FWHM at 2039keV)**  
 experiment to date.



LEGEND-200

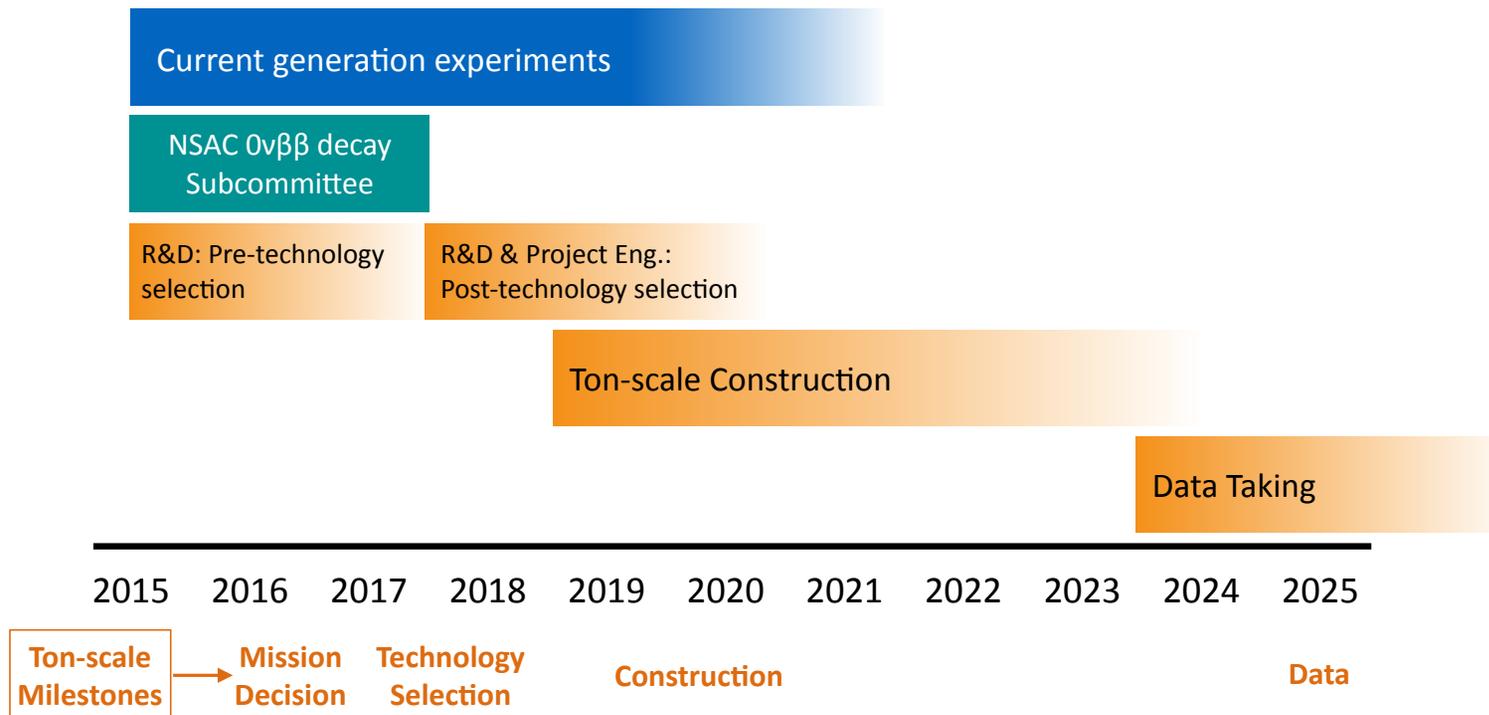


LEGEND-1000

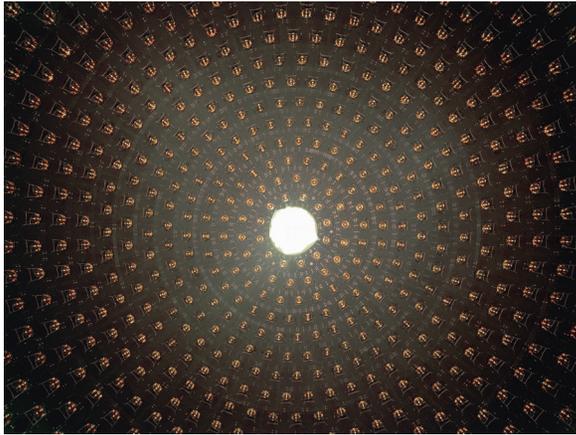
# Timeline

## Ton-scale Neutrinoless Double Beta Decay ( $0\nu\beta\beta$ ) - A Notional Timeline

*Search for Lepton Number Violation*



# Sterile Neutrinos?



miniBooNE

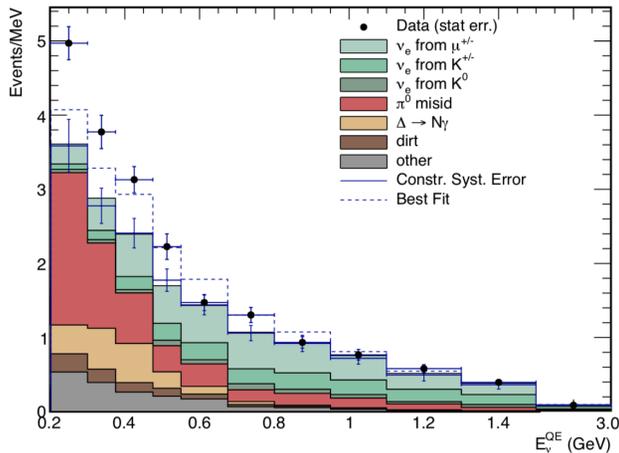
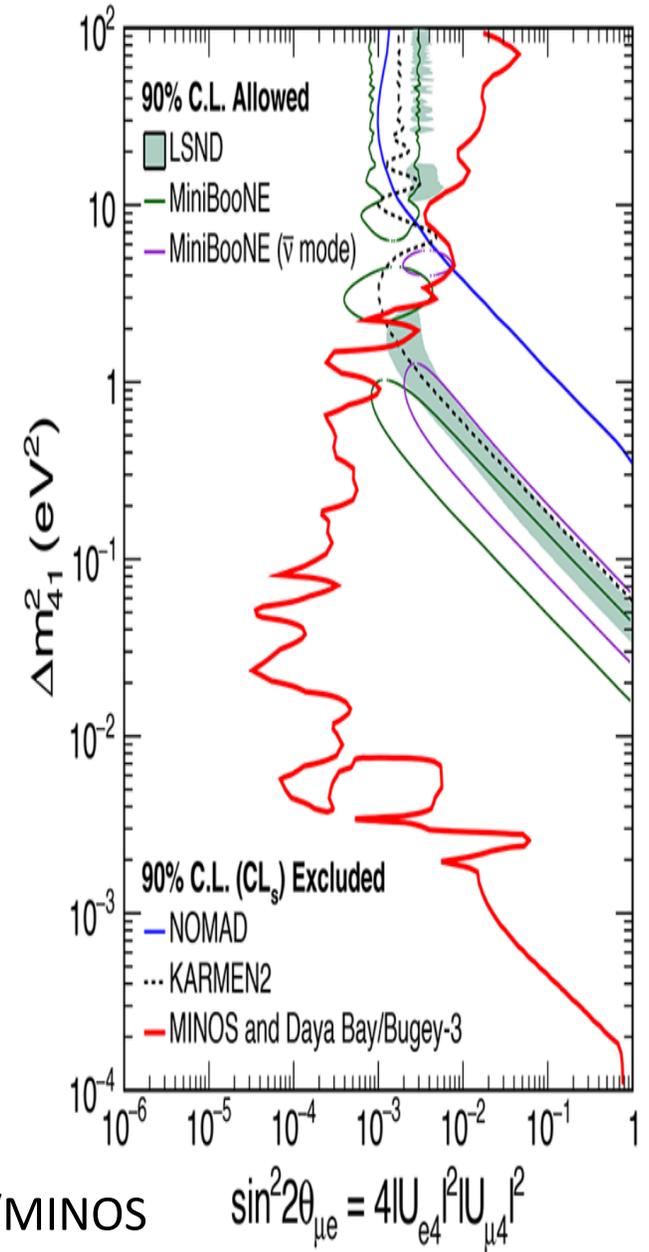
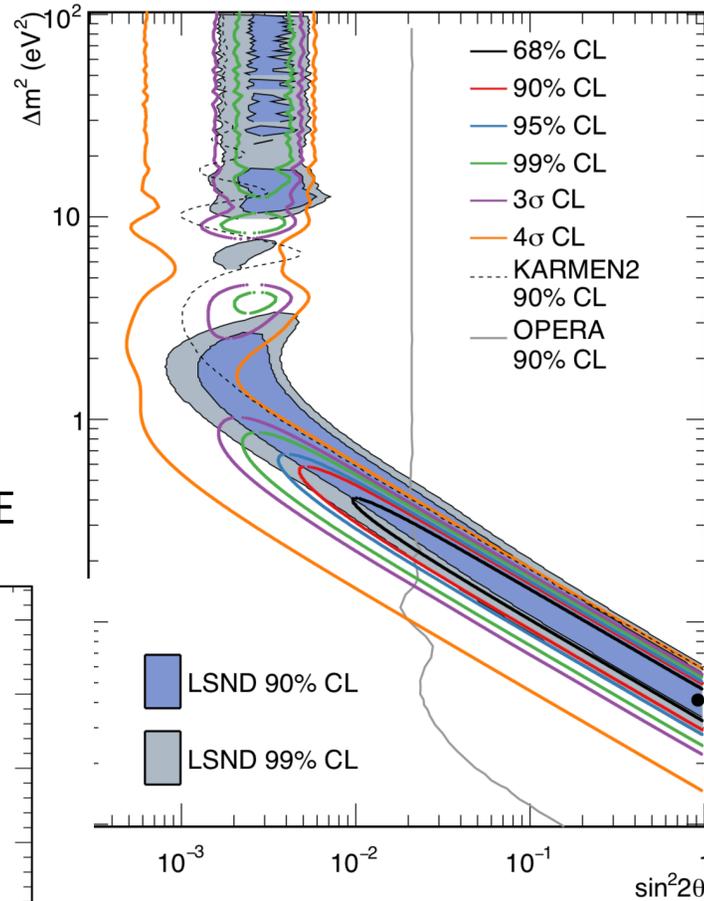


FIG. 1: The MiniBooNE neutrino mode  $E_{\nu}^{QE}$  distributions, corresponding to the total  $12.84 \times 10^{20}$  POT data, for  $\nu_e$  CCQE data (points with statistical errors) and background (histogram with systematic errors). The dashed curve shows the best fit to the neutrino-mode data assuming two-neutrino oscillations. The last bin is for the energy interval from 1500-3000 MeV.



Daya Bay/Bugey/MINOS

$$\sin^2 2\theta_{\mu e} = 4|U_{e4}|^2 |U_{\mu4}|^2$$

# ...and the fun continues...

- Ice Cube
- Underwater  $\nu$  detectors
- Coherent  $\nu$  scattering
- Nuclear/particle physics with  $\nu$  (MINER $\nu$ A)
- Supernova  $\nu$  hunting (Super-K with Gd)
- ...