



Solar  
neutrinos

Radioactivity

Theory of  $\beta$   
decay

Neutrinos

How the Sun  
shines

The problem

Neutrino  
flavors

The solution

# Solar neutrinos: the problem and its solution

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# Discovery of radioactivity

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- **Becquerel:** discovery of radioactivity in uranium salts
- **Rutherford:**  $\alpha$  and  $\beta$  radioactivity
- **Curies:** discovery of polonium and radium



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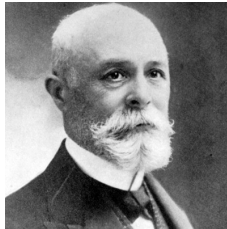
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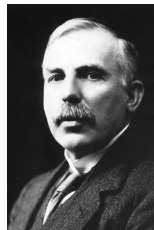
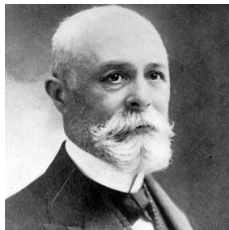
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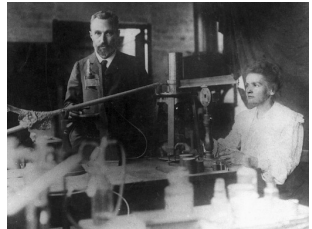
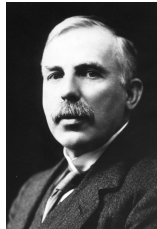
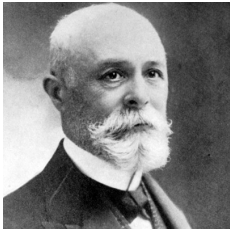
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# $\alpha$ , $\beta$ , and $\gamma$ radioactivity

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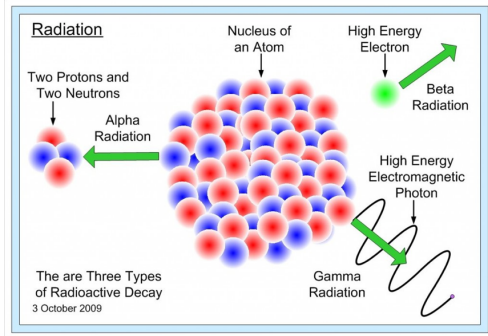
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$$A \longrightarrow B + \text{radiation } \alpha/\beta/\gamma$$

$$M_A c^2 = M_B c^2 + E_{\text{radiation}} + (B \text{ kinetic energy})$$

- $\alpha$ : nucleus of helium atom (2  $p$  and 2  $n$ )
- $\beta$ : energetic electron
- $\gamma$ : energetic electromagnetic radiation

- **Chadwick:** electron in  $\beta$  decay emerges with a continuum spectrum of kinetic energies
- Conservation of energy appears to be violated:

$$T_e \simeq M_A c^2 - M_B c^2 - M_e c^2$$

- **Bohr:** principle may not be valid in atomic phenomena

# Conservation of energy in $\beta$ decay: a problem

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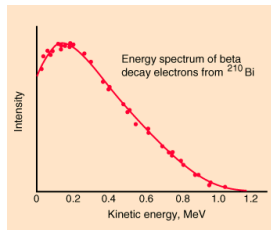
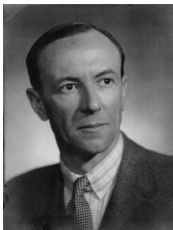
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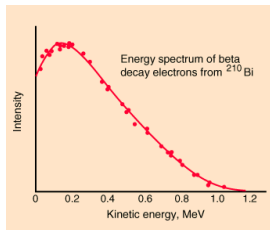
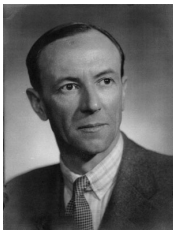
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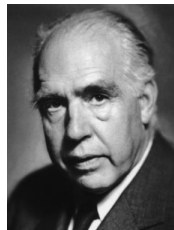
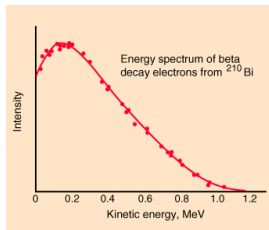
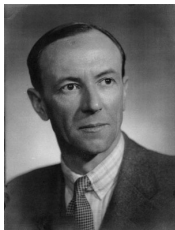
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# Pauli's proposal

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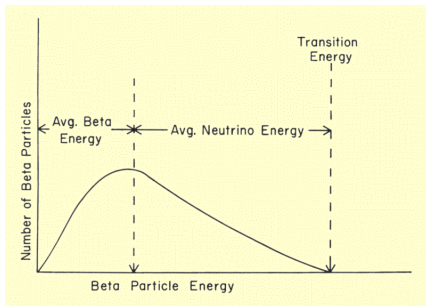
The solution

- **Pauli:** additional particle emitted in  $\beta$  decay

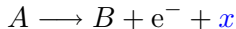
$$A \longrightarrow B + e^- + x$$

$$T_e + E_x \simeq M_A c^2 - M_B c^2 - M_e c^2$$

- $x$  particle must be neutral and lighter than the electron



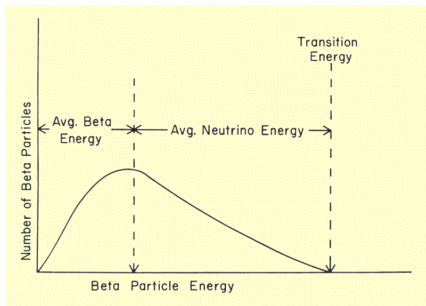
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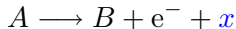
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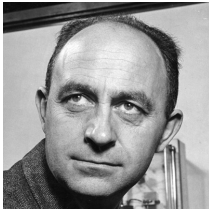


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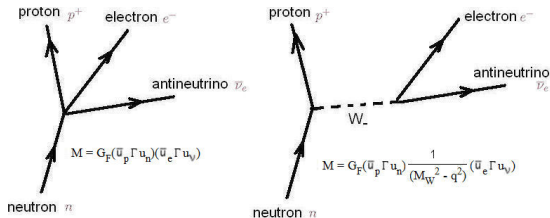
## Versuch einer Theorie der $\beta$ -Strahlen. I<sup>1)</sup>.

Von E. Fermi in Rom.

Mit 3 Abbildungen. (Eingegangen am 16. Januar 1934.)

Eine quantitative Theorie des  $\beta$ -Zerfalls wird vorgeschlagen, in welcher man die Existenz des Neutrinos annimmt, und die Emission der Elektronen und Neutrinos aus einem Kern beim  $\beta$ -Zerfall mit einer ähnlichen Methode behandelt, wie die Emission eines Lichtquants aus einem angeregten Atom in der Strahlungstheorie. Formeln für die Lebensdauer und für die Form des emittierten kontinuierlichen  $\beta$ -Strahlenspektrums werden abgeleitet und mit der Erfahrung verglichen.

- **Fermi:** in nucleus the process  $n \longrightarrow p + e^- + \bar{\nu}_e$  occurs



a. Fermi's 4-point Interaction, 1934      b. Weak Interaction mediated by boson, 1938

- **Fermi** calls the  $x$  particle “neutrino”



# Weak interaction

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The solution

- Transformation  $n \rightarrow p$  caused by a new interaction, the “**weak interaction**”
- The “**strong interaction**” binds protons and neutrons in the nucleus
- Gravitational and electromagnetic interactions act on large distances (familiar to us from our everyday life)
- The **strong** and **weak** interactions act on distances of the order  $10^{-13}$  cm  $\ll$  atom size of  $10^{-8}$  cm
- **Bethe and Peierls** calculate probability for

$$A + \nu_e \longrightarrow B + e^- \text{ (from Fermi's theory)}$$

and conclude there is “... no practically possible way of observing the neutrino”



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# Detecting $\nu$ 's: Pontecorvo's proposal

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- Identify copious source of neutrinos: a nuclear reactor produces  $\sim 10^{13}$  neutrinos/sec/cm<sup>2</sup>
- **Pontecorvo**: use cleaning fluid ( $\text{C}_2\text{Cl}_4$ ) and the reaction



and detect products from radioactive decay of  $^{37}\text{Ar}$

- Pontecorvo does not put into practice his proposal (defects to the USSR in early fifties)

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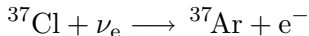
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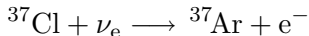
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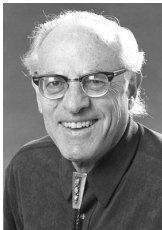
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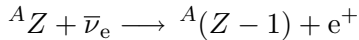
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- **Reines and Cowan:** use the reaction (also predicted by Fermi's theory)



and detect positron ( $e^+$ )

- Experiment facilitated by recent discovery of organic fluids which scintillate

# A suitable neutrino source!

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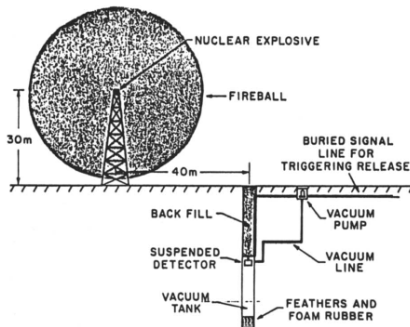


Fig. 1. The first conceptual proposed experiment to detect the free neutrino. This experiment was approved by the authorities at Los Alamos but was superseded by the approach which used a fission reactor as a neutrino source and the delayed coincidence reaction to reduce the background.

# The Reines-Cowan experiment

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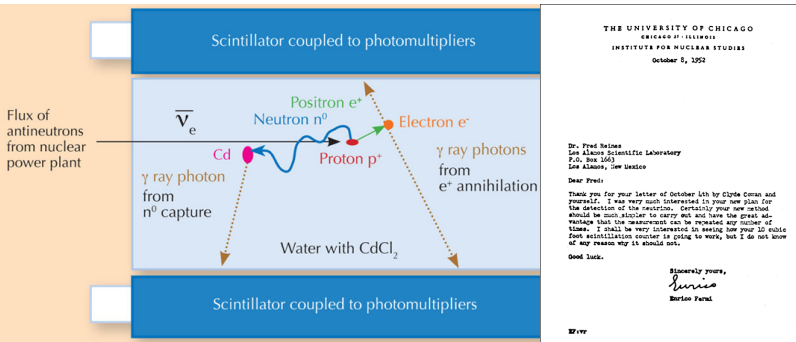
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- Neutrinos from nuclear reactor
- Problem: background from cosmic rays
- Solution: detect  $e^+$  and  $n$  created by weak interactions
- Irrefutable proof that neutrinos exist in 1956!



# A better still nuclear reactor: the Sun!

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- It is discovered that one Helium atom is slightly less massive than four Hydrogen atoms ( $\Delta M$ )
- **Eddington**: nuclear reactions are responsible for energy production in the Sun ( $E = \Delta M c^2$ )
- **Bethe** proposes the sequence of reactions



for the conversion  $4p \rightarrow {}^4\text{He}$  and the release of energy

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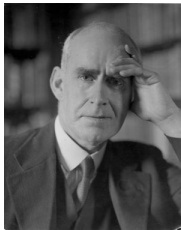
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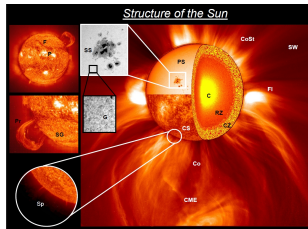
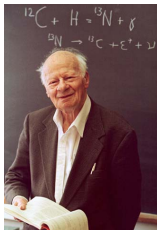
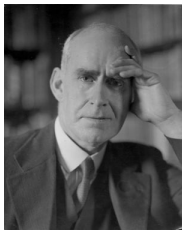
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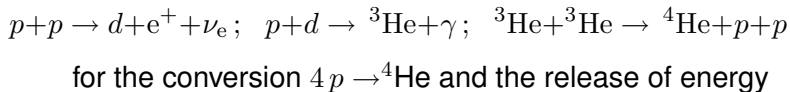
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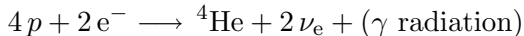
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- The previous sequence of reactions converts



and releases the energy

$$\begin{aligned} E_\gamma &= [4M({}^1\text{H}) + 2M_e - M({}^4\text{He})]c^2 - 2\langle E_{\nu_e} \rangle \\ &\simeq 26.7 \text{ MeV } (4.3 \times 10^{-12} \text{ J}) \end{aligned}$$

- Sun luminosity is  $L_\odot \simeq 3.8 \times 10^{26} \text{ J} \cdot \text{s}^{-1} = 3.8 \times 10^{17} \text{ GW}$

$$N_{\nu_e} \simeq 2 \times L_\odot / (4.3 \times 10^{-12} \text{ J}) \simeq 1.8 \times 10^{38} \text{ s}^{-1}$$

- The neutrino flux on Earth due to  $pp$  weak fusion is

$$\phi(pp) \simeq N_{\nu_e} / (4\pi D^2) \simeq 6.4 \times 10^{10} \text{ neutrinos}/(\text{cm}^2 \cdot \text{s})$$

where  $D = 1.5 \times 10^8 \text{ km}$  is the distance Earth-Sun



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- Davis sets up tank with  $3.8 \times 10^5$  liters of  $\text{C}_2\text{Cl}_4$  at a depth of 1.5 km in Homestake mine to detect  $^{37}\text{Ar}$  from



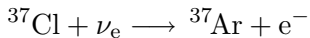
but  $\nu_e$ 's due to  $pp$  fusion have too low  $E_{\nu_e}$  to activate it

- $\nu_e$ 's from  $^8\text{B}$  decay in  $pp$  chain have  $E_{\nu_e} \lesssim 14 \text{ MeV}$



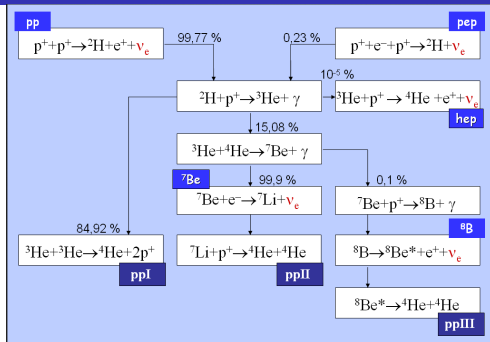


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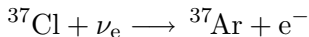


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but  $\nu_e$ 's due to *pp* fusion have too low  $E_{\nu_e}$  to activate it

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# Neutrino fluxes in $pp$ chain

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- Bahcall develops “standard solar model” (SSM) and estimates  $\nu_e$  fluxes from reactions in  $pp$  chain
- SSM predicts that less than a single  $^{37}\text{Ar}$  is produced per day on average!



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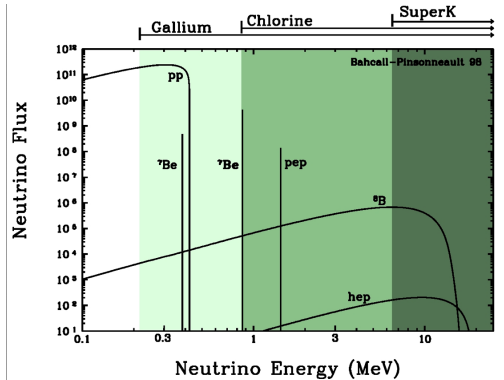
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# The solar neutrino problem

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The solution



- **Davis** announces first results in 1968: only 1/3 of expected  $\nu_e$  from SSM are detected
- Doubts on (i) **Davis'** ability to count a few  $^{37}\text{Ar}$  atoms out of  $10^{30}$  atoms in tank and (ii) validity of **Bahcall's** SSM
- **Davis'** first results were later confirmed over two decades of running!
- A different experiment (Kamiokande, 1989) confirms  $\nu_e$  deficit observed by **Davis**

# K and SK experiments in Japan

Solar  
neutrinos

Radioactivity

Theory of  $\beta$   
decay

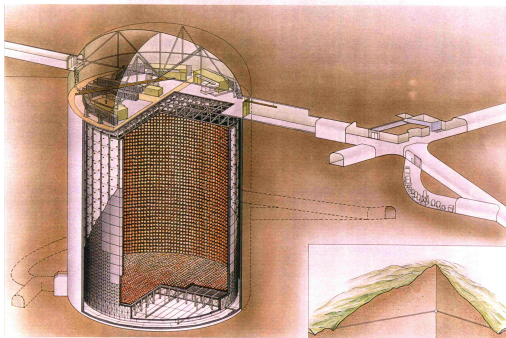
Neutrinos

How the Sun  
shines

The problem

Neutrino  
flavors

The solution



(c) Kamioka Observatory, ICRR(Institute for Cosmic Ray Research), The University of Tokyo  
 SUPERKAMIOKANDE INSTITUTE FOR COSMIC RAY RESEARCH UNIVERSITY OF TOKYO

- In late 80's a new experiment, **Kamiokande (K)**, comes online, later upgraded to **Super-Kamiokande (SK)**
- **SK detector**:  $\sim 50$  ktons of pure water and  $\sim 11,000$  photomultipliers (PMT's)

# SK: a picture with installed PMT's

Solar  
neutrinos

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Theory of  $\beta$   
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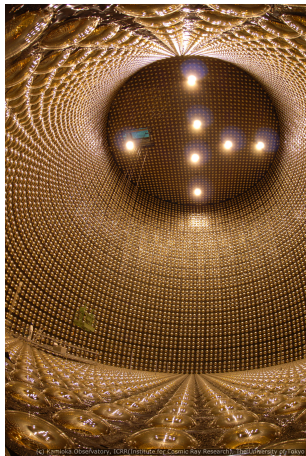
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- Stainless steel cylindrical container ( $\sim 39$  m diameter and  $\sim 41$  m height)





# SK: a picture with nearly filled tank

Solar  
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decay

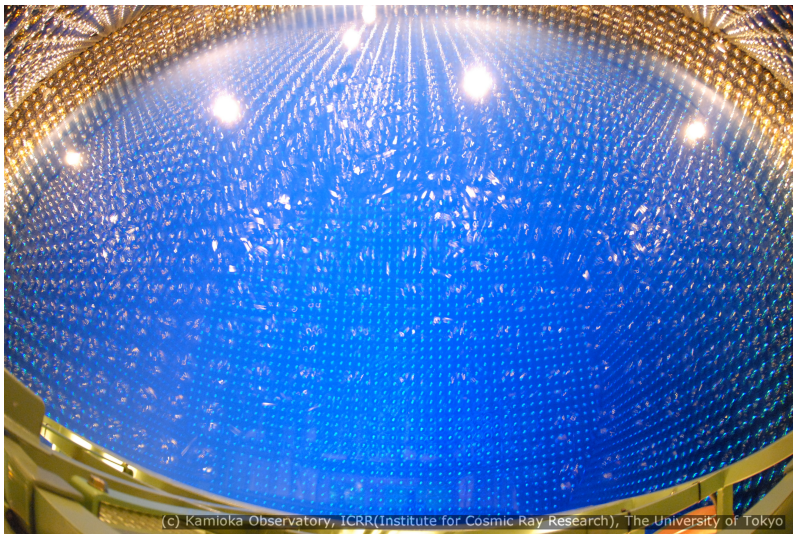
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# K experiment confirms Davis' results

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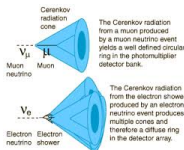
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- A  $\nu_e$  collides with  $e^-$  in water molecule and propels it forward
- Fast  $e^-$  produces cone of light (Cherenkov radiation) along its path
- **K** can infer direction and energy of incoming  $\nu_e$  from direction and intensity of Cherenkov light
- In 1989 **K** announces that  $\nu_e$ 's come from the Sun and confirms deficit observed by Davis

# K experiment confirms Davis' results

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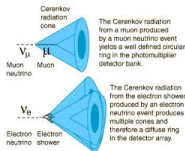
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*The Sun Just Shines*

## Elusive Particles Continue to Puzzle Theorists of the Sun

By GEORGE JOHNSON  
Published: June 9, 1998

ONE of the biggest embarrassments of 20th-century science -- the sun's refusal to emit nearly as

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# The Standard Model and neutrino flavors

Solar  
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decay

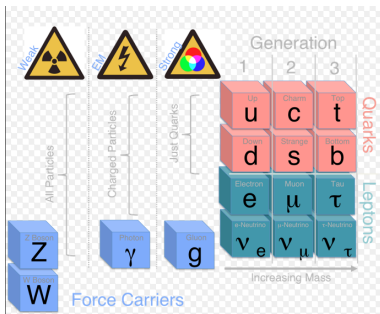
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The solution



- There are three neutrino flavors:  $\nu_e$ ,  $\nu_\mu$ , and  $\nu_\tau$  (and their three antiparticles:  $\bar{\nu}_e$ ,  $\bar{\nu}_\mu$ , and  $\bar{\nu}_\tau$ )

$$\mu^- \longrightarrow e^- + \nu_\mu + \bar{\nu}_e \quad \tau_\mu \simeq 2.2 \times 10^{-6} \text{s}$$

- $\nu_\mu$  and  $\nu_\tau$  discovered, respectively, in 1962 and 2000



# Neutrino flavor oscillations I

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The solution

- Pontecorvo's insight: neutrinos have mass and oscillate between flavors, for example  $\nu_e \rightarrow \nu_\mu$  or  $\nu_e \rightarrow \nu_\tau$
- Only  $\nu_e$ 's are produced by the Sun and can be detected in Davis' experiment, while  $\nu_\mu$  and  $\nu_\tau$  escape detection
- How do oscillations occur? In Quantum Mechanics (QM) particles can also be described by waves

$$\lambda = h/p \quad h = \text{Planck constant} \quad p = m v \text{ momentum}$$

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Бруно Понтекорво

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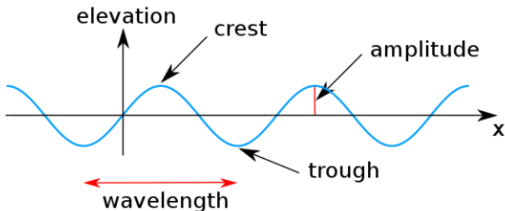
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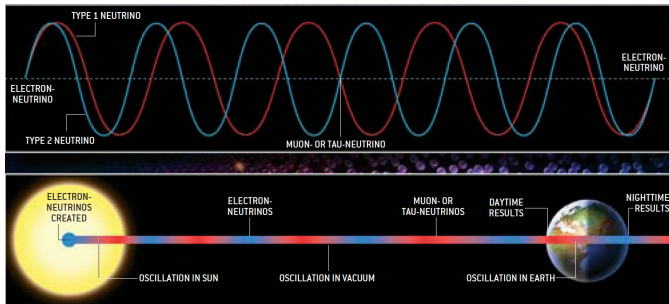
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Бруно Понтекорво







- In the case of two flavors, for simplicity, QM predicts

$$P_{\nu_e \rightarrow \nu_\mu}(x) = \sin^2(2\theta) \sin^2\left(\frac{\pi x}{L}\right) \text{ with } L = \frac{2h}{c^3} \frac{E}{m_2^2 - m_1^2}$$

- Presence of matter (electrons in solar interior) modifies  $P_{\nu_e \rightarrow \nu_\mu}(x)$  and enhances oscillations (MSW effect)



# Towards the solution: SK and atmospheric $\nu_\mu$

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neutrinos

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The problem

Neutrino  
flavors

The solution

- Evidence accumulates that neutrinos oscillate: SK measures  $\nu_e$ 's and  $\nu_\mu$ 's due to cosmic rays

$$(\#\nu_\mu)/(\#\nu_e) \simeq 1 \text{ versus expected } \simeq 2$$

- Variation of  $\nu_\mu$  flux with zenith angle

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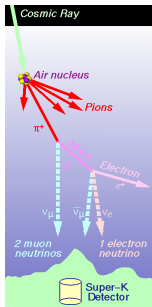
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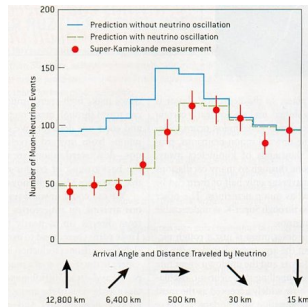
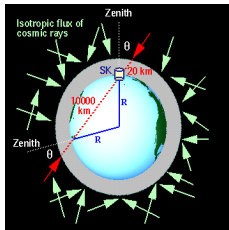
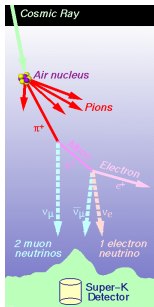
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# The Sudbury Neutrino Observatory (SNO)

Solar  
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Theory of  $\beta$   
decay

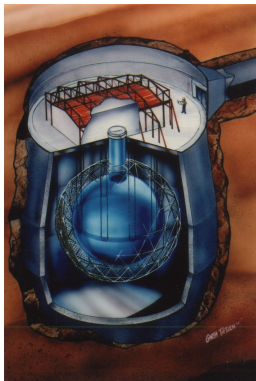
Neutrinos

How the Sun  
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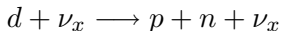
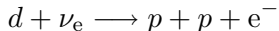
The problem

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The solution



- 1,000 tons of heavy water ( $D_2O$ ) and 9,600 PMT's mounted on a geodesic support structure
- SNO detects neutrinos via the processes:





# The SNO experiment

Solar  
neutrinos

Radioactivity

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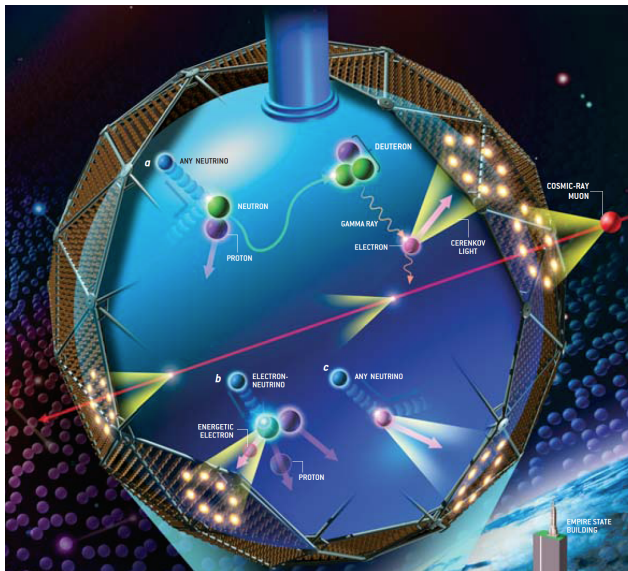
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# The SNO results: the solar $\nu_e$ problem solved!

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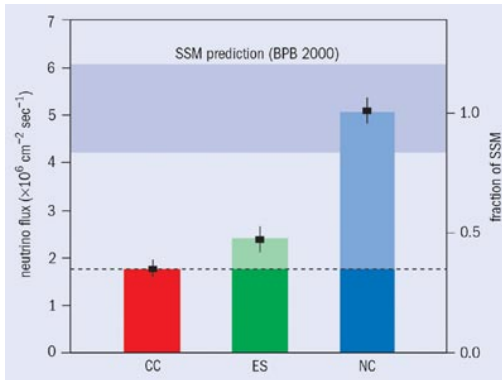
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- $\nu_e$  flux: CC from reaction  $d + \nu_e \longrightarrow p + p + e^-$
- mostly  $\nu_e$  flux: ES from  $e^- + \nu_e \longrightarrow e^- + \nu_e$
- $\nu_e + \nu_\mu + \nu_\tau$  flux: NC from  $d + \nu_x \longrightarrow p + n + \nu_x$



# Summary

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The solution

- The solar neutrino problem: the story of a triumph!
- The physics of neutrinos is now a field of intense research activity:
  - determination of  $\Delta m_{ij}^2$  and  $\theta_{ij}$
  - role of neutrinos in supernova explosions
  - neutrinos and the matter-antimatter asymmetry problem
  - ...
- The support of the U.S. Department of Energy under contract DE-AC05-06OR231 is gratefully acknowledged



# $\nu$ squared-mass splitting and mixing angle

Solar  
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