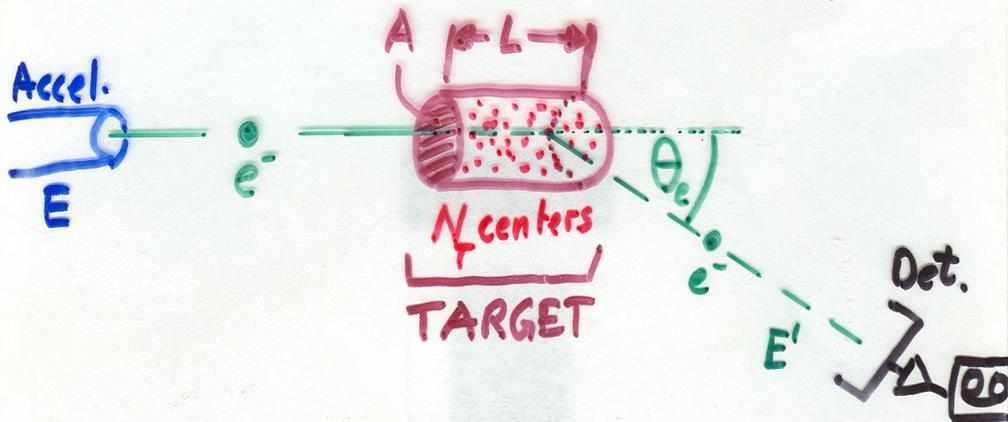


# ES, IS, DIS, SIDIS and Hadron Structure

# Electron Scattering - what can we measure?



What is the likelihood to find the electron scattered into the detector?

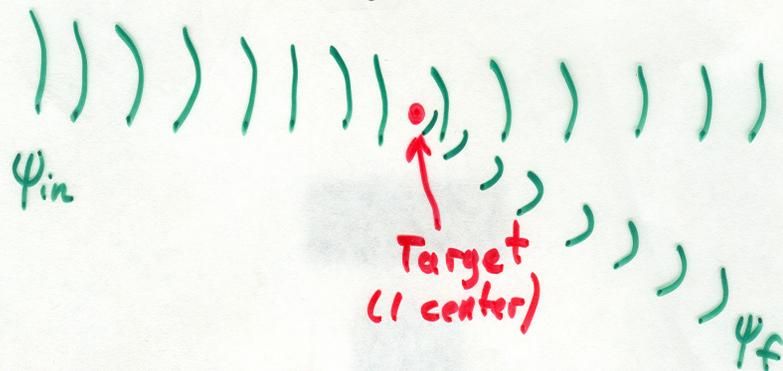
$$P \sim n_T \cdot L = \frac{N_T}{A \cdot L} \cdot L = \frac{N_T}{A}$$

$\Rightarrow$  call  $\Delta\sigma = P / \left(\frac{N_T}{A}\right)$  (cross section)

$\Delta\sigma$  DEPENDS on the kinematics ( $E, E', \theta_e$ ) and is  $\approx$  proportional to SIZE of kinematic bin spanned by the detector

\* Note:  $\frac{N_T}{A} = \rho \left[\frac{g}{cm^3}\right] \cdot L [cm] \cdot \frac{\text{Avogadro}}{\text{Atomic Weight [g]}}$

# Electron Scattering - Theorist's View



What is the transition rate

$W_{i \rightarrow f}$ ?

$$\begin{aligned} \dot{N}_{e, f} &= \dot{N}_{e, in} \cdot P(i \rightarrow f) = I_{e, in} \cdot \frac{N_T}{A} \cdot \Delta\sigma \\ &= \frac{I_{e, in}}{A} \cdot N_T \cdot \Delta\sigma = \left(\vec{j}_{e, in}\right)_z \cdot N_T \cdot \Delta\sigma \end{aligned}$$

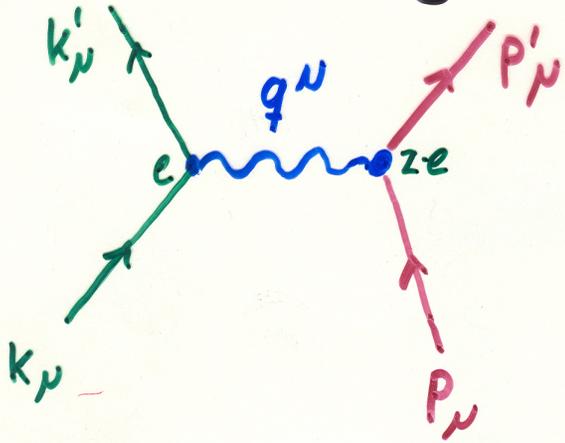
$$\Rightarrow W_{i \rightarrow f} = j_{in} \cdot \Delta\sigma$$

Fermi's **GOLDEN** Rule:

$$W_{i \rightarrow f} = \frac{2\pi}{\hbar} |\mathcal{M}_{fi}|^2 \Delta\phi \leftarrow \begin{array}{l} \text{Phase space} \\ \text{spanned by} \\ \text{detector/kinematics} \end{array}$$

$$\mathcal{M}_{fi} = \langle \psi_f | H_{int} | \psi_{in} \rangle$$

# Elastic Scattering - Feynman diagram



$$M_{fi} = e j_\mu \left( -\frac{1}{q^2} \right) z j^\mu \quad Q^2 := -q^\mu q_\mu$$

Based on  $\square^2 A_\mu = j_\mu \Rightarrow A_\mu = \left( \frac{1}{i q} \right)^2 j_\mu$

and  $H_{int} = A_\mu j^\mu = V_\rho - \vec{A} \cdot \vec{j}$

$$\Rightarrow \Delta\sigma = \frac{4z^2 \alpha^2 (\hbar c)^2}{Q^4} \left( 1 - \beta^2 \sin^2 \frac{\theta}{2} \right) \left( 1 + 2 \frac{\nu^2}{Q^2} \tan^2 \frac{\theta}{2} \right)$$

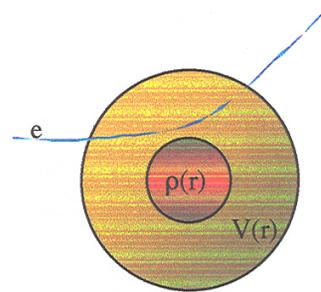
$$\cdot \int d^3 \vec{k}' \delta(E' - E_e) (= E'^2 \Delta\Omega)$$

#1 magnetic interaction due to electron spin

\*1 magnetic interaction due to target spin

# Form Factors

Low-medium energy: Distribution of charge and magnetism inside the hadron



$$\nabla^2 V(\mathbf{r}) = -4\pi\rho(\mathbf{r}) \Rightarrow$$

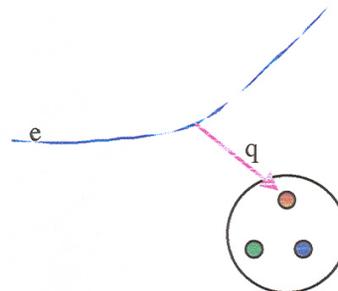
$$q^2 V \propto \int e^{i\mathbf{q}\cdot\mathbf{r}} \rho(\mathbf{r}) d^3\mathbf{r} = F(q)$$

$$\mathcal{H} \approx -eV \propto \frac{F(q)}{q^2}$$

$$\frac{d\sigma}{d\Omega} \propto |\langle f | \mathcal{H} | i \rangle|^2 \propto \frac{F^2(q)}{q^4}$$

Ex:  $\rho(r) = a e^{-\alpha r} \Rightarrow F(q^2) = (1 + q^2/\alpha^2)^{-2}$  (Dipole Form)

High energy: "Stability" of internal structure against hard "blows"



Can the hadron absorb a high momentum virtual photon without breaking apart?

## Some kinematics - in the lab system

$$k^N = (E, 0, 0, E) = (E, \vec{k})$$

$$k'^N = (E', E' \sin \theta, 0, E' \cos \theta) = (E', \vec{k}')$$

$$q^N = (E - E', -E' \sin \theta, 0, E - E' \cos \theta) = (\nu, \vec{q})$$

$$Q^2 = -q^\mu q_\mu = -\nu^2 + \vec{q}^2 = (E - E' \cos \theta)^2 + E'^2 \sin^2 \theta - (E - E')$$

$$= E^2 - 2EE' \cos \theta + E'^2 - E^2 - E'^2 + 2EE'$$

$$= 2EE'(1 - \cos \theta) = 4EE' \sin^2 \frac{\theta}{2}$$

$$p^N = (M, 0, 0, 0) = (M, \vec{0})$$

$$p'^N = p^N + q^N = (M + \nu, \vec{q})$$

$$p'^\mu p'_\mu =: W^2 = M^2 + 2M\nu + \nu^2 - \vec{q}^2$$

$$= M^2 + 2M\nu - Q^2$$

Elastic Scattering:  $W^2 \stackrel{!}{=} M^2$

$$\Rightarrow \nu_{el} \stackrel{!}{=} \frac{Q^2}{2M} \quad \text{or} \quad X_{el} = \frac{Q^2}{2M\nu_{el}} \stackrel{!}{=} 1$$

## Elastic cross section - final form

$$\Delta \sigma = \frac{4z^2 \alpha^2 (\hbar c)^2}{Q^4} \cos^2 \frac{\theta}{2} \left[ \underbrace{\frac{G_E^2 + \tau G_M^2}{1 + \tau}}_{\text{LONGITUDINAL (charge)}} + 2\tau \tan^2 \frac{\theta}{2} \underbrace{G_M^2}_{\text{TRANSVERSE (magnetic)}} \right]$$

$\cdot E'^2 \Delta \Omega \frac{E'}{E}$   
Ravail

$$\tau = \frac{\nu^2}{Q^2}, \quad G_E(Q^2), \quad G_M(Q^2):$$

Form Factors

Dirac Particle:  $G_E = G_M = 1$  (const.)

Anomalous magnetic moment:  $G_M \approx (1 + \kappa) G_E$

Extended Charge distribution:

$G_E(Q^2) \approx$  Fourier transform  
of  $\rho(r)$

$$\text{Ex: } \rho(r) \approx \frac{a^3}{8\pi} e^{-ar} \Rightarrow G_E(Q^2) \approx \left( \frac{1}{1 + \frac{Q^2}{a^2}} \right)^2$$

(Dipole Form).  $\rho: a^2 = 0.71 \text{ GeV}^2$

## Inelastic Cross Section - What's different

Phase space factor  $d^3k' = k'^2 d\Omega_{k'} dk'$   
 (  $\delta$ -function drops since  $E'$  can have any value )

conversion

$$\begin{aligned} k'^2 d\Omega dk' &= E'^2 2\pi \sin\theta d\theta dE' \\ &= -2E'^2 d\cos\theta \pi dE' \\ &= \frac{\pi E'}{E} \underbrace{(-2EE' d\cos\theta)}_{+dQ^2} \underbrace{dE'}_{|d\nu|} \text{ since } \nu = E - E' \end{aligned}$$

Replace  $\frac{G_E^2 + \tau G_M^2}{1 + \tau}$  with  $W_2(Q^2, \nu)$  \*)

and  $\tau G_M^2$  with  $W_1(Q^2, \nu) \Rightarrow$

$$\Delta\sigma = \frac{4\pi\alpha^2(\hbar c)^2 E'}{Q^4} \cos^2\frac{\theta}{2} [W_2 + 2 \tan^2\frac{\theta}{2} W_1] \Delta Q^2 \Delta\nu$$

$$*) \Rightarrow W_2^{\text{el}}(Q^2, \nu) = \frac{G_E^2 + \tau G_M^2}{1 + \tau} \cdot \delta(\nu - \nu_{\text{el}})$$

$$W_1^{\text{el}}(Q^2, \nu) = \tau G_M^2 \cdot \delta(\nu - \nu_{\text{el}})$$

## Elastic Cross section - final form

$$\Delta\sigma = \frac{4Z^2\alpha^2(\hbar c)^2}{Q^4} \cos^2\frac{\theta}{2} \left[ \underbrace{\frac{G_E^2 + \tau G_M^2}{1 + \tau}}_{\text{LONGITUDINAL (charge)}} + 2\tau \tan^2\frac{\theta}{2} \underbrace{G_M^2}_{\text{TRANSVERSE (magnetic)}} \right] \cdot E'^2 \Delta\Omega_{\text{Pencil}} \frac{E'}{E}$$

$$\tau = \frac{\nu^2}{Q^2}, \quad G_E(Q^2), \quad G_M(Q^2):$$

Form Factors

Dirac Particle:  $G_E = G_M = 1$  (const.)

Anomalous magnetic moment:  $G_M \approx (1 + \kappa) G_E$

Extended Charge distribution:

$G_E(Q^2) \approx$  Fourier transform of  $\rho(r)$

$$\text{Ex: } \rho(r) \approx \frac{a^3}{8\pi} e^{-ar} \Rightarrow G_E(Q^2) \approx \left( \frac{1}{1 + \frac{Q^2}{a^2}} \right)^2$$

(Dipole Form).  $p: a^2 = 0.71 \text{ GeV}^2$

## Elastic scattering

$$\frac{\Delta\sigma}{\Delta\Omega} = \frac{4\alpha^2(\hbar c)^2 E'^2 \cos^2 \frac{\theta}{2}}{Q^4} \frac{E'}{E} \left( \frac{G_E^2(Q^2) + \tau G_M^2(Q^2)}{1 + \tau} + 2\tau \tan^2 \frac{\theta}{2} G_M^2(Q^2) \right)$$

where  $\tau = \nu^2/Q^2$ .

## Inelastic Scattering

$$\frac{\Delta\sigma}{\Delta Q^2 \Delta\nu} = \frac{4\pi\alpha^2(\hbar c)^2 E' \cos^2(\theta/2)}{Q^4 E} (W_2(Q^2, \nu) + 2 \tan^2(\theta/2) W_1(Q^2, \nu))$$

$$\frac{\Delta\sigma}{\Delta Q^2 \Delta\nu} = \frac{4\pi\alpha^2(\hbar c)^2 E' \cos^2(\theta/2)}{Q^4 E} \frac{W_1(Q^2, \nu)}{\epsilon(1 + \tau)} (1 + \epsilon R(Q^2, \nu))$$

with  $\epsilon = (1 + 2(1 + \tau)\tan^2(\theta/2))^{-1}$

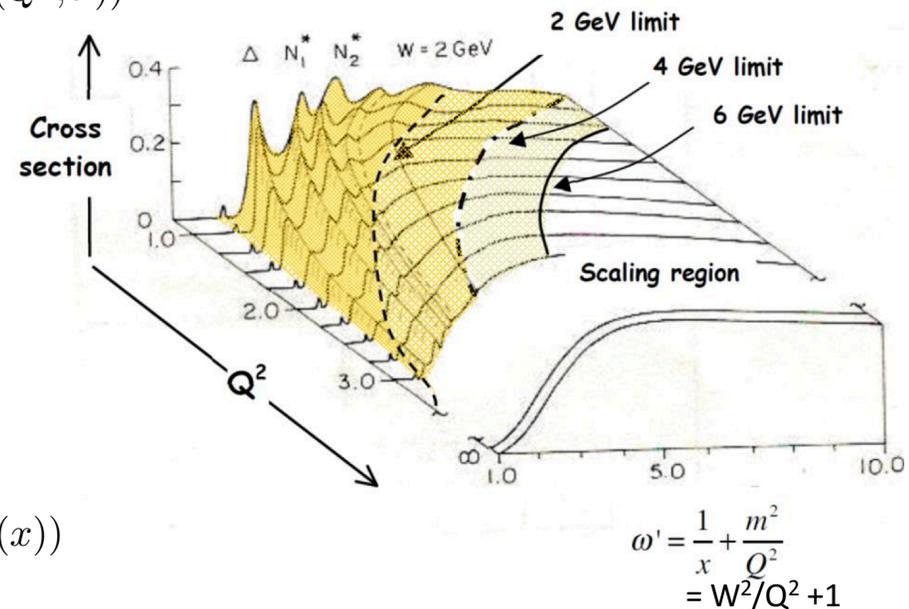
$$\frac{\Delta\sigma}{\Delta Q^2 \Delta\nu} = \frac{4\pi\alpha^2(\hbar c)^2 E' \cos^2(\theta/2)}{Q^4 E} \left( \frac{1}{\nu} F_2(x) + 2 \tan^2(\theta/2) \frac{1}{M} F_1(x) \right)$$

$$F_1(x) = M W_1(Q^2, \nu) \quad F_2(x) = \nu W_2(Q^2, \nu)$$

Only "mildly" dependent on  $Q^2$

$$x = \frac{p}{P}$$

$W$ :  $\gamma^*$ - $p$  invariant mass



Picture from F. Gross,  
 «Making the case for Jefferson Lab»  
 The first decade of Science at Jefferson Lab  
 JoP, Conf. Series 299 (2011) 012001

# Deep Inelastic Scattering (DIS)

Breit ("Brickwall") Frame

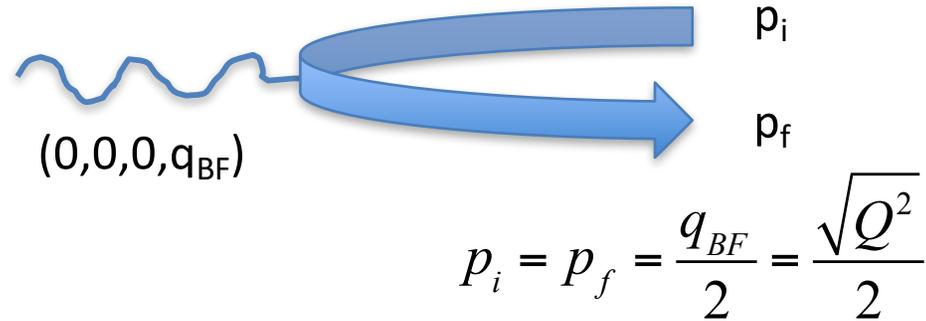
$$\begin{pmatrix} \Gamma & 0 & 0 & \Gamma\beta \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ \Gamma\beta & 0 & 0 & \Gamma \end{pmatrix} \begin{pmatrix} v \\ 0 \\ 0 \\ -q \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ q_{BF} \end{pmatrix} \Rightarrow$$

$$\Gamma = \frac{q}{\sqrt{Q^2}}, \Gamma\beta = \frac{v}{\sqrt{Q^2}}, q_{BF} = \sqrt{Q^2}$$

$$\begin{pmatrix} \Gamma & 0 & 0 & \Gamma\beta \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ \Gamma\beta & 0 & 0 & \Gamma \end{pmatrix} \begin{pmatrix} M \\ 0 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} \Gamma M \\ 0 \\ 0 \\ \Gamma\beta M \end{pmatrix} = \begin{pmatrix} \frac{qM}{\sqrt{Q^2}} \\ 0 \\ 0 \\ \frac{vM}{\sqrt{Q^2}} \end{pmatrix} \Rightarrow$$

$$x = \frac{P_i^{BF}}{P_i^{BF}} = \frac{\frac{\sqrt{Q^2}}{2}}{\frac{vM}{\sqrt{Q^2}}} = \frac{Q^2}{2Mv}$$

$$Q^2 \rightarrow \infty, v \rightarrow \infty, x = \frac{Q^2}{2Mv} \text{ fixed: } q = v \sqrt{1 + \frac{Q^2}{v^2}} = v \sqrt{1 + \frac{4M^2 x^2}{Q^2}} \approx v$$



# Deep Inelastic Scattering (DIS)

Reminder: Elastic scattering

$$\frac{\Delta\sigma}{\Delta Q^2} = \frac{4\alpha^2 (\hbar c)^2 E'^2 \cos^2 \frac{\theta}{2}}{Q^4} \frac{E'}{E} \left( \frac{G_E^2(Q^2) + \tau G_M^2(Q^2)}{1 + \tau} + 2\tau \tan^2 \frac{\theta}{2} G_M^2(Q^2) \right)$$

Elastic scattering from quarks:

$$\Delta\sigma = \frac{4\pi z_q^2 \alpha^2 (\hbar c)^2 E' \cos^2(\theta/2)}{Q^4 E} (q(x)\Delta x + 2\nu^2/Q^2 \tan^2(\theta/2)q(x)\Delta x)\Delta Q^2. \quad (12)$$

We can use the relation  $\Delta x = -Q^2/(2M\nu^2)\Delta\nu = -x\Delta\nu/\nu$  to rewrite this as

$$\frac{\Delta\sigma}{\Delta Q^2 \Delta\nu} = \frac{4\pi\alpha^2 (\hbar c)^2 E' \cos^2(\theta/2)}{Q^4 E} \left( \frac{x}{\nu} z_q^2 q(x) + \frac{1}{M} \tan^2(\theta/2) z_q^2 q(x) \right). \quad (13)$$

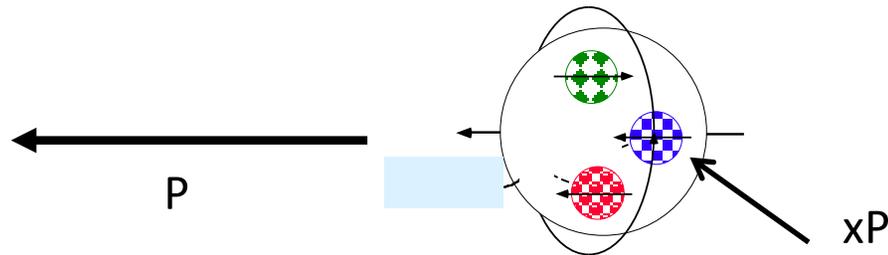
Reminder: IN-Elastic scattering

$$\frac{\Delta\sigma}{\Delta Q^2 \Delta\nu} = \frac{4\pi\alpha^2 (\hbar c)^2 E' \cos^2(\theta/2)}{Q^4 E} \left( \frac{1}{\nu} F_2(x) + 2 \tan^2(\theta/2) \frac{1}{M} F_1(x) \right)$$

NOTE:  $\Rightarrow$   
 $F_2 = 2xF_1$   
 Callan-Gross

$$\Rightarrow F_1(x) = \frac{1}{2} \left( \frac{4}{9} [u(x) + \bar{u}(x)] + \frac{1}{9} [d(x) + \bar{d}(x) + s(x) + \bar{s}(x)] + \dots \right) \quad \text{No } Q^2!$$

# Quark-Parton Structure of the Proton



$$q(x) \sim \langle P, s | \bar{q} \gamma^\mu q | P, s \rangle$$

$$\Delta q(x) = q \uparrow \uparrow (x) - q \uparrow \downarrow (x) + \bar{q} \uparrow \uparrow (x) - \bar{q} \uparrow \downarrow (x) \sim \langle P, s | \bar{q} \gamma^\mu \gamma^5 q | P, s \rangle$$

“axial charge”, similarly  $G(x)$  and  $\Delta G(x)$  for gluons

Spin Sum Rule:

$$S_p = \frac{1}{2} = \frac{1}{2} \left( \sum_q \Delta q + \Delta G + L_q + L_G \right)$$

$\Delta \Sigma$

# Structure Functions

$$\frac{\Delta\sigma}{\Delta Q^2 \Delta\nu} = \frac{4\pi\alpha^2 (\hbar c)^2 E' \cos^2(\theta/2)}{Q^4 E} \left( \frac{1}{\nu} F_2(x) + 2 \tan^2(\theta/2) \frac{1}{M} F_1(x) \right)$$

$$\frac{\Delta\sigma}{\Delta Q^2 \Delta\nu} \downarrow\uparrow - \frac{\Delta\sigma}{\Delta Q^2 \Delta\nu} \uparrow\uparrow = \frac{4\pi\alpha^2}{M\nu Q^2 E^2} \left[ (E + E' \cos\theta) \mathbf{g}_1 - 2xM \mathbf{g}_2 \right]$$

Unpolarized:  $F_1(x, Q^2)$  and  $F_2(x, Q^2)$

Polarized:  $g_1(x, Q^2)$  and  $g_2(x, Q^2)$

Parton model:

$$F_1(x) = \frac{1}{2} \sum_i e_i^2 q_i(x) \quad \text{and} \quad F_2(x) = 2xF_1(x) \quad i = \text{quark flavor}$$

$$g_1(x) = \frac{1}{2} \sum_i e_i^2 \Delta q_i(x) \quad \text{and} \quad g_2(x) = 0 \quad e_i = \text{quark charge}$$

the structure functions  $\mathbf{g}_1$  and  $\mathbf{g}_2$  are linear combinations of  $\mathbf{A}_1$  and  $\mathbf{A}_2$

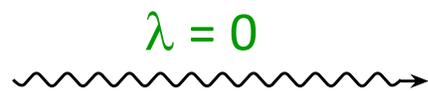
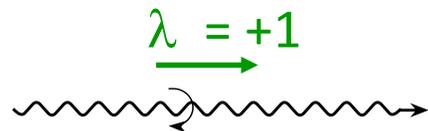
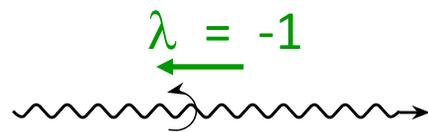
$$g_1(x, Q^2) = \frac{\tau}{1 + \tau} \left( A_1 + \frac{1}{\sqrt{\tau}} A_2 \right) F_1$$

$$g_2(x, Q^2) = \frac{\tau}{1 + \tau} \left( \sqrt{\tau} A_2 - A_1 \right) F_1$$

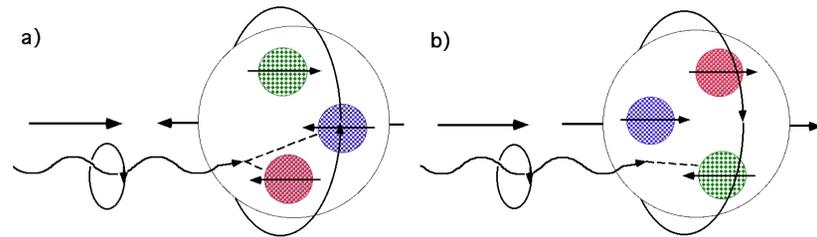
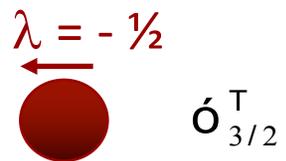
$$\tau = \frac{\nu^2}{Q^2}$$

# Virtual Photon Asymmetries

Virtual photon



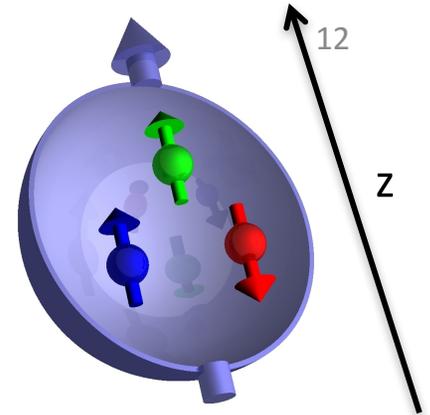
Nucleon



$$A_1 = \frac{\sigma_{1/2} - \sigma_{3/2}}{\sigma_T} \quad A_2 = \frac{\sigma_{LT'}}{\sigma_T}$$

related to quark polarizations  
 $\Delta q/q$

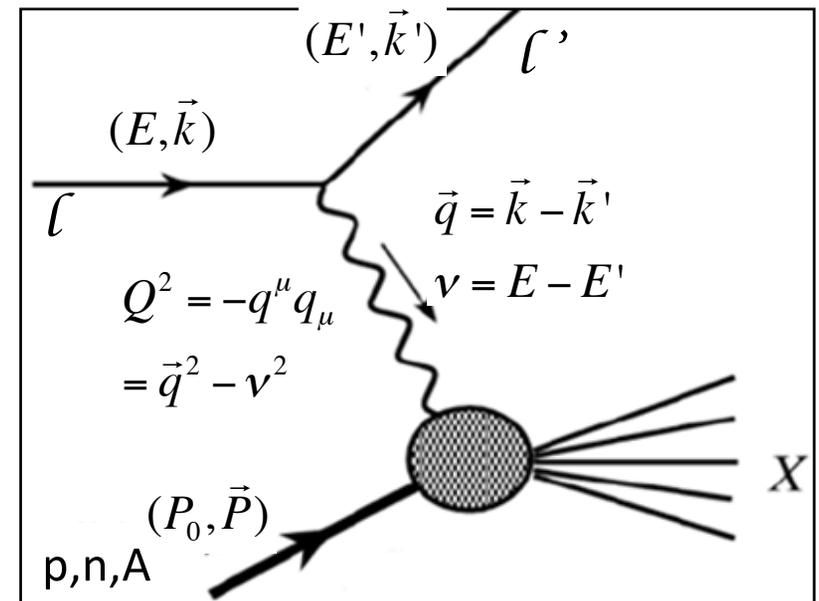
# Parton Distribution Functions



- The 1D world of nucleon/nuclear collinear structure:

- Take a nucleon/nucleus
- Move it real fast along z  
 $\Rightarrow$  light cone momentum  
 $P_+ = P_0 + P_z (>>M)$
- Select a “parton” (quark, gluon) inside
- Measure **its** l.c. momentum  
 $p_+ = p_0 + p_z (m \approx 0)$
- $\Rightarrow$  Momentum Fraction  $x = p_+/P_+$  \*)
- In DIS \*\*:  $p_+/P_+ \approx \xi = (q_z - \nu)/M$   
 $\approx x_{Bj} = Q^2/2M\nu$
- Probability:  $f_1^i(x), i = u, d, s, \dots, G$

In the following, will often write “ $q_i(x)$ ” for  $f_1^i(x)$



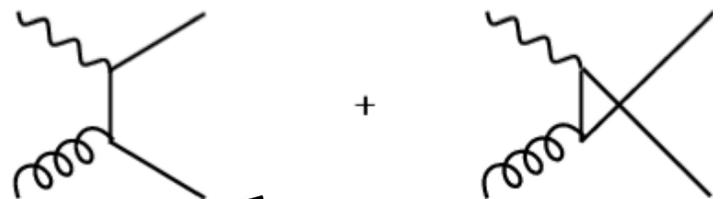
\*) Advantage: Boost-independent along z

\*\*) DIS = “Deep Inelastic (Lepton) Scattering”

# Parton Distribution Functions and NLO pQCD

Two effects modify simple  
parton picture:

1) (Gluon) radiative  
corrections change  
elementary cross section

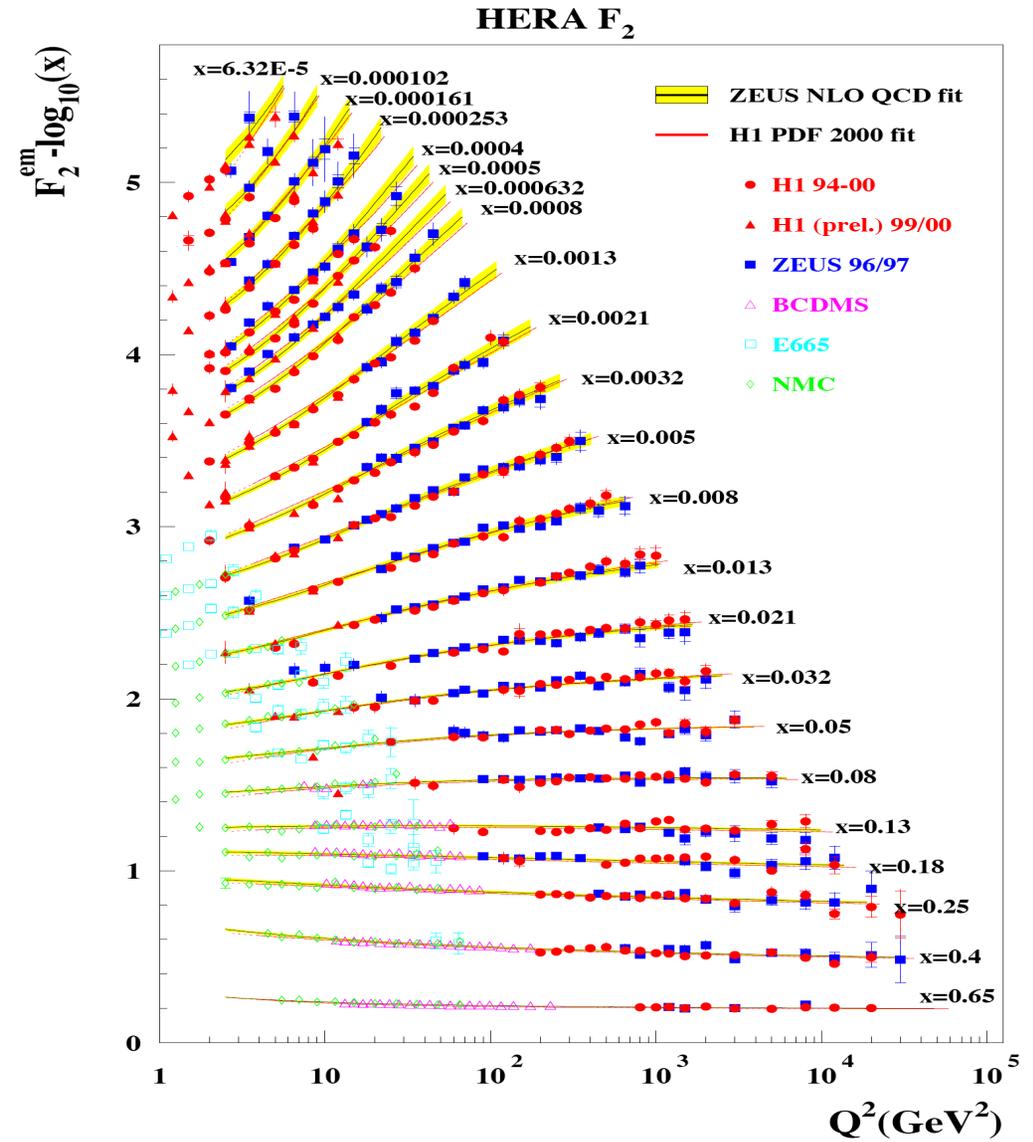
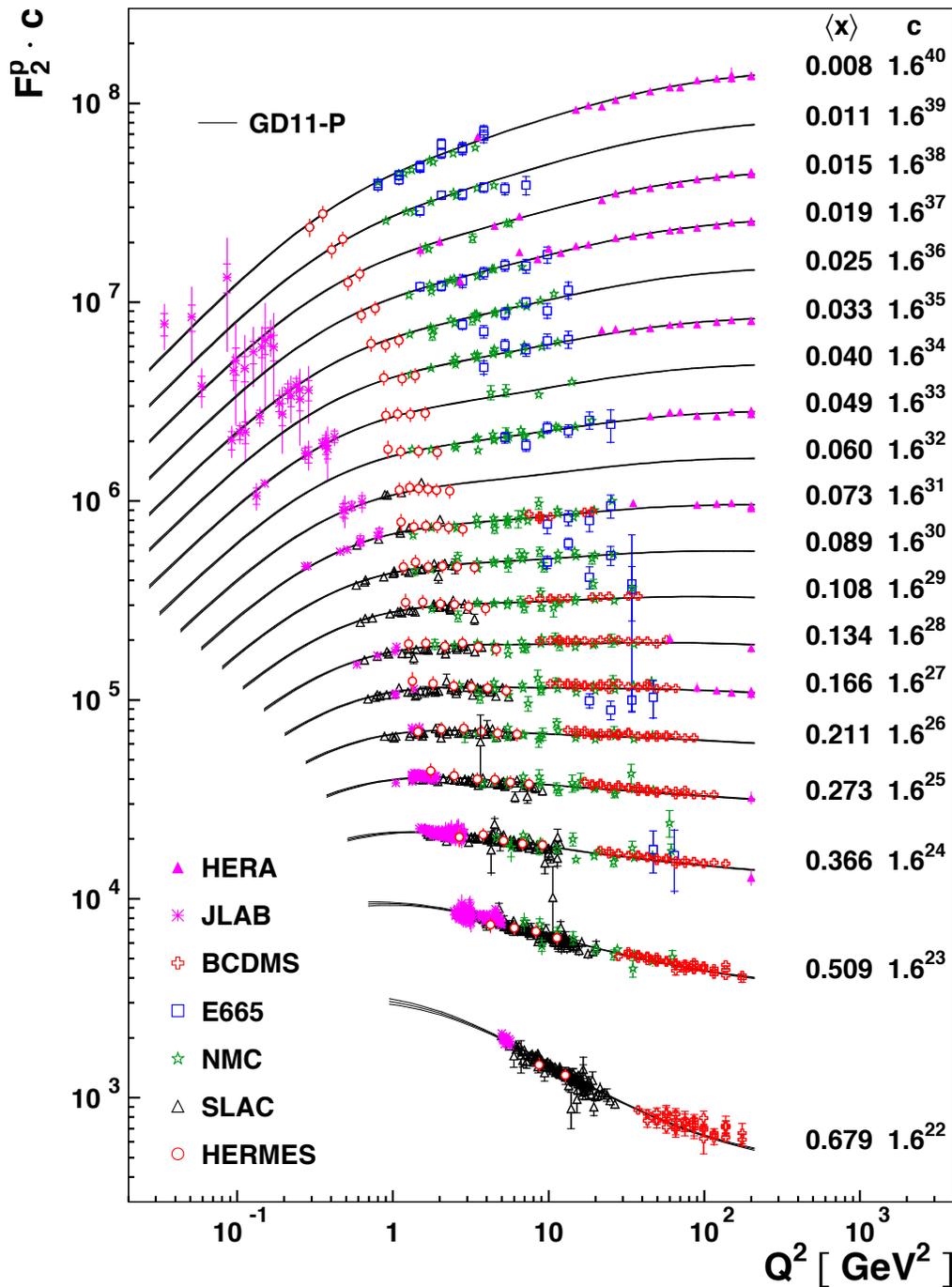


$$g_1(x, Q^2)_{pQCD} = \frac{1}{2} \sum_q^{N_f} e_q^2 [(\Delta q + \Delta \bar{q}) \otimes (1 + \frac{\alpha_s(Q^2)}{2\pi} \delta C_q) + \frac{\alpha_s(Q^2)}{2\pi} \Delta G \otimes \frac{\delta C_G}{N_f}]$$

$\delta C_q, \delta C_G$  - Wilson coefficient functions

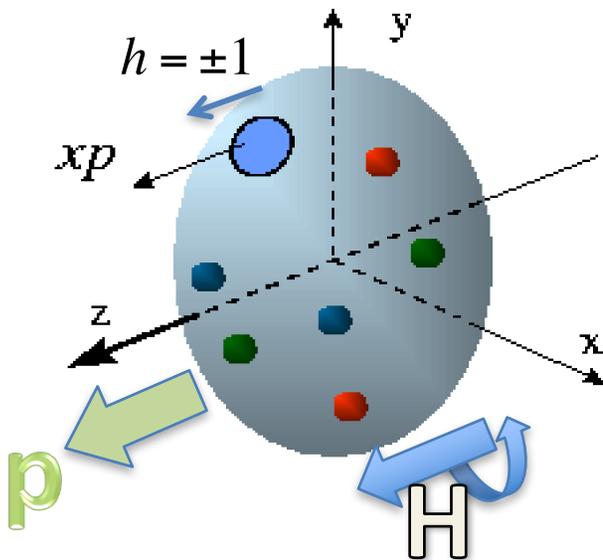
2) pQCD evolution makes  
PDFs  $Q^2$ -dependent

# Results



# Inclusive lepton scattering

Parton model: DIS can access



$$q(x; Q^2), \langle h \cdot H \rangle q(x; Q^2)$$

Traditional “1-D” Parton Distributions (PDFs) (integrated over many variables)

$$F_1(x) = \frac{1}{2} \sum_i e_i^2 q_i(x) \quad (\text{and } F_2(x) \approx 2xF_1(x)) \quad \text{Callan-Gross} \quad \text{Wandzura-Wilczek}$$

$$g_1(x) = \frac{1}{2} \sum_i e_i^2 \Delta q_i(x) \quad \left( \text{and } g_2(x) \approx -g_1(x) + \int_x^1 \frac{g_1(y)}{y} dy \right)$$

At finite  $Q^2$ : pQCD evolution ( $q(x, Q^2), \Delta q(x, Q^2) \Rightarrow$  DGLAP equations), and gluon radiation

$$g_1(x, Q^2)_{pQCD} = \frac{1}{2} \sum_q^{N_f} e_q^2 [(\Delta q + \Delta \bar{q}) \otimes (1 + \frac{\alpha_s(Q^2)}{2\pi} \delta C_q) + \frac{\alpha_s(Q^2)}{2\pi} \Delta G \otimes \frac{\delta C_G}{N_f}]$$

$\Rightarrow$  access to gluons.  $\delta C_q, \delta C_G$  – Wilson coefficient functions

SIDIS: Tag the flavor of the struck quark with the leading FS hadron  $\Rightarrow$  separate  $q_i(x, Q^2), \Delta q_i(x, Q^2)$

Jefferson Lab kinematics:  $Q^2 \approx M^2 \Rightarrow$  target mass effects, higher twist contributions and resonance excitations

- Non-zero  $R = \frac{F_2}{2xF_1} \left( \frac{4M^2x^2}{Q^2} + 1 \right) - 1, g_2^{HT}(x) = g_2(x) - g_2^{WW}(x)$
- Further  $Q^2$ -dependence (power series in  $\frac{1}{Q^n}$ )

# ⇒ Our 1D View of the Nucleon

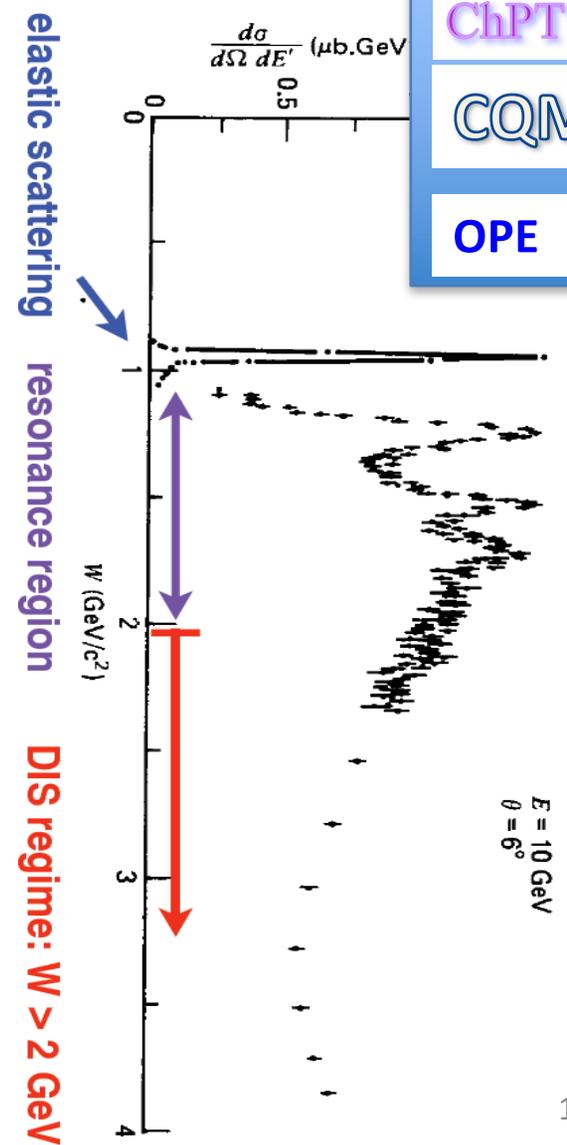
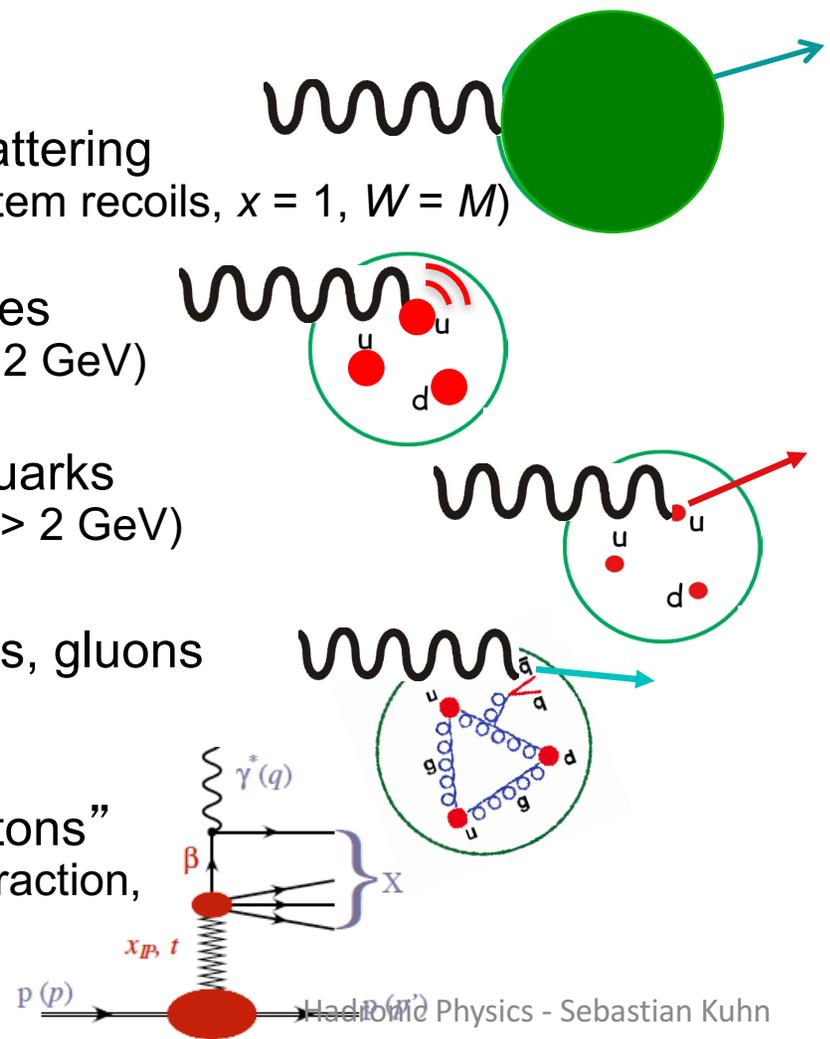
(depends on  $x$  and the resolution of the virtual photon  $\sim 1/Q^2$ )

$$W = \text{final state invariant mass} = \sqrt{M^2 + \left(\frac{1}{x} - 1\right)Q^2}$$

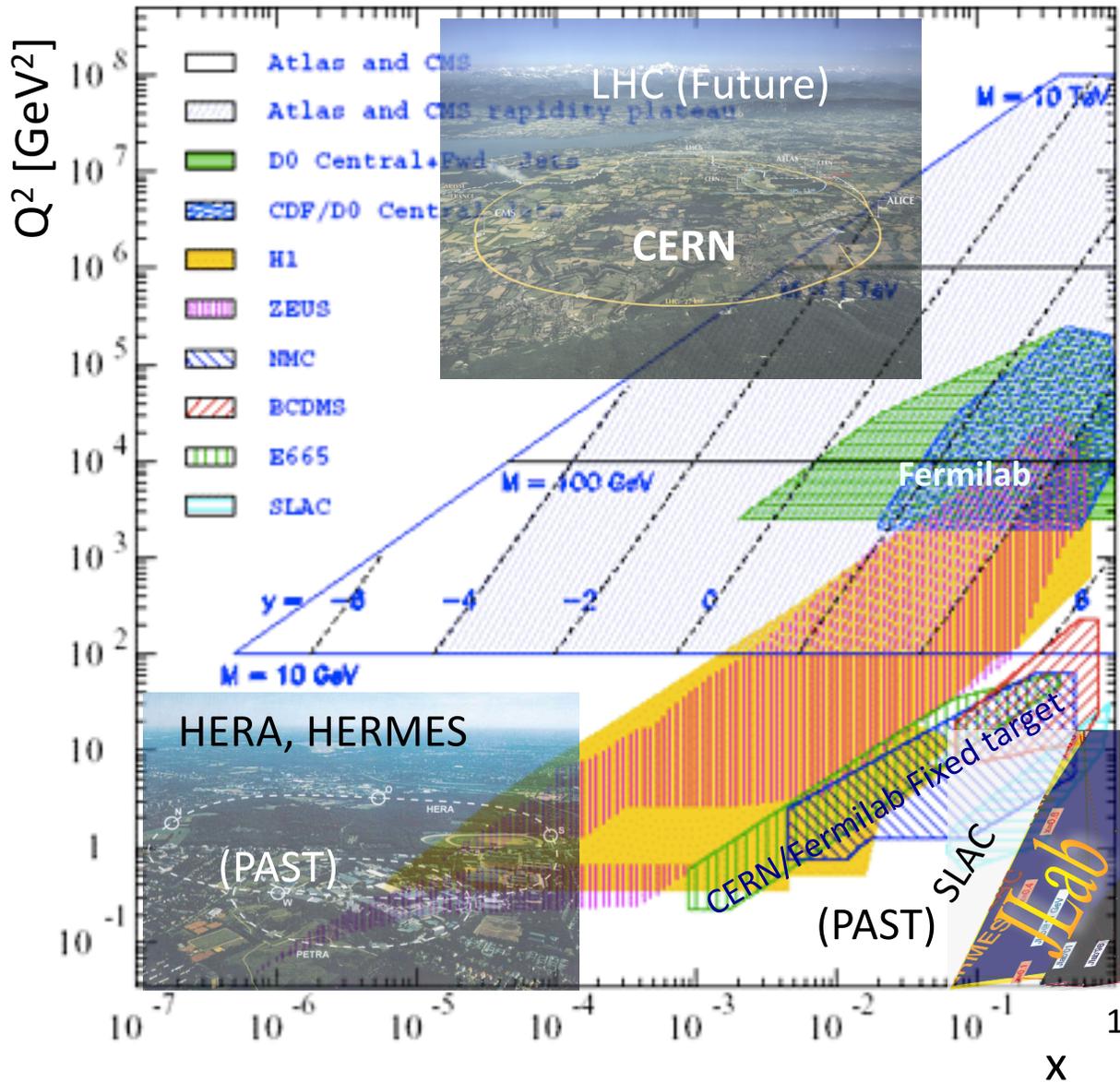
Low $Q^2$ :
ChPT
CQM
OPE

DIS
JLab

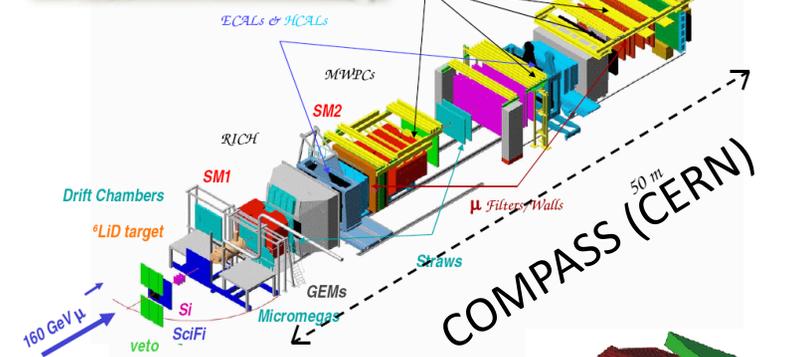
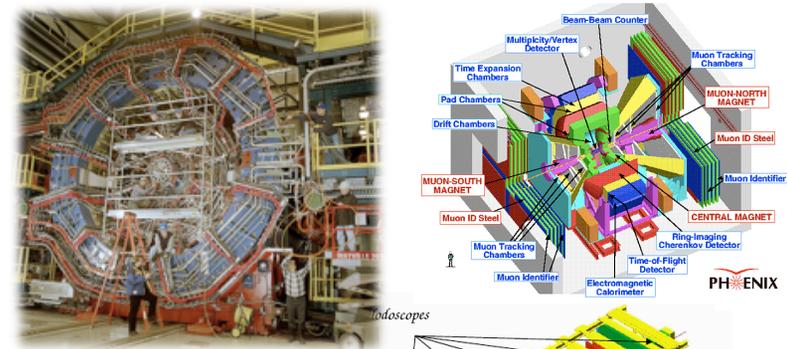
- Elastic scattering  
(Whole system recoils,  $x = 1$ ,  $W = M$ )
- Resonances  
( $x < 1$ ,  $W < 2$  GeV)
- Valence quarks  
( $x \geq 0.3$ ,  $W > 2$  GeV)
- Sea quarks, gluons  
( $x < 0.3$ )
- “Wee Partons”  
( $x \rightarrow 0$ , Diffraction, Pomerons)



# Experimental Facilities



RHIC (BNL, US)



Jefferson Lab (US)

