

Physics 722/822
9/25/2018

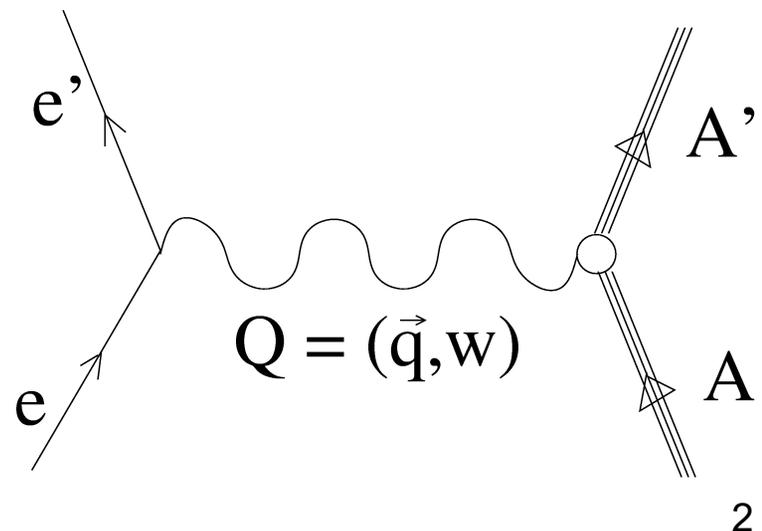
Larry Weinstein

Why use electrons and photons?

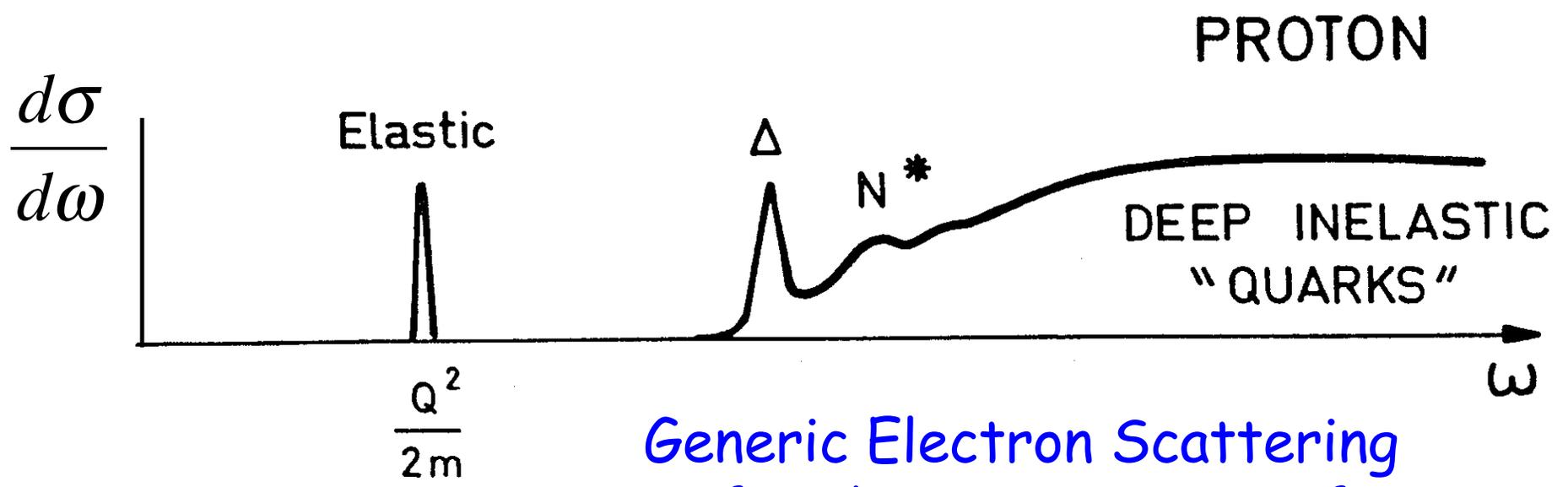
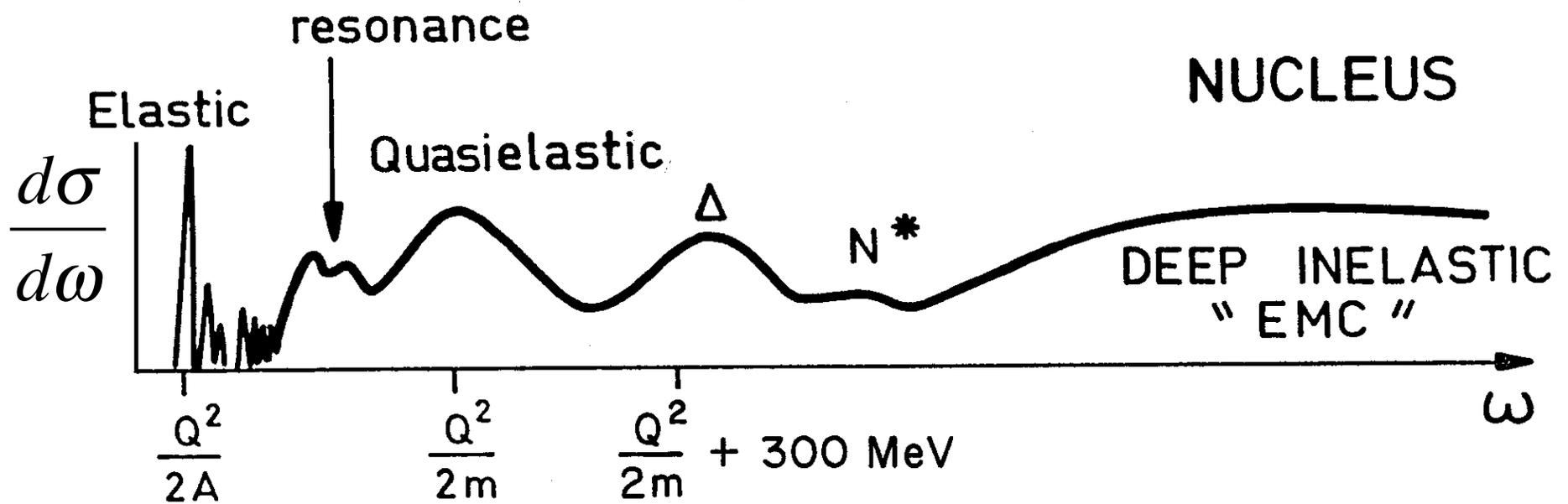
- Probe structure understood (point particles)
- Electromagnetic interaction understood (QED)
- Interaction is weak ($\alpha = 1/137$)
 - Perturbation theory works!
 - First Born Approx / one photon exchange
 - Probe interacts only once
 - Study the entire nuclear volume

BUT:

- Cross sections are small
- Electrons radiate

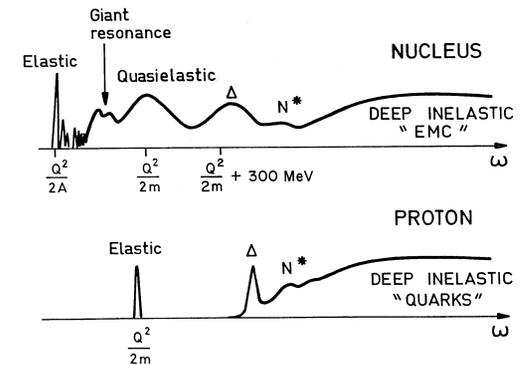


Giant (e, e') spectrum



Generic Electron Scattering
at fixed momentum transfer

Experimental goals:



- **Elastic scattering**

- structure of the nucleus

- Form factors, charge distributions, spin dependent FF

- **Quasielastic (QE) scattering**

- Shell structure

- Momentum distributions
 - Occupancies

- Short Range Correlated nucleon pairs

- Nuclear transparency and color transparency

- **Deep Inelastic Scattering (DIS)**

- The EMC Effect and Nucleon modification in nuclei

- Quark hadronization in nuclei

Energy vs length

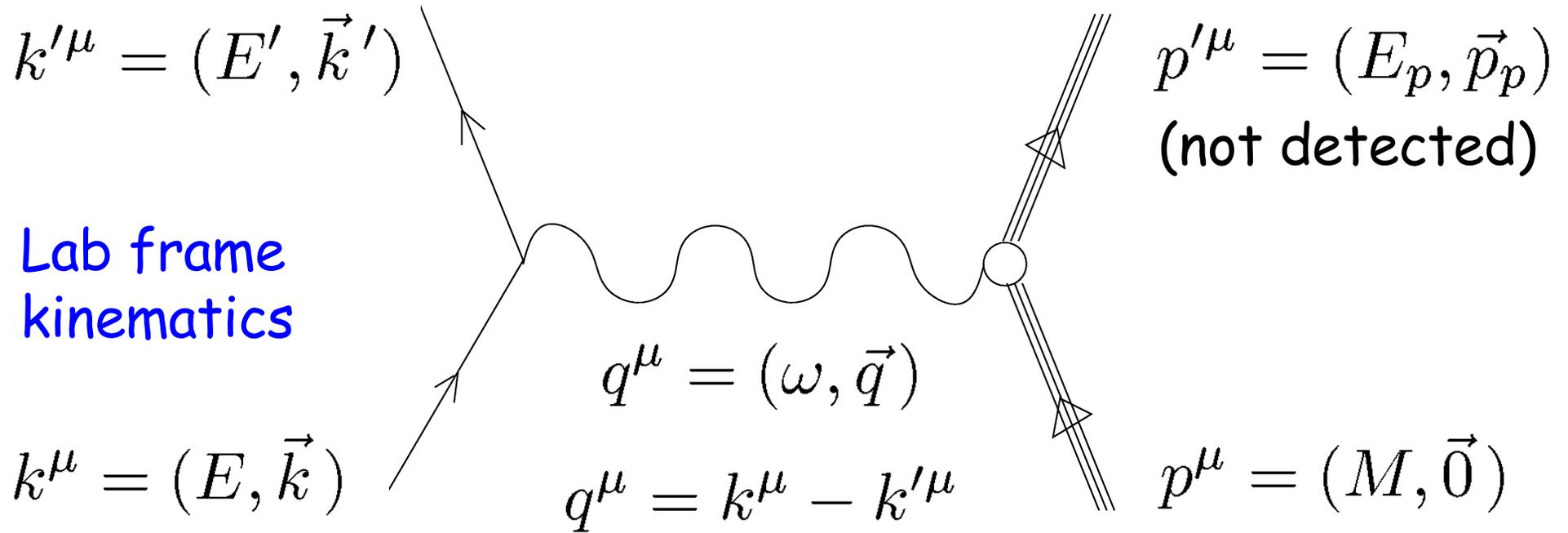
Select spatial resolution and excitation energy independently

- Photon energy ν determines excitation energy
- Photon momentum q determines spatial resolution: $\lambda \approx \frac{\hbar}{q}$

Three cases:

- **Low q**
 - Photon wavelength λ larger than the nucleon size (R_p)
- **Medium q : $0.2 < q < 1 \text{ GeV}/c$**
 - $\lambda \sim R_p$
 - Nucleons resolvable
- **High q : $q > 1 \text{ GeV}/c$**
 - $\lambda < R_p$
 - Nucleon structure resolvable

Inclusive electron scattering (e,e')



Invariants:

$$p^\mu p_\mu = M^2$$

$$p_\mu q^\mu = M\omega$$

$$Q^2 = -q^\mu q_\mu = |\vec{q}|^2 - \omega^2$$

$$W^2 = (q^\mu + p^\mu)^2 = p'_\mu p'^\mu$$

Elastic cross section ($p'^2 = m^2$)

Recoil factor

Form factors

$$\begin{aligned}
 \frac{d\sigma}{d\Omega} &= \sigma_M \left(\frac{E'}{E} \right) \left\{ \left[F_1^2(Q^2) + \frac{Q^2}{4M^2} \kappa^2 F_2^2(Q^2) \right] + \frac{Q^2}{2M^2} [F_1(Q^2) + \kappa F_2(Q^2)]^2 \tan^2 \frac{\theta}{2} \right\} \\
 &= \sigma_M \left(\frac{E'}{E} \right) \left[\frac{G_E^2(Q^2) + \tau G_M^2(Q^2)}{1 + \tau} + 2\tau \tan^2 \frac{\theta}{2} G_M^2(Q^2) \right] \\
 &= \sigma_M \left(\frac{E'}{E} \right) \left[\frac{Q^4}{\vec{q}^4} R_L(Q^2) + \left(\frac{Q^2}{2\vec{q}^2} + \tan^2 \frac{\theta}{2} \right) R_T(Q^2) \right]
 \end{aligned}$$

Mott cross section

$$\sigma_M = \frac{\alpha^2 \cos^2 \left(\frac{\theta_e}{2} \right)}{4E^2 \sin^4 \left(\frac{\theta_e}{2} \right)}$$

F_1, F_2 : Dirac and Pauli form factors

G_E, G_M : Sachs form factors (electric and magnetic)

$$G_E(Q^2) = F_1(Q^2) - \tau \kappa F_2(Q^2)$$

$$\tau = Q^2/4M^2$$

$$G_M(Q^2) = F_1(Q^2) + \kappa F_2(Q^2)$$

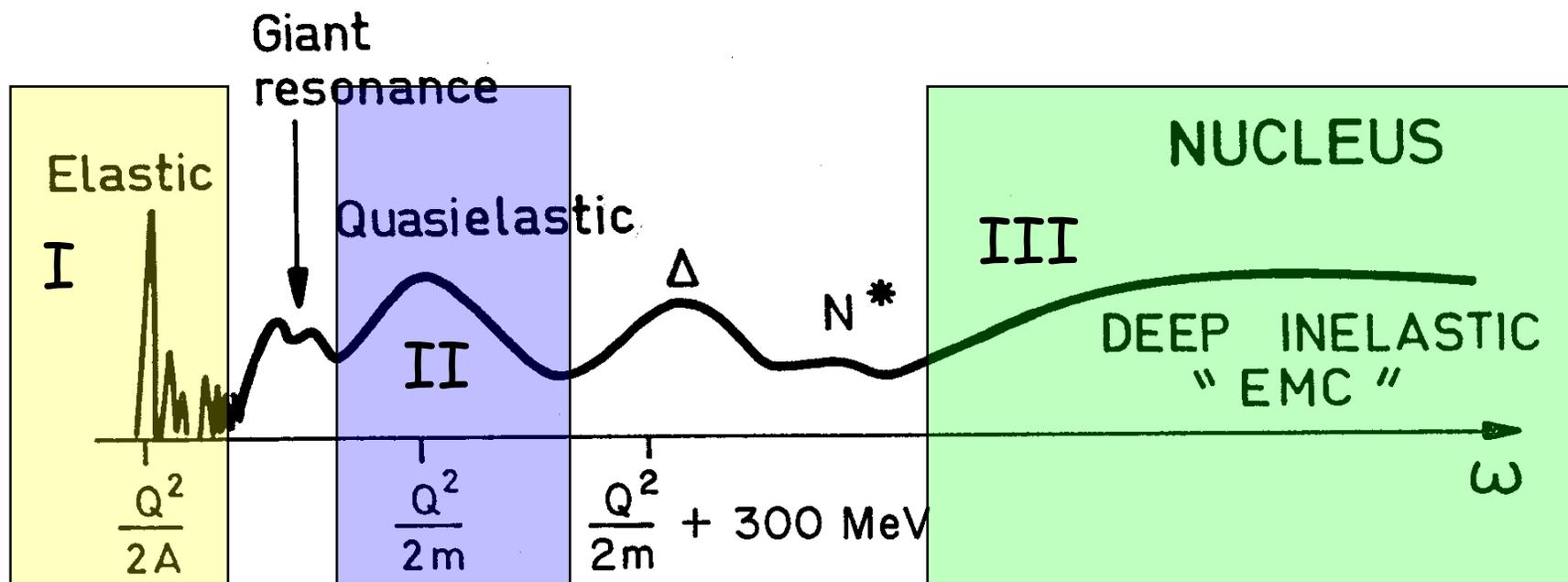
κ = anomalous magnetic moment

R_L, R_T : Longitudinal and transverse response fn

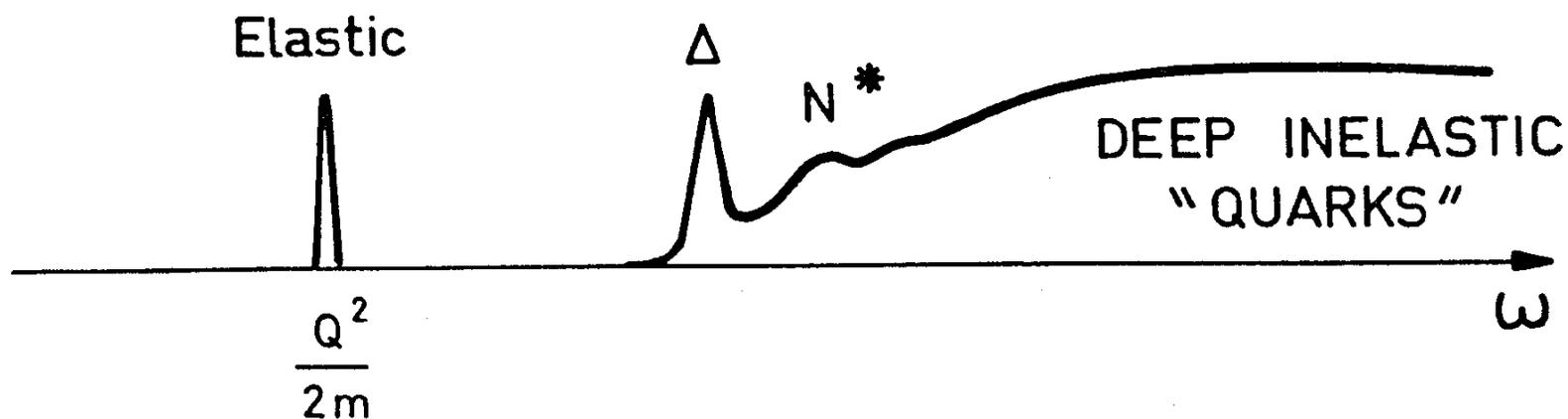
Notes on form factors

- G_E , G_M , F_1 and F_2 refer to nucleons
 - $F_1^p(0) = 1$, $F_2^p(0) = \kappa_p = 1.79$
 - $F_1^n(0) = 0$, $F_2^n(0) = \kappa_n = -1.91$
 - $G_E^p(0) = 1$, $G_M^p(0) = 1 + \kappa_p = 2.79$
 - $G_E^n(0) = 0$, $G_M^n(0) = \kappa_n = -1.91$
- R_L and R_T refer to nuclei

Electron-nucleus interactions



PROTON

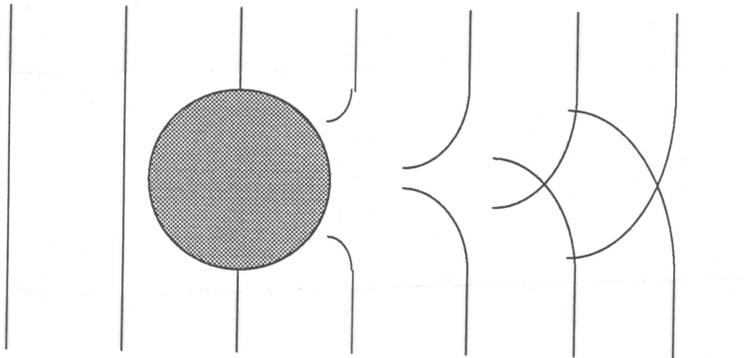


Electrons as Waves

Scattering process is quantum mechanical

De broglie wavelength:

$$\lambda = \frac{h}{p}$$



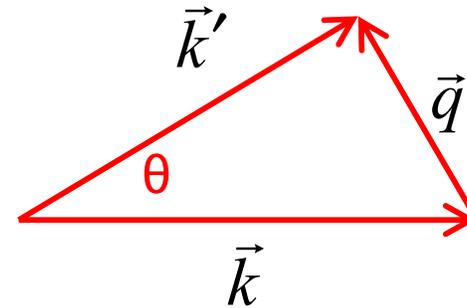
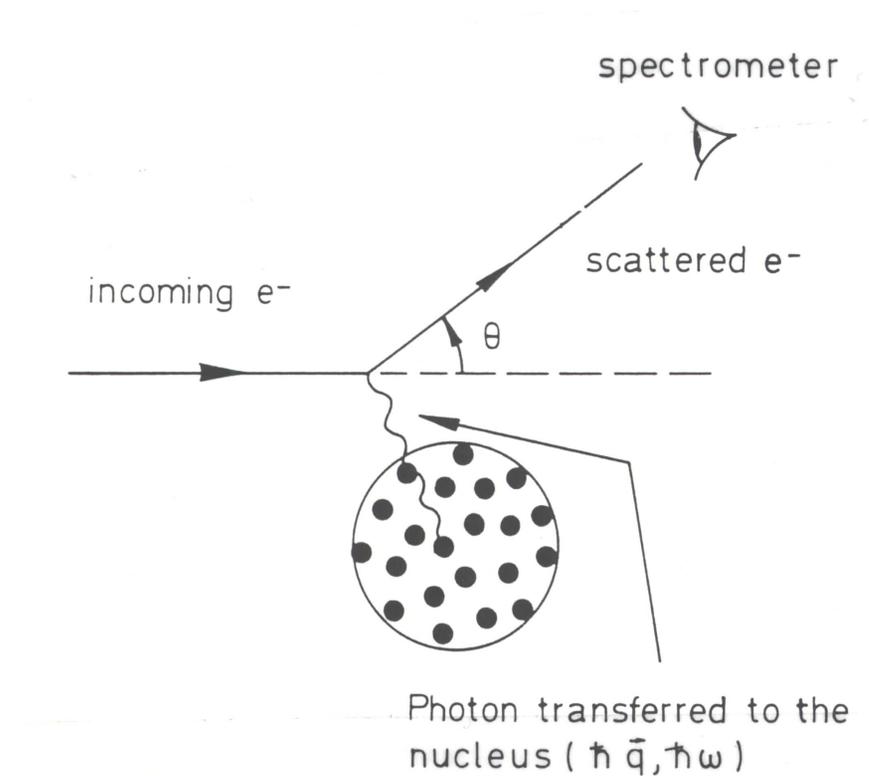
Electron energy:

$$E_e \approx pc$$

λ resolving “scale”:

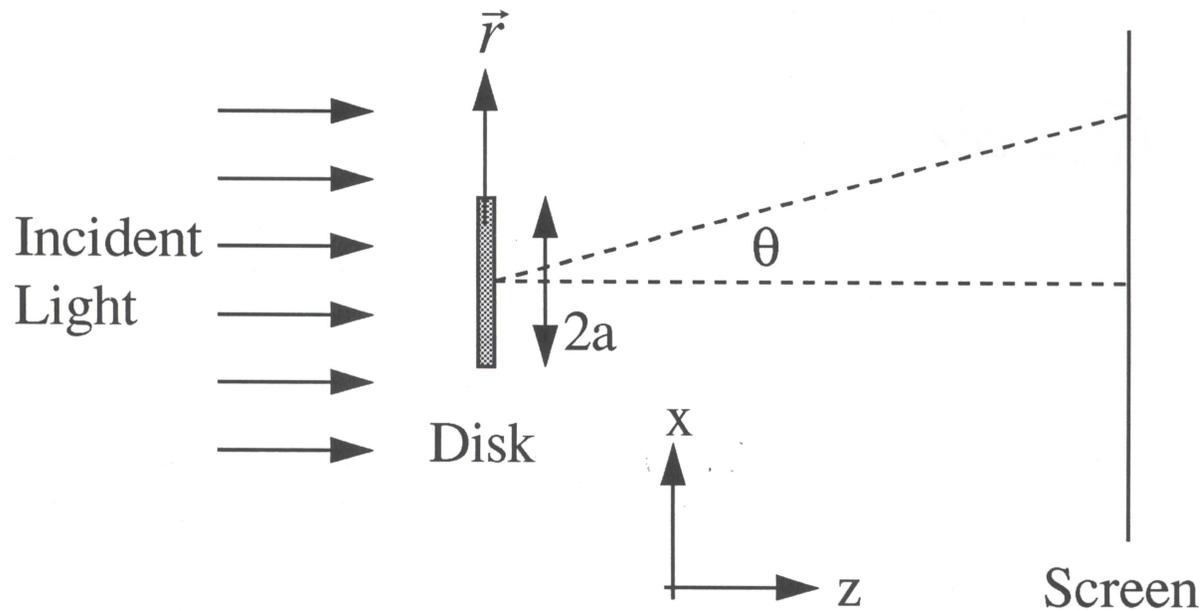
$$\lambda = \frac{2\pi(197 \text{ MeV} \cdot \text{fm})}{E_e}$$

Analogy between elastic electron scattering and diffraction



Simple analogy for elastic electron scattering...

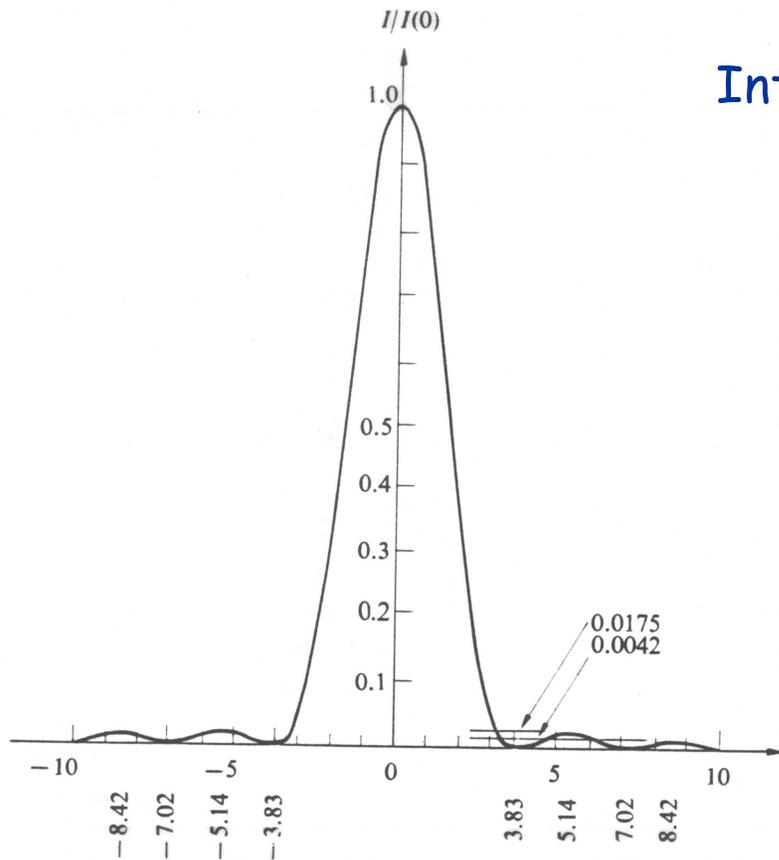
Classical Fraunhofer Diffraction



Amplitude of wave at screen:

$$\Phi \propto \int_0^a \int_0^{2\pi} \exp(ibr \cos \phi) r d\phi dr$$

Classical Fraunhofer Diffraction



Intensity: $\Phi^2 \propto \left(\frac{J_1 \left(\frac{(2\pi a / \lambda) \sin \theta}{\sin \theta} \right)}{\sin \theta} \right)^2$

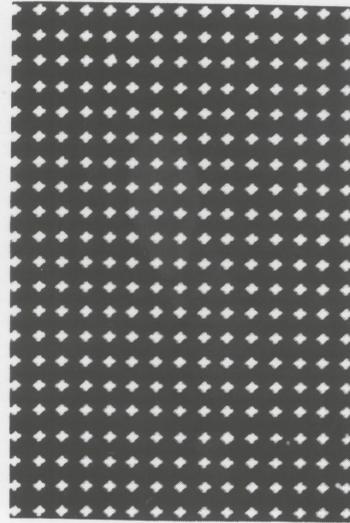
Minima occur at zeroes of Bessel function. 1st zero: $x = 3.8317$

...some algebra...

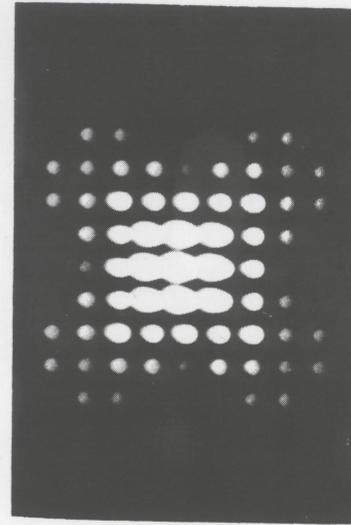
Hence $2a \approx \frac{1.22\lambda}{\sin \theta}$

Excursion: Babinet's principle

Screen with apertures

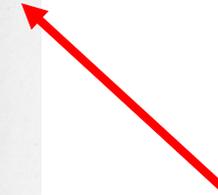


(a)

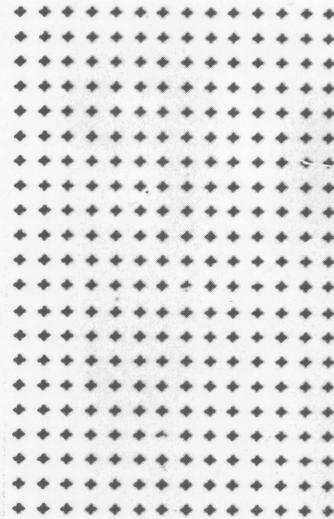


(b)

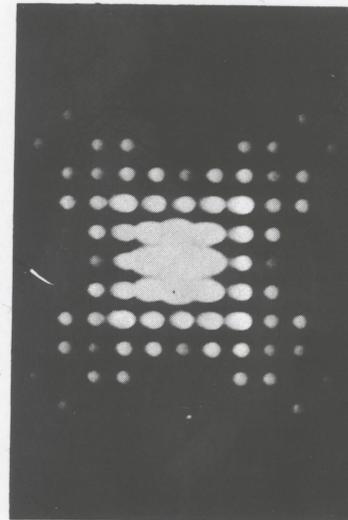
Patterns appear the same



Complementary screen



(c)



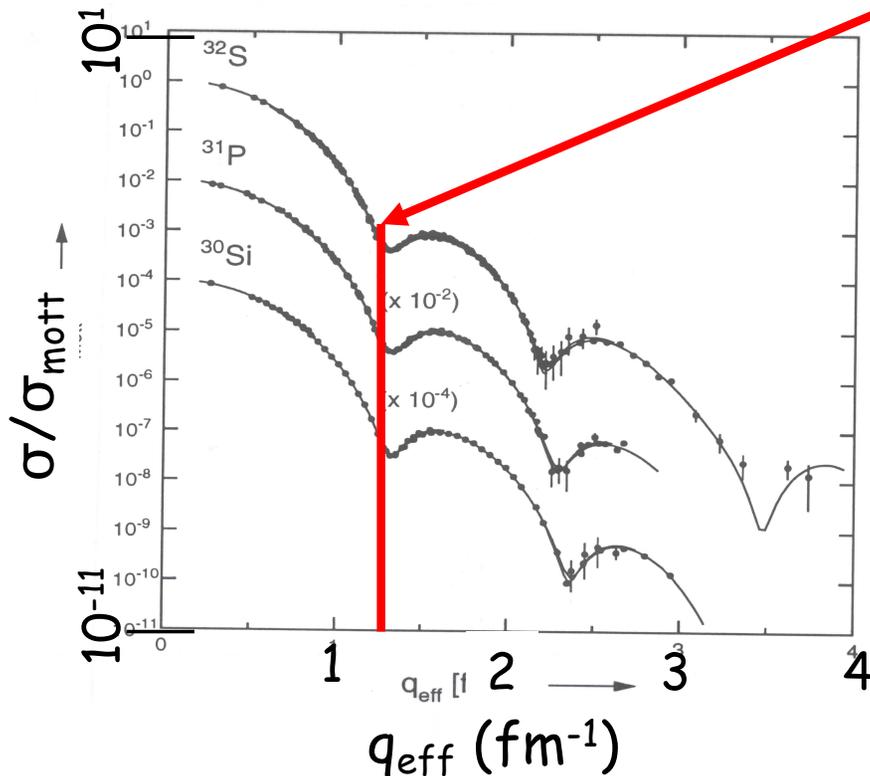
(d)



Example: $^{30}\text{Si}(e,e')$

1st minimum = 1.3 fm^{-1}

→ $\theta = 32.8^\circ$



Electron energy = 454.3 MeV

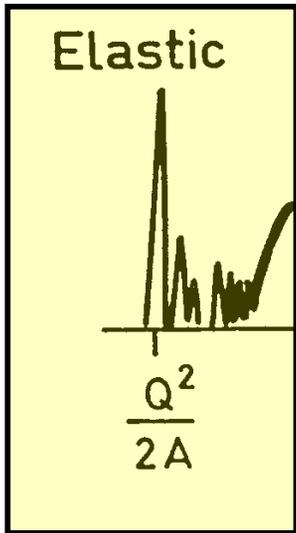
→ $\lambda = 2.73 \text{ fm}$

Calculated radius = 3.07 fm

Measured rms radius = 3.19 fm
(from fit to entire curve)

$(1 \text{ fm}^{-1} = 197 \text{ MeV}/c)$

I. Elastic Electron Scattering from Nuclei (done formally)



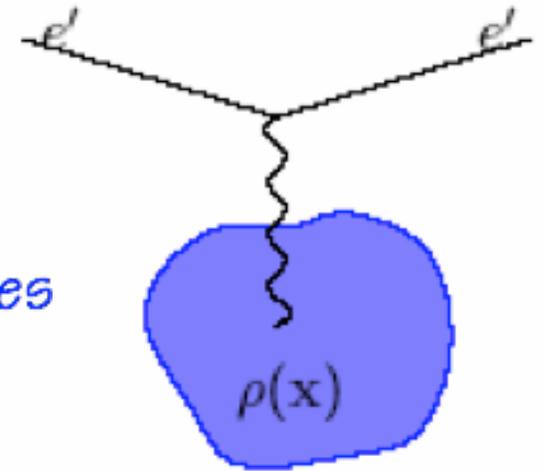
Fermi's Golden Rule

$$\frac{d\sigma}{d\Omega} = \frac{2\pi}{\hbar} |M_{fi}|^2 D_f$$

M_{fi} : scattering amplitude

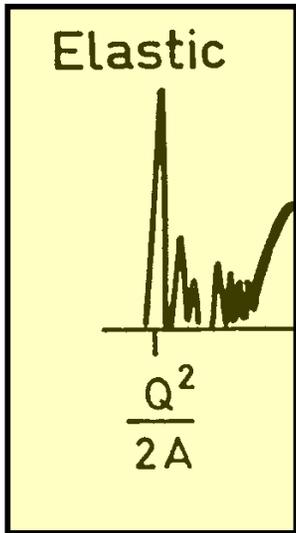
D_f : density of the final states
(or phase factor)

$$\begin{aligned} M_{fi} &= \int \Psi_f^* V(x) \Psi_i d^3x \\ &= \int e^{-k_f \cdot x} V(x) e^{-k_i \cdot x} d^3x \\ &= \int e^{iq \cdot x} V(x) d^3x \end{aligned}$$



Plane wave approximation for incoming and outgoing electrons
Born approximation (interact only once)

I. Elastic Electron Scattering from (spin-0) Nuclei



Form Factor and Charge Distribution

Using Coulomb potential from a charge distribution, $\rho(x)$,

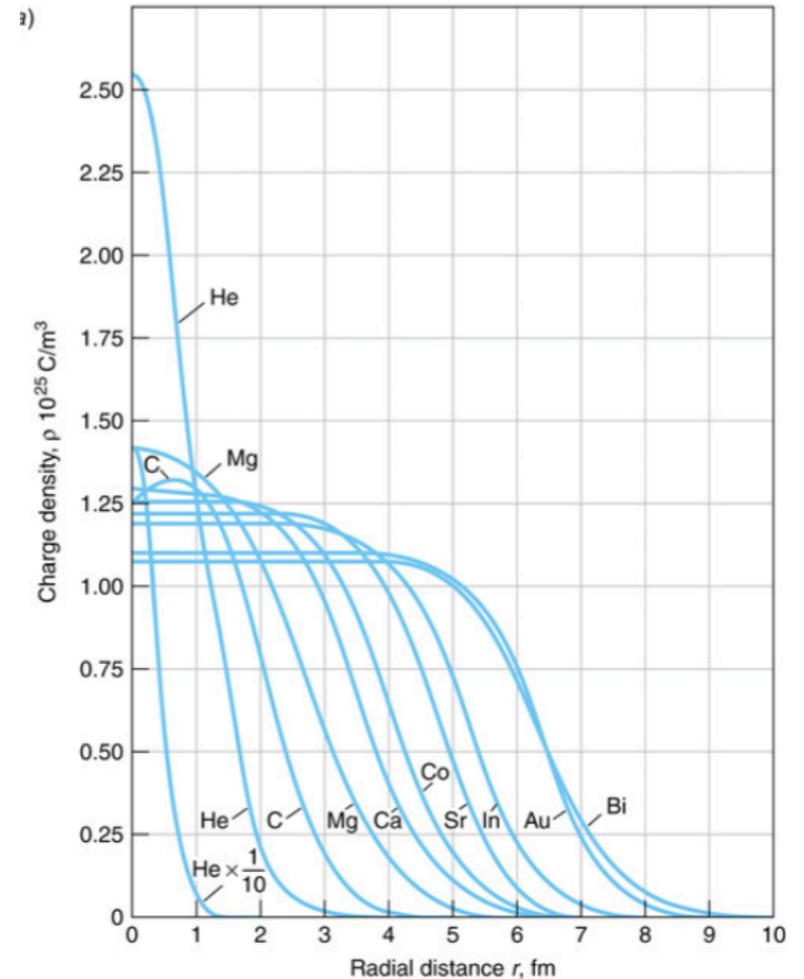
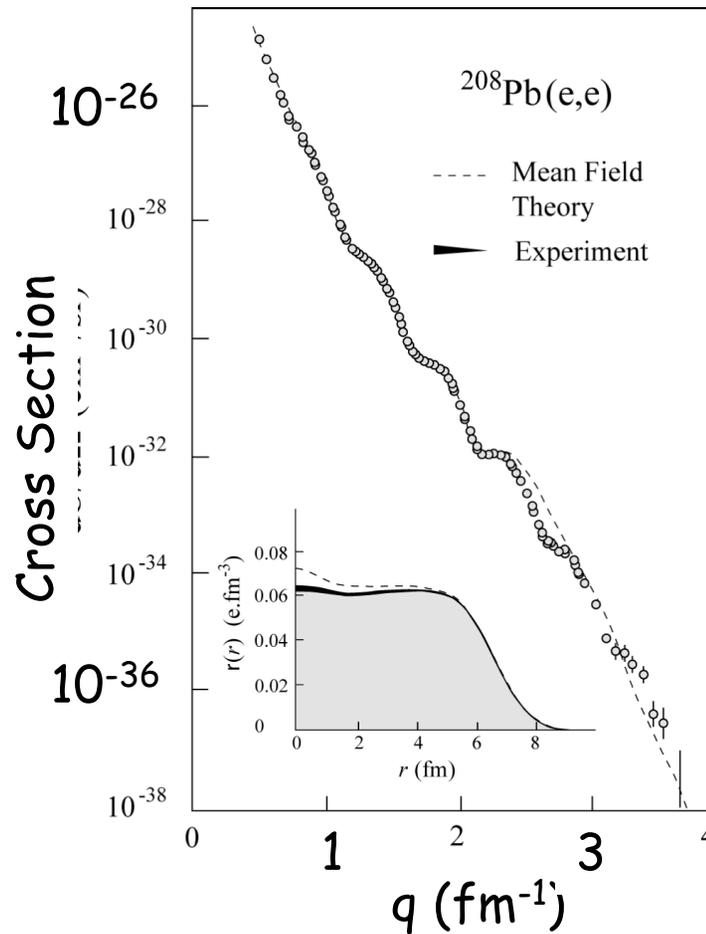
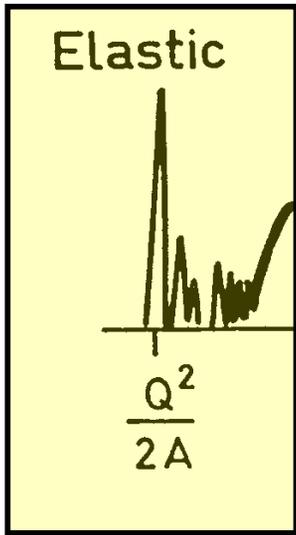
$$V(x) = -\frac{Ze^2}{4\pi\epsilon_0} \int \frac{\rho(x')}{|x-x'|} d^3x'$$

$$\begin{aligned} M_{fi} &= -\frac{Ze^2}{4\pi\epsilon_0} \int e^{iq \cdot x} \int \frac{\rho(x')}{|x-x'|} d^3x' d^3x \\ &= -\frac{Ze^2}{4\pi\epsilon_0} \int e^{iqR} \left[\int \frac{e^{iq \cdot x'} \rho(x')}{|R|} d^3x' \right] d^3R \\ &= -\frac{Ze^2}{4\pi\epsilon_0} \int \frac{e^{iqR}}{R} d^3R \int e^{iq \cdot x'} \rho(x') d^3x' \end{aligned}$$

$$F(q) = \int e^{iq \cdot x'} \rho(x') d^3x'$$

Charge form factor $F(q)$
is the **Fourier transform**
of the charge distribution $\rho(x)$

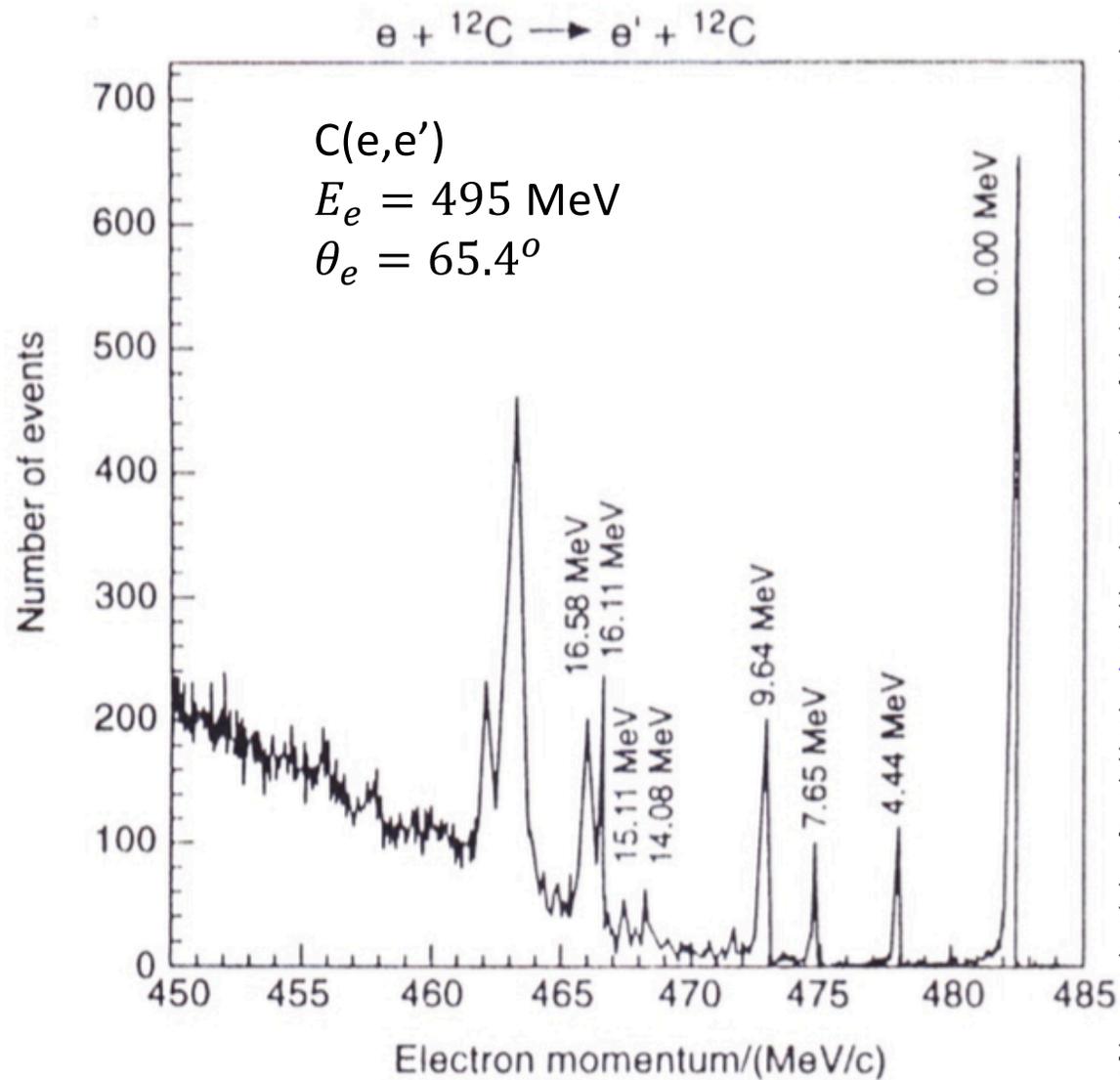
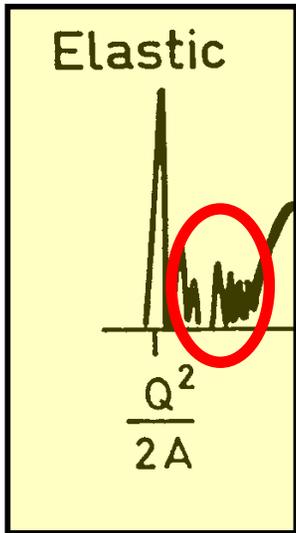
I. Elastic (e,e') Scattering \Rightarrow charge distributions



Elastic electron scattering measured for many nuclei over a wide range of Q^2 (mainly at Saclay in the 1970s)

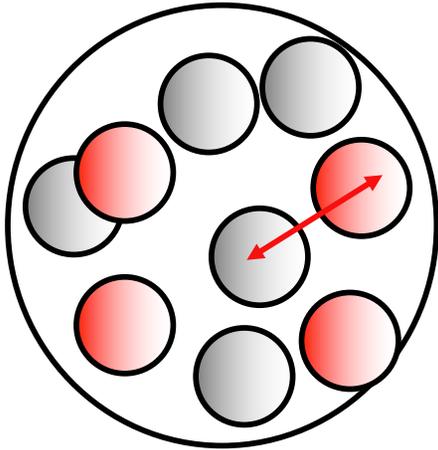
Measured charge distributions agree well with mean field theory calculations.

Inelastic Scattering from Nuclei



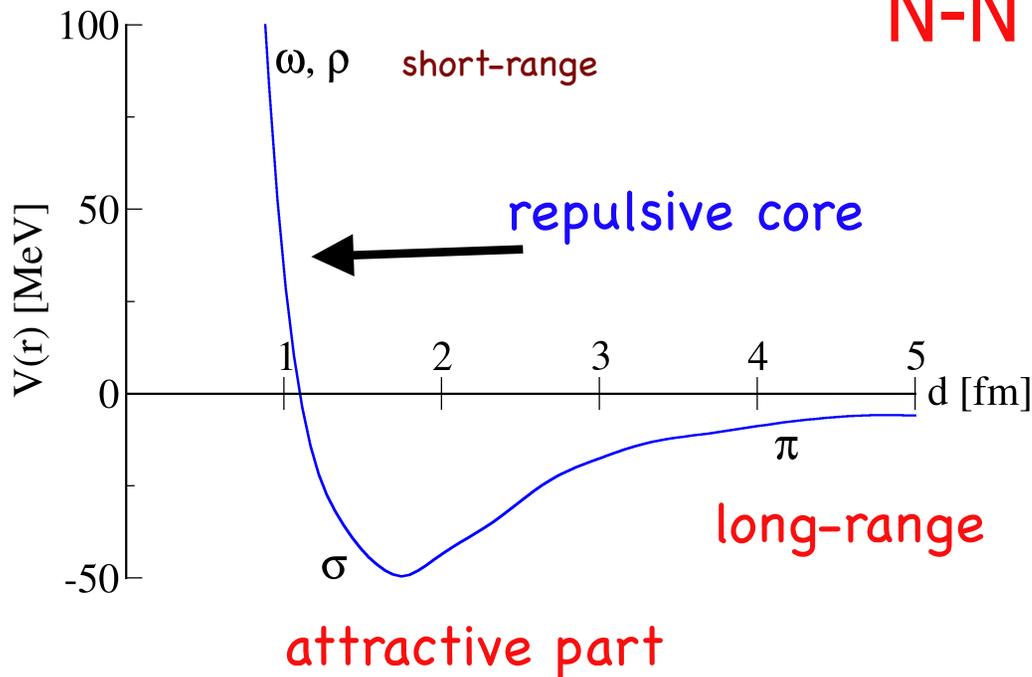
Measure spin/parity of excited states and transition matrix elements

Structure of the nucleus

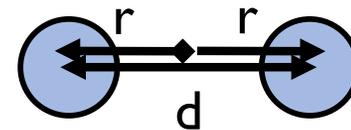


- nucleons are bound
 - energy (E) distribution
 - shell structure
- nucleons are not static
 - momentum (k) distribution

determined by the
N-N potential

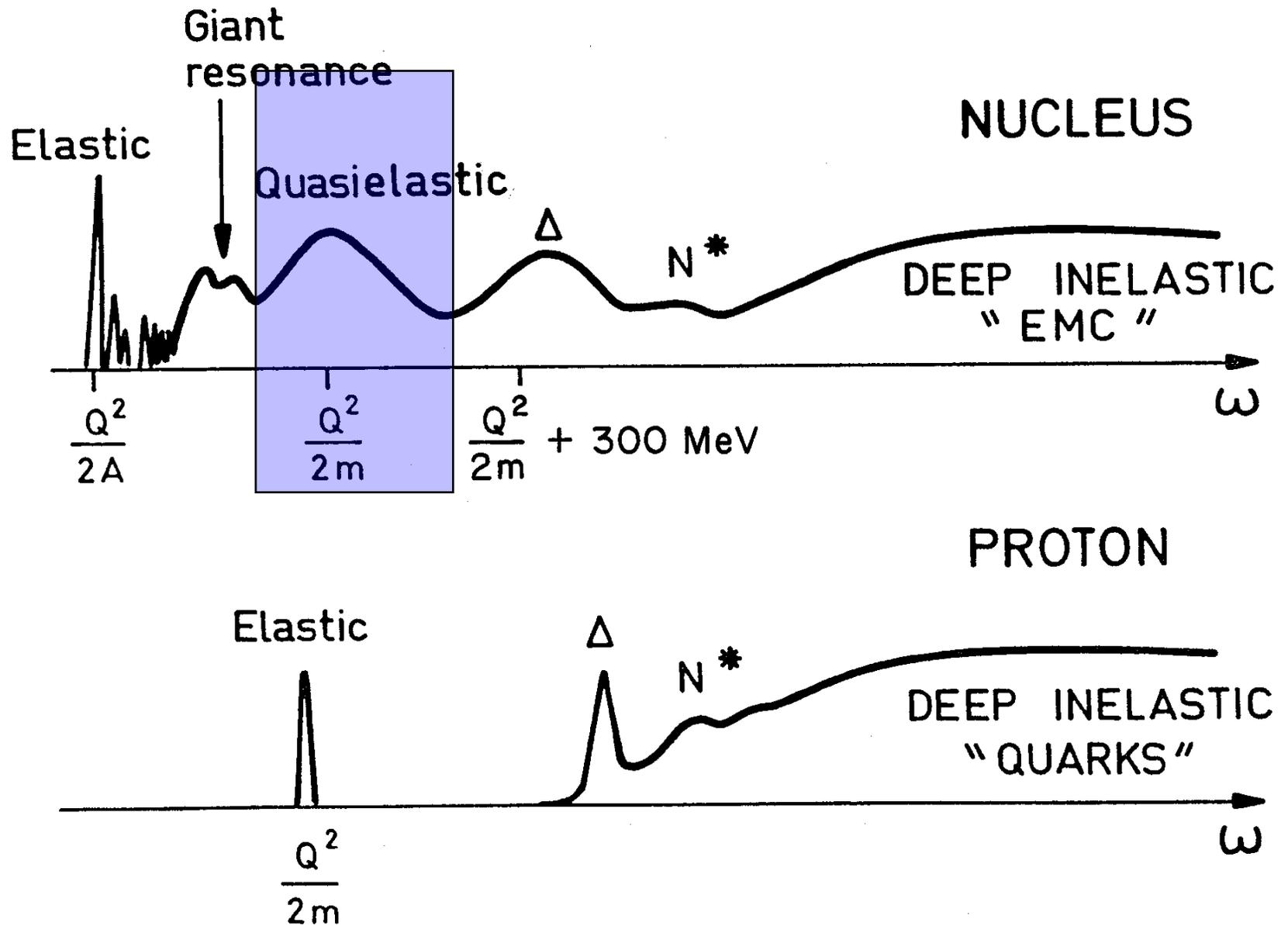


on average:
Net binding energy: ≈ 8 MeV
distance: ≈ 2 fm



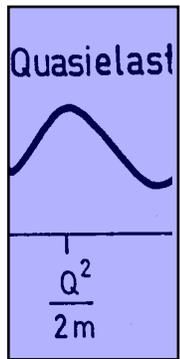
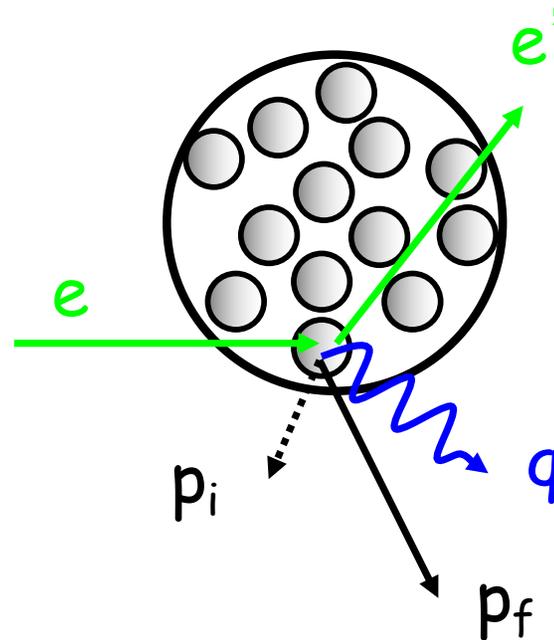
Strong repulsion
NN correlations

II. Quasielastic scattering



Fermi gas model:

how simple a model can you make ?



Initial nucleon energy: $KE_i = p_i^2 / 2m_p$

Final nucleon energy: $KE_f = p_f^2 / 2m_p = (\vec{q} + \vec{p}_i)^2 / 2m_p$

$$\text{Energy transfer: } \nu = KE_f - KE_i = \frac{\vec{q}^2}{2m_p} + \frac{\vec{q} \cdot \vec{p}_i}{m_p}$$

Expect:

- Peak centroid at $\nu = q^2/2m_p + \epsilon$
- Peak width $2qp_{\text{fermi}}/m_p$
- Total peak cross section = $Z\sigma_{ep} + N\sigma_{en}$

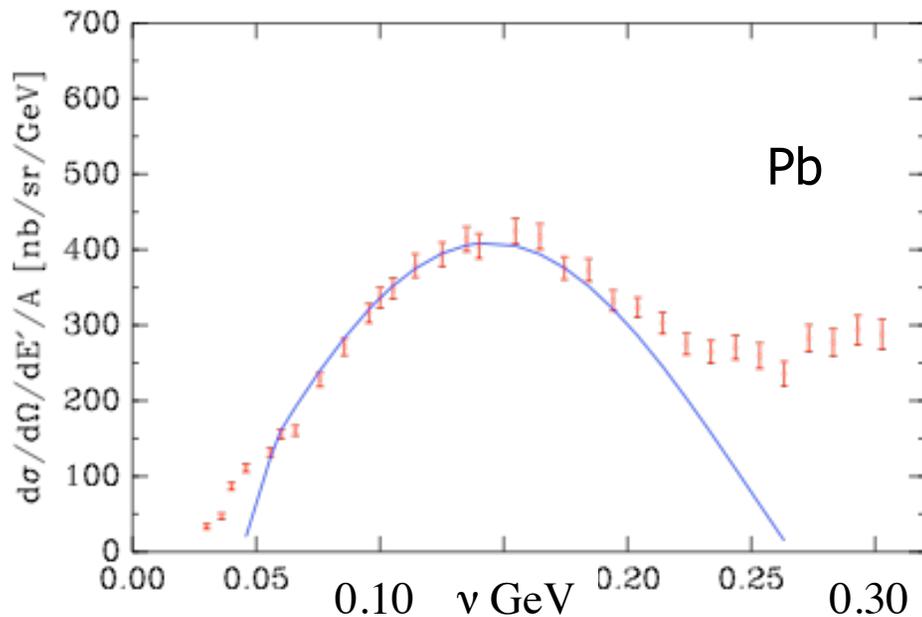
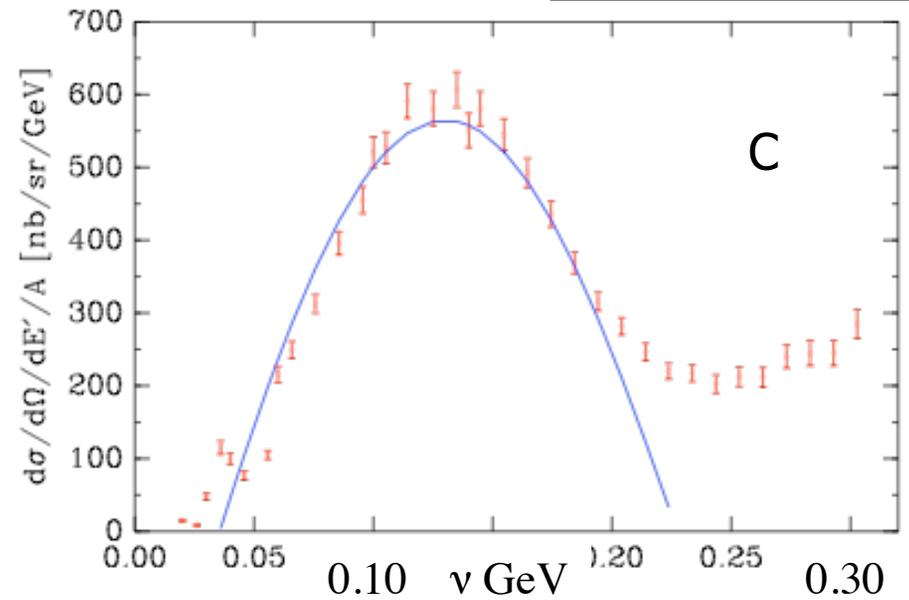
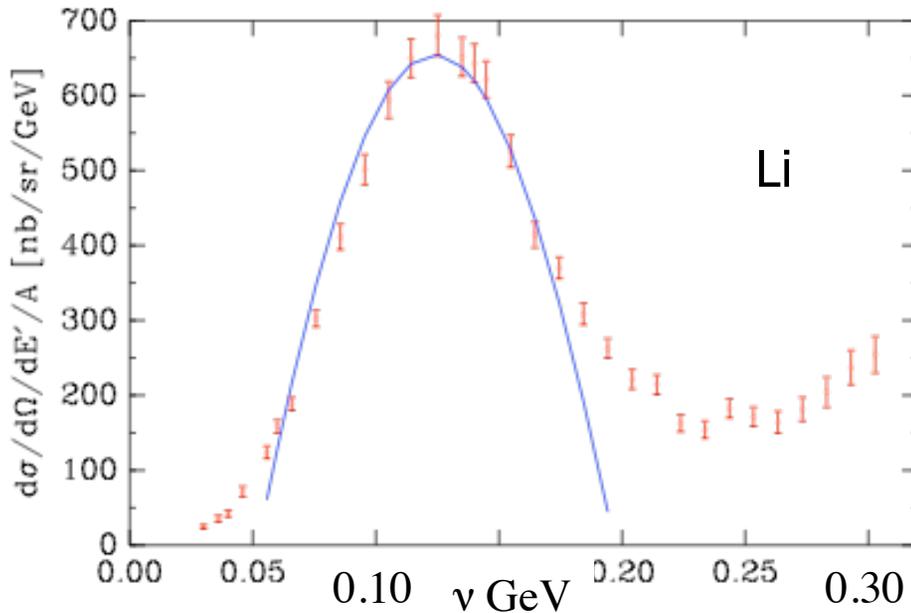
Early 1970's Quasielastic Data

-> getting the bulk features

500 MeV, 60 degrees

$\vec{q} \simeq 500 \text{ MeV}/c$

R.R. Whitney et al.,
PRC 9, 2230 (1974).



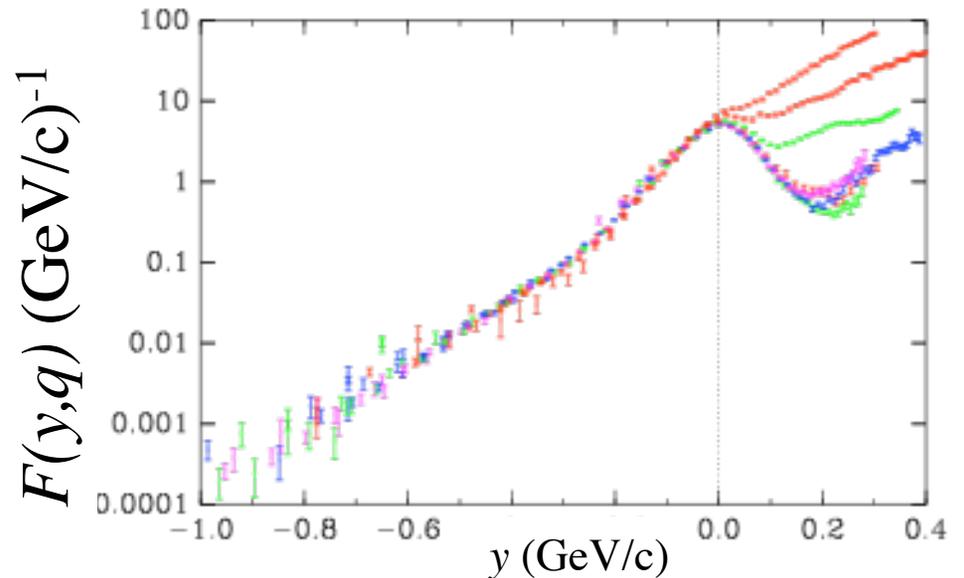
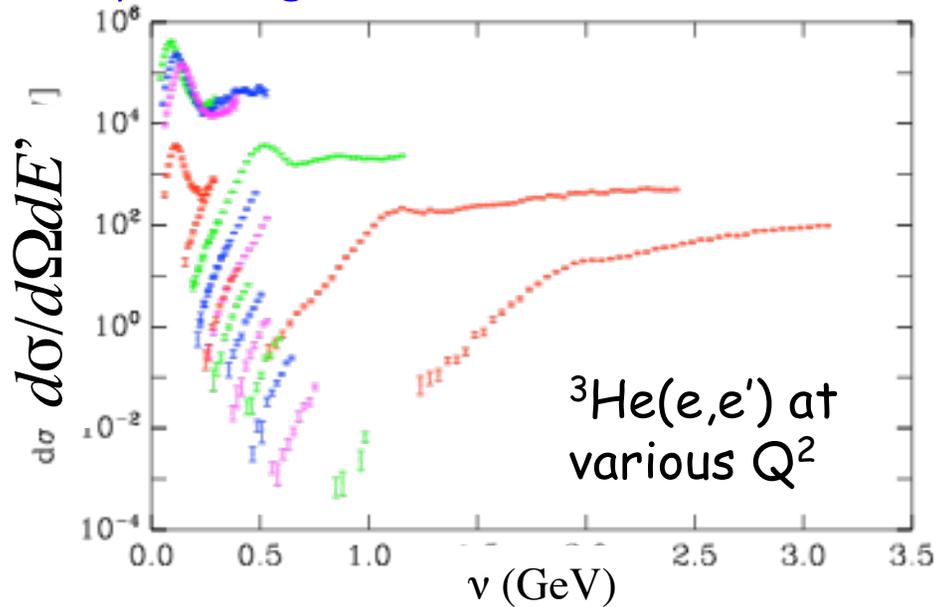
Nucleus	k_F MeV/c	$\bar{\epsilon}$ MeV
${}^6\text{Li}$	169	17
${}^{12}\text{C}$	221	25
${}^{24}\text{Mg}$	235	32
${}^{40}\text{Ca}$	251	28
<i>nat</i> Ni	260	36
${}^{89}\text{Y}$	254	39
<i>nat</i> Sn	260	42
${}^{181}\text{Ta}$	265	42
${}^{208}\text{Pb}$	265	44

compared to Fermi model: fit parameter k_F and ϵ

Scaling

- The dependence of a cross section, in certain kinematic regions, on a single variable.
 - If the data **scales**, it validates the scaling assumption
 - **Scale-breaking** indicates new physics
- At moderate Q^2 and $x > 1$ we expect to see evidence for **y-scaling**, indicating that the electrons are scattering from quasifree nucleons
 - y = minimum momentum of struck nucleon
- At high Q^2 we expect to see evidence for **x-scaling**, indicating that the electrons are scattering from quarks. (next lecture)
 - $x = Q^2/2mv =$ fraction of nucleon momentum carried by struck quark (in infinite momentum frame)

y-scaling in inclusive electron scattering from ${}^3\text{He}$



$$F(y) = \frac{\sigma^{\text{exp}}}{(Z\tilde{\sigma}_p + N\tilde{\sigma}_n)} \cdot K$$

$$n(k) = -\frac{1}{2\pi y} \frac{dF(y)}{dy}$$

Assumption: scattering takes place from a **quasi-free** proton or neutron in the nucleus.

y is the momentum of the struck nucleon parallel to the momentum transfer:
 $y \approx -q/2 + mv/q$ (nonrelativistically)

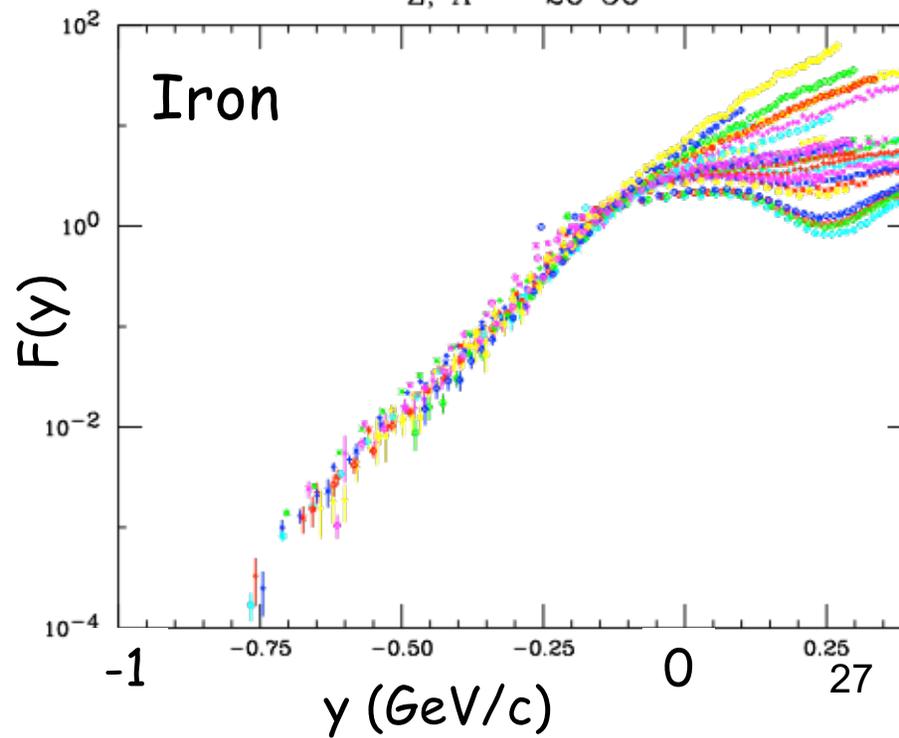
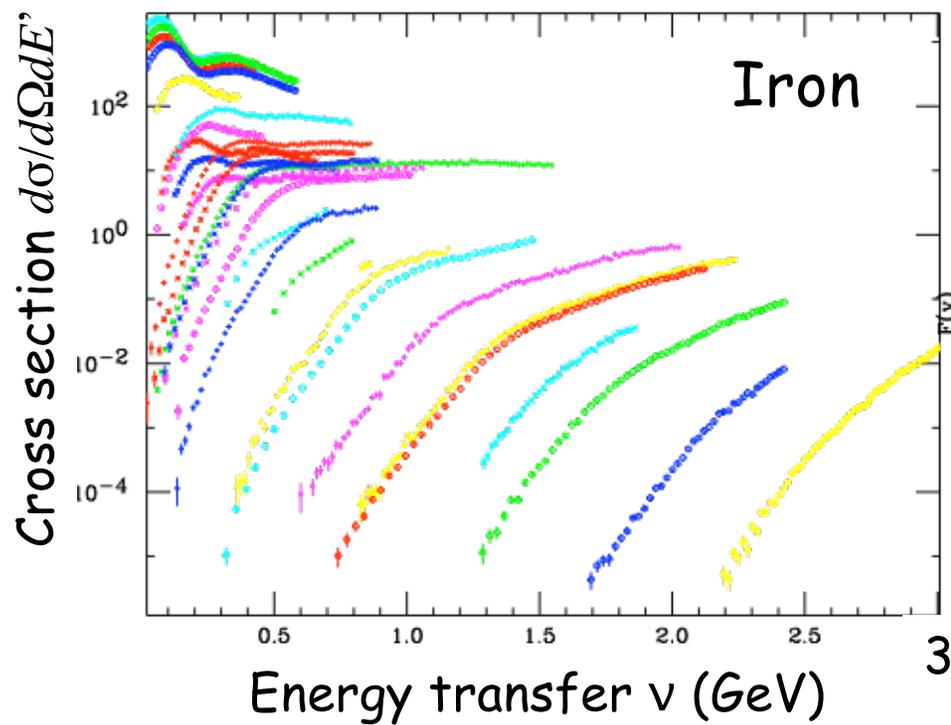
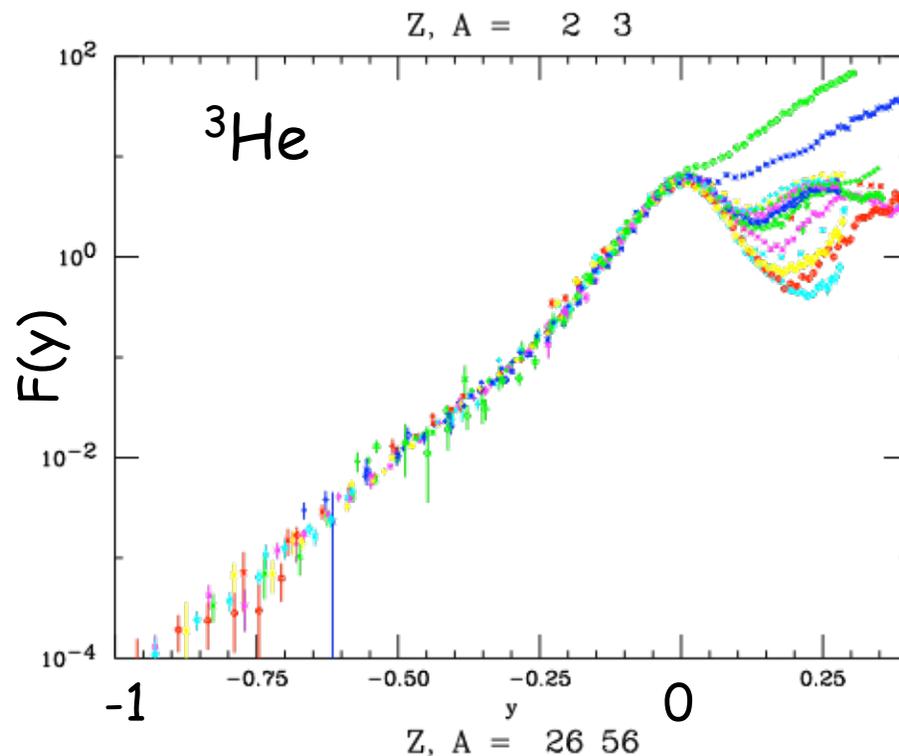
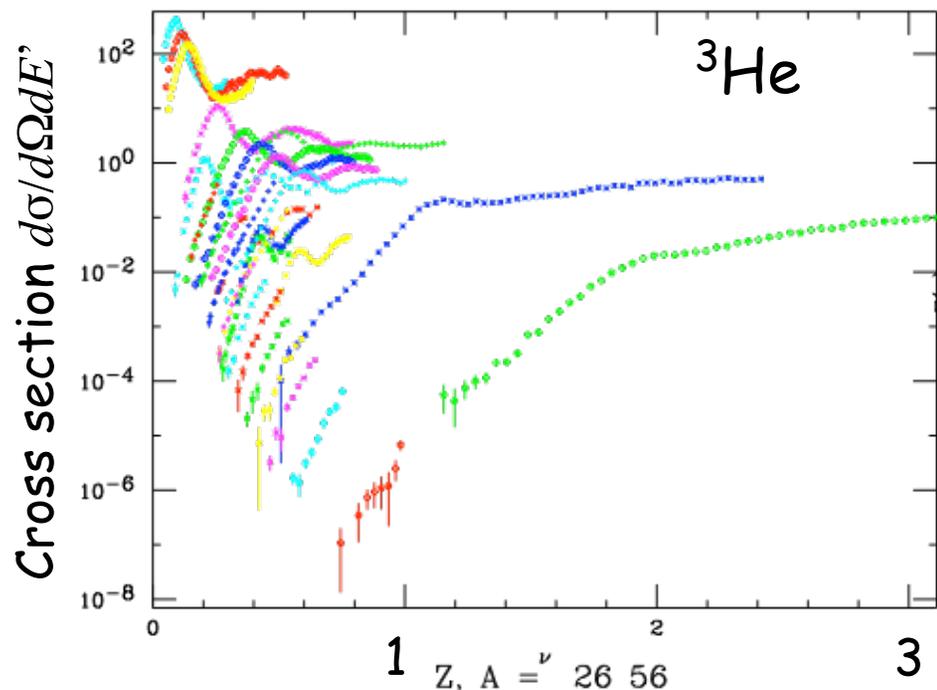
IF the scattering is quasifree, **then** $F(y)$ is the integral over all perpendicular nucleon momenta (nonrelativistically).

Goal: extract the momentum distribution $n(k)$ from $F(y)$.

Assumptions & Potential Scale Breaking Mechanisms

- No Final State Interactions (FSI)
- No internal excitation of (A-1)
- Full strength of Spectral function can be integrated over at finite q
- No inelastic processes (choose $\gamma < 0$)
- No medium modifications (discussed later)

Y-scaling works!



Get more information: Detect the knocked out nucleon (e,e'p)

coincidence experiment
measure: momentum, angles

electron energy: E_e

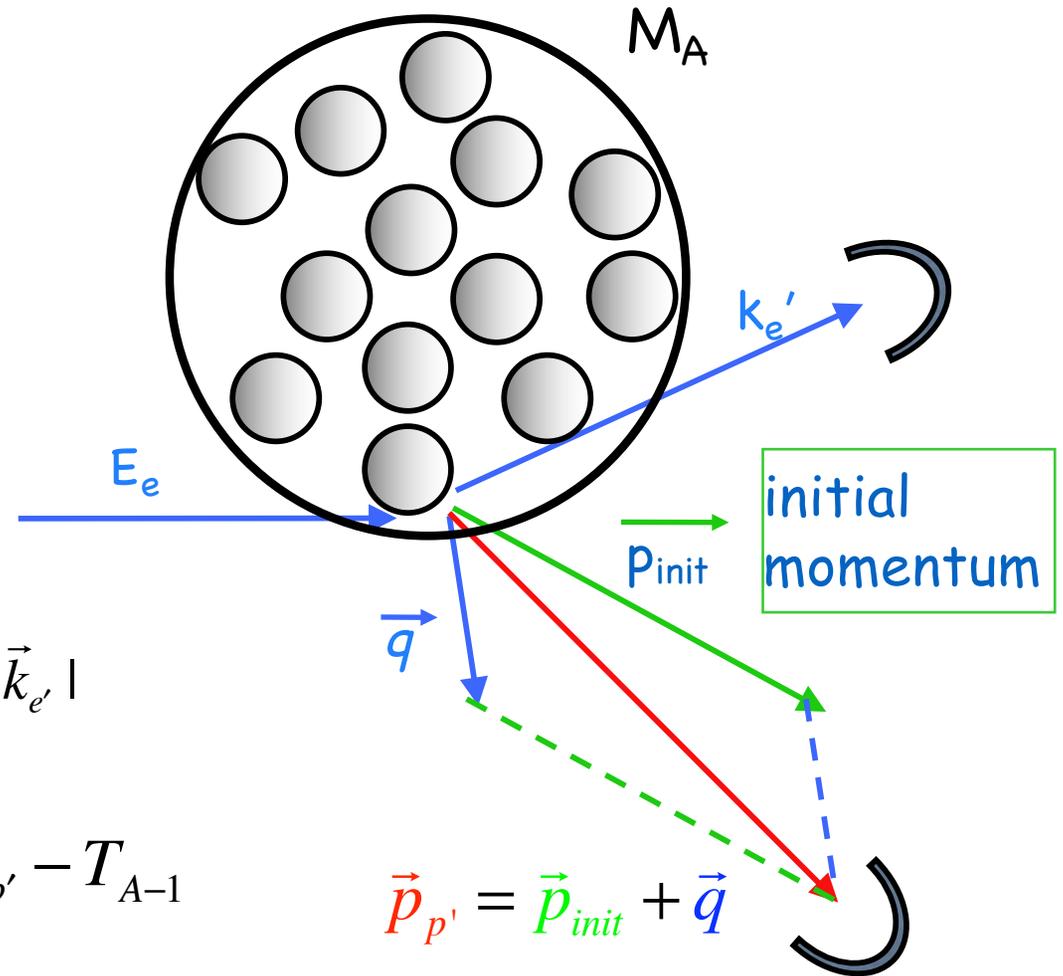
proton: $\vec{p}_{p'}$

scattered electron: $\vec{k}_{e'}$ $E_{e'} = |\vec{k}_{e'}|$

reconstructed quantities:

missing energy: $E_m = \nu - T_{p'} - T_{A-1}$

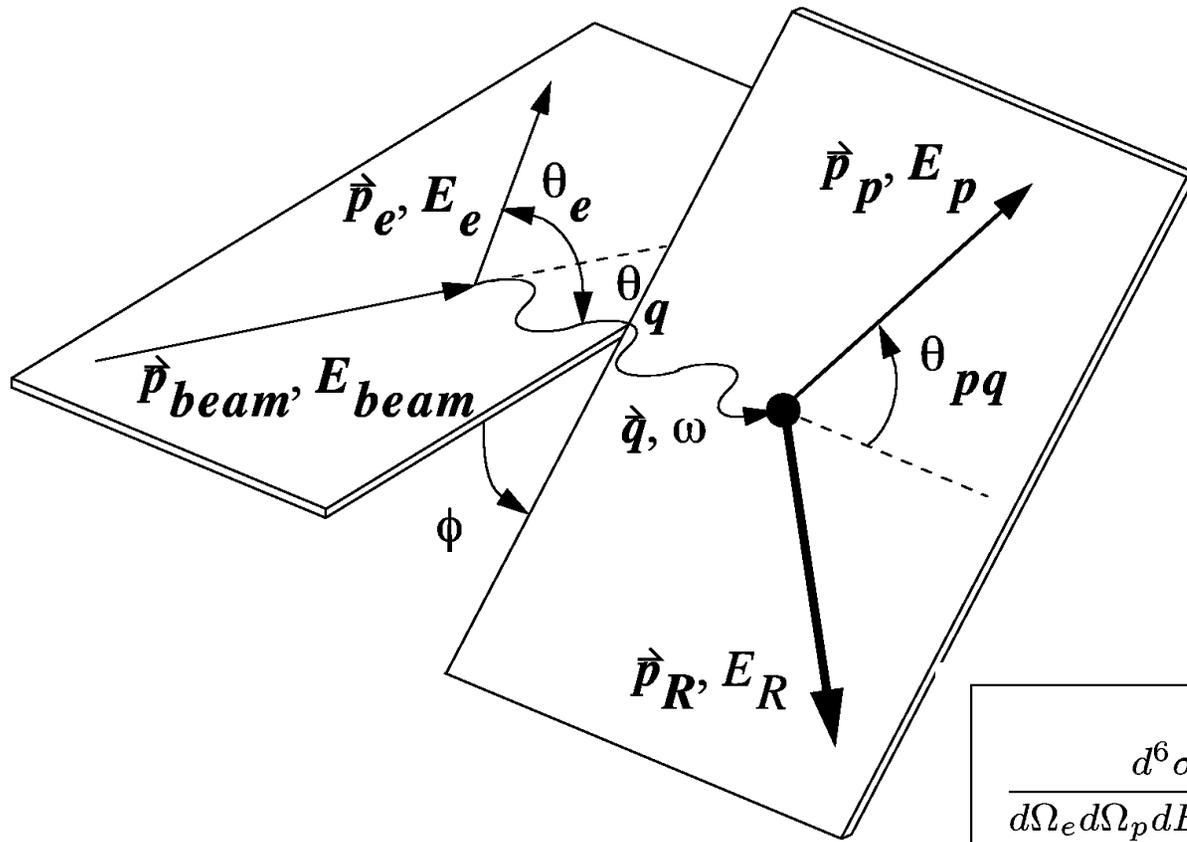
missing momentum: $\vec{p}_m = \vec{q} - \vec{p}_{p'}$



in Plane Wave Impulse Approximation (PWIA):

direct relation between measured quantities and theory:

$$|E| = E_m \quad \vec{p}_{init} = -\vec{p}_m$$



$$\frac{d^6\sigma}{d\Omega_e d\Omega_p dE_{miss} d\omega} = K \sigma_{Mott} [v_L \mathbf{R}_L + v_T \mathbf{R}_T + v_{LT} \mathbf{R}_{LT} \cos(\phi) + v_{TT} \mathbf{R}_{TT} \cos(2\phi)]$$

And then there were four
(response functions, that is)

(When you include electron and proton spin, there are 18. Yikes!)

(And if you scatter from a polarized spin-1 target, there are 41. Double Yikes!!)

where

K = (phase space)

σ_{Mott} = (relativistic Rutherford scattering)

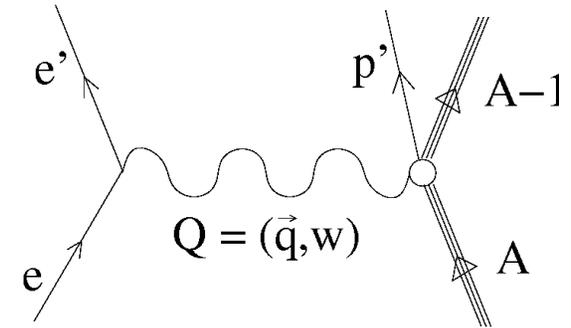
$v = v(q, \omega)$ (electron kinematics)

Each R now depends on more variables

$R = R(q, \omega, p_{miss}, E_{miss})$

(e,e'p) Plane Wave Impulse Approximation (PWIA)

1. Only one nucleon absorbs the virtual photon
2. That nucleon does not interact further
3. That nucleon is detected

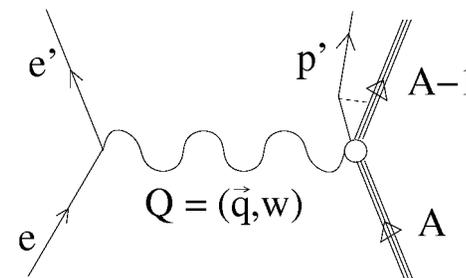


Cross section factorizes:

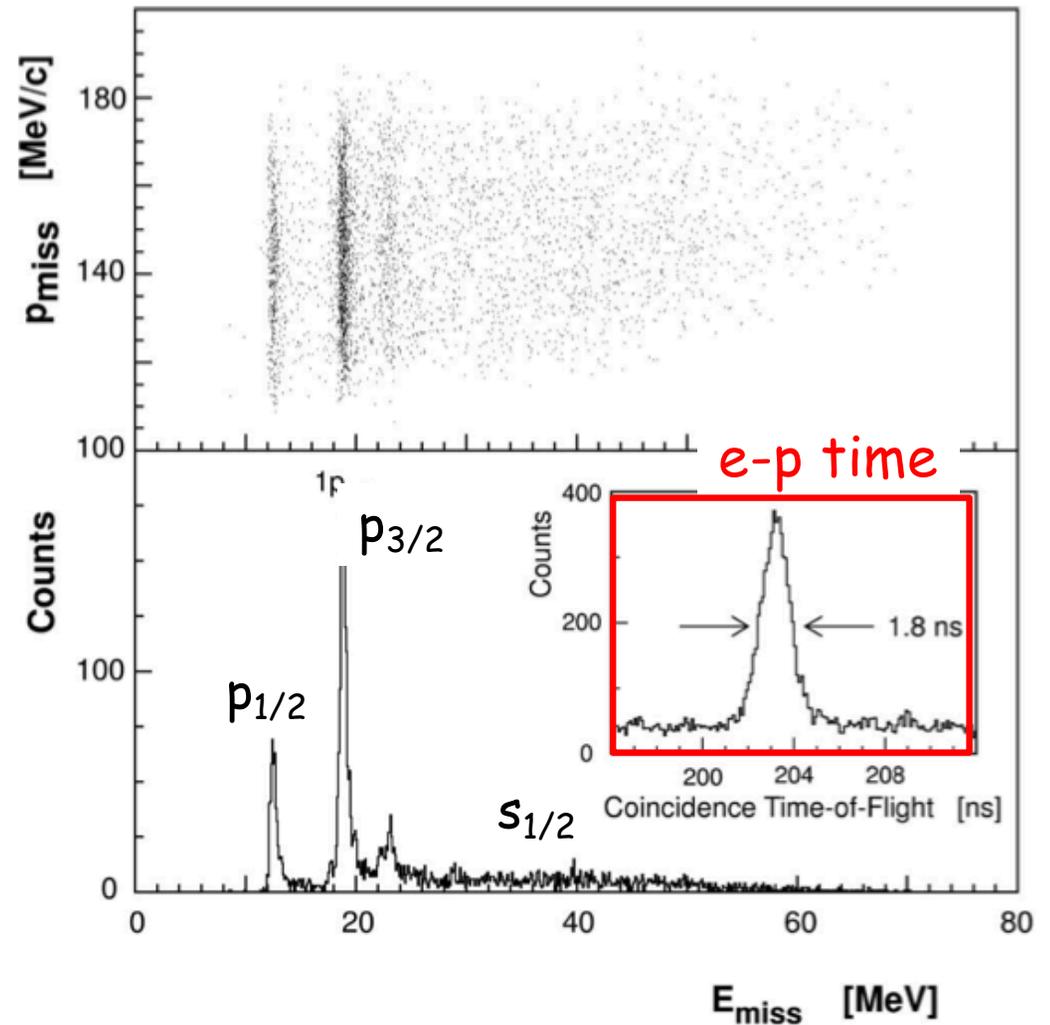
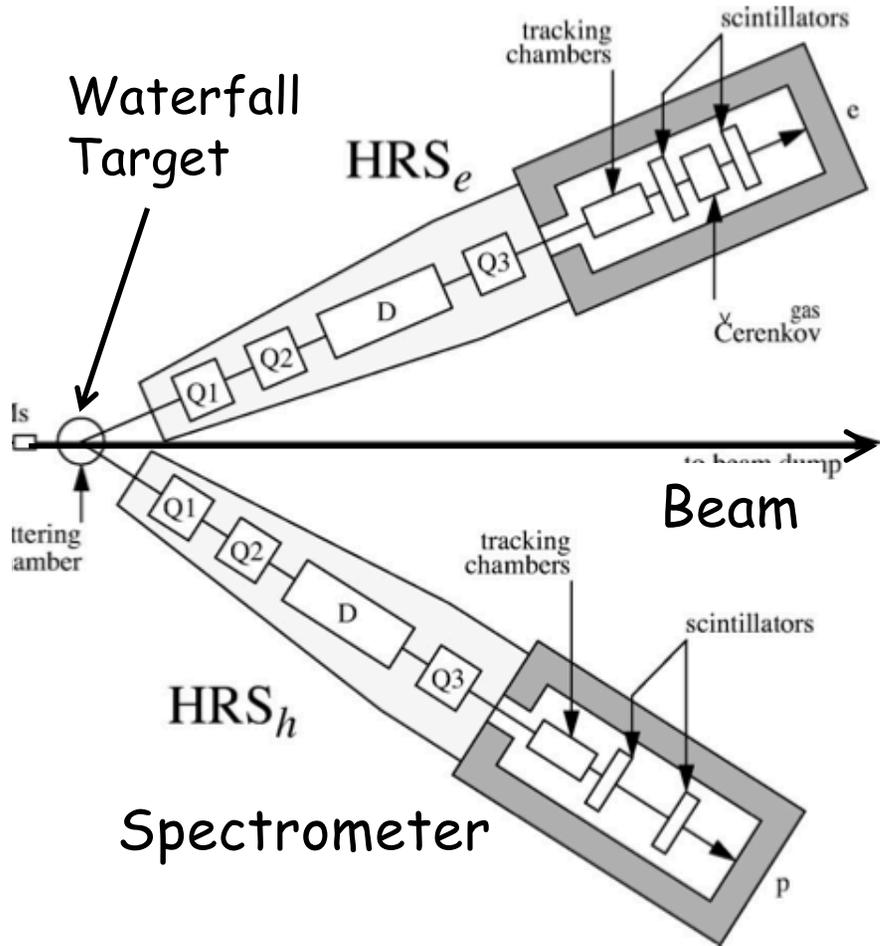
$$\frac{d\sigma^{fi}}{dE_1 d\Omega_1 dE_2 d\Omega_2} = KS(\vec{k}, E) \frac{d\sigma^{free}}{d\Omega}$$

Single nucleon pickup reactions [eg: (p,d), (d,³He) ...] are also sensitive to $S(p,E)$ but only sensitive to surface nucleons due to strong absorption in the nucleus

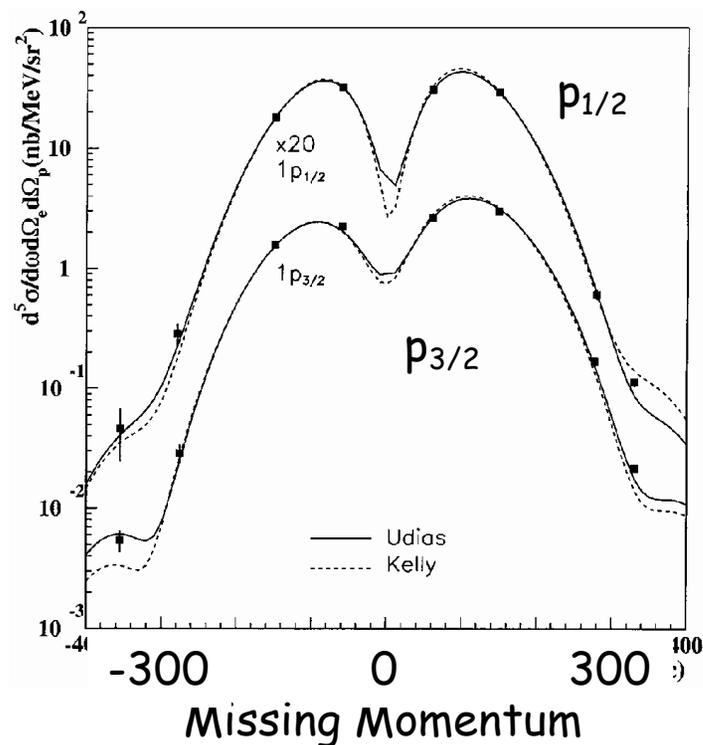
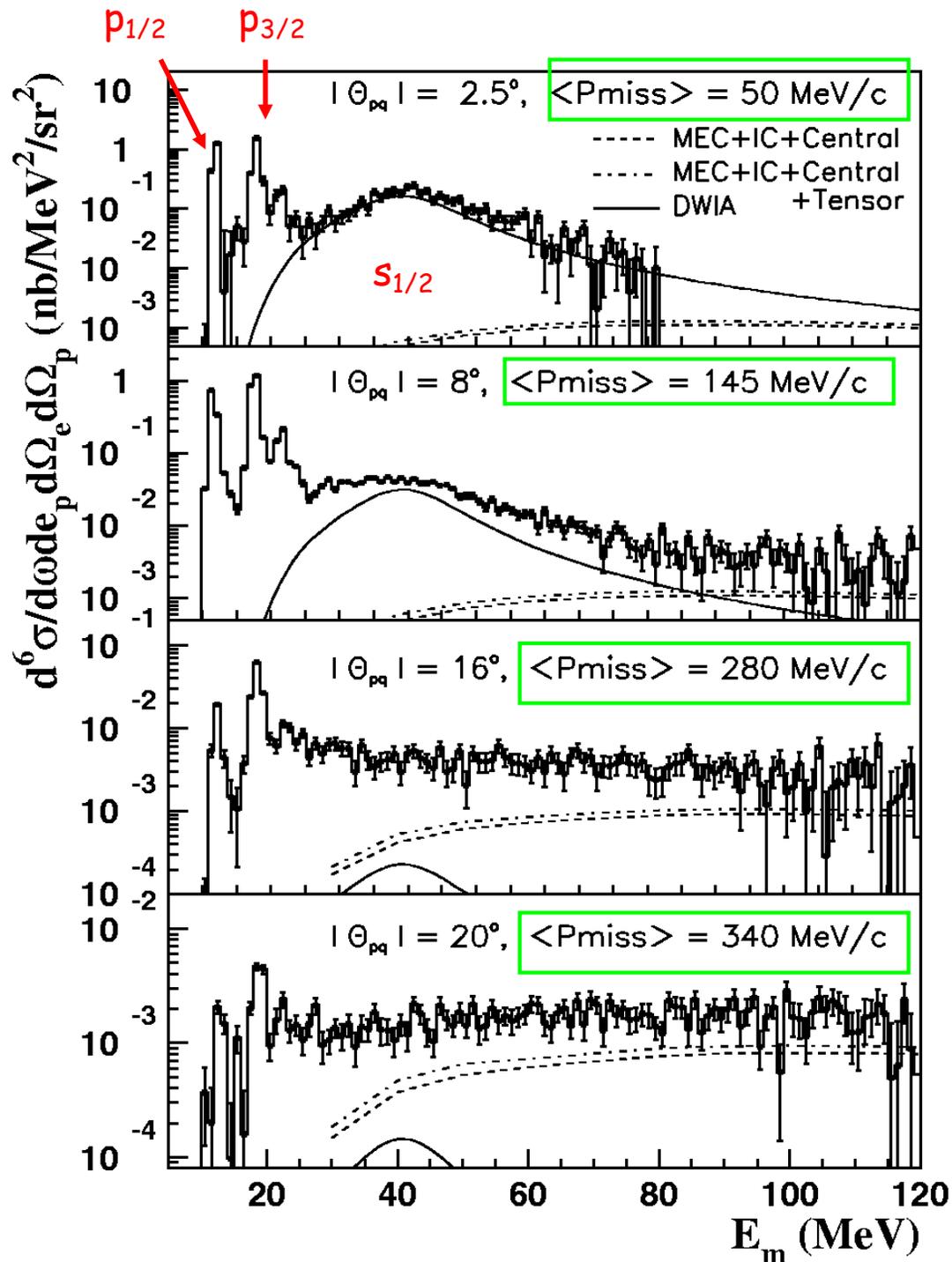
DWIA: If the struck nucleon interacts with the rest of the nucleus, then the cross section still factorizes (usually) but we measure a **distorted** spectral function.



Measuring $O(e,e'p)$ in Hall A



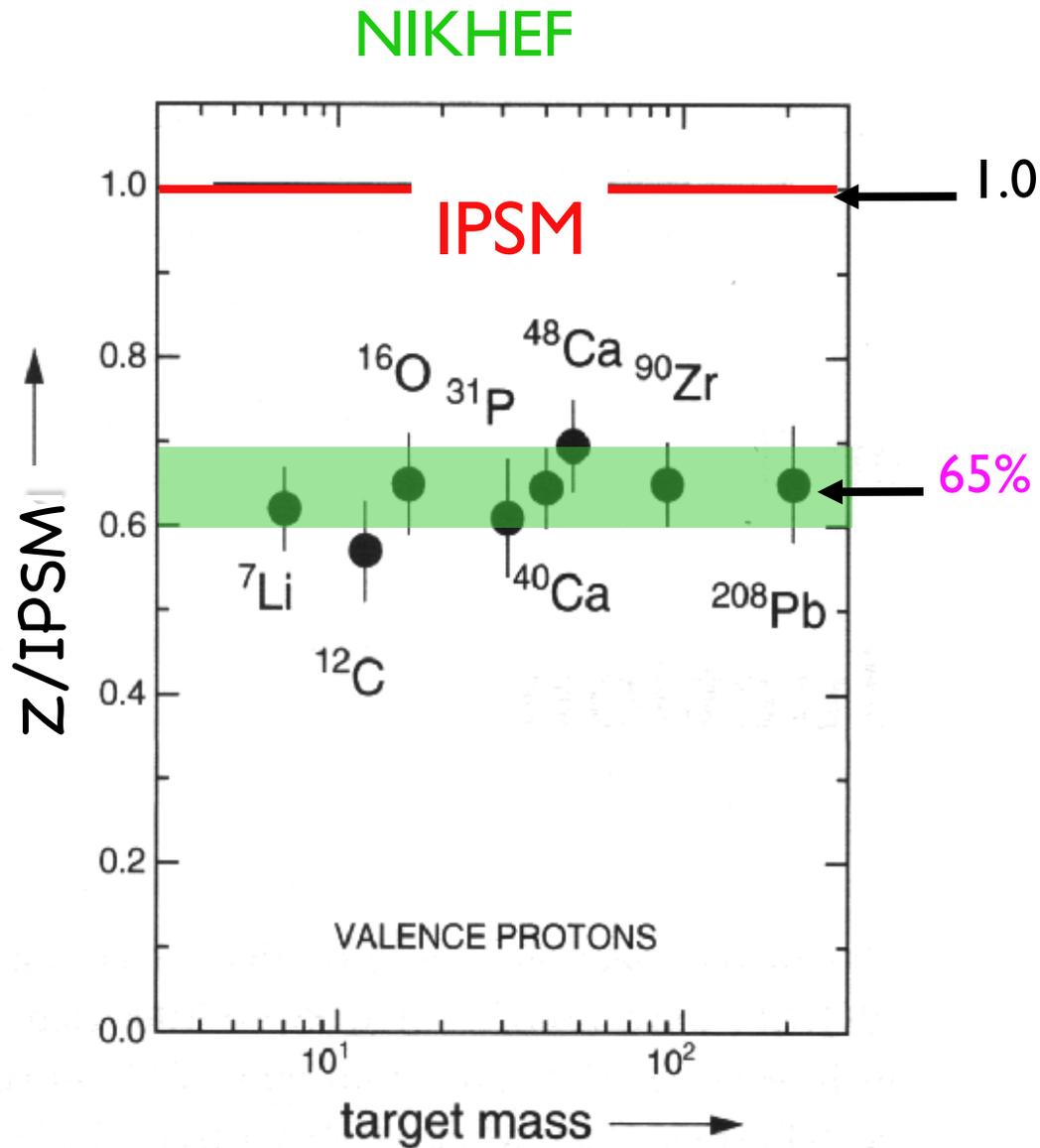
O(e,e'p) and shell structure



1p_{1/2}, 1p_{3/2} and 1s_{1/2} shells visible

Momentum distribution as expected for l = 0, 1

But we do not see enough protons!



(e,e'p) summary

- Measure shell structure directly
- Measure nucleon momentum distributions
- But:
 - Not enough nucleons seen!

Short Range Correlations (SRCs)

Mean field contributions: $p < p_{\text{Fermi}} \approx 250 \text{ MeV}/c$
 Well understood, **Spectroscopic Factors ≈ 0.65**

High momentum tails: $p > p_{\text{Fermi}}$
 Calculable for few-body nuclei,
 nuclear matter.

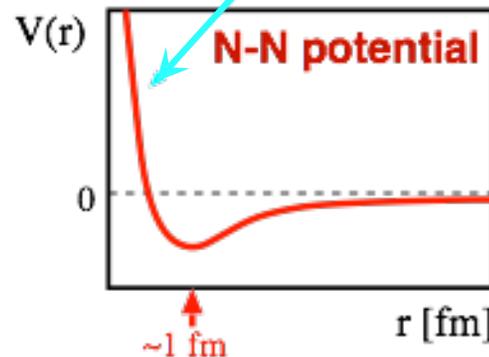
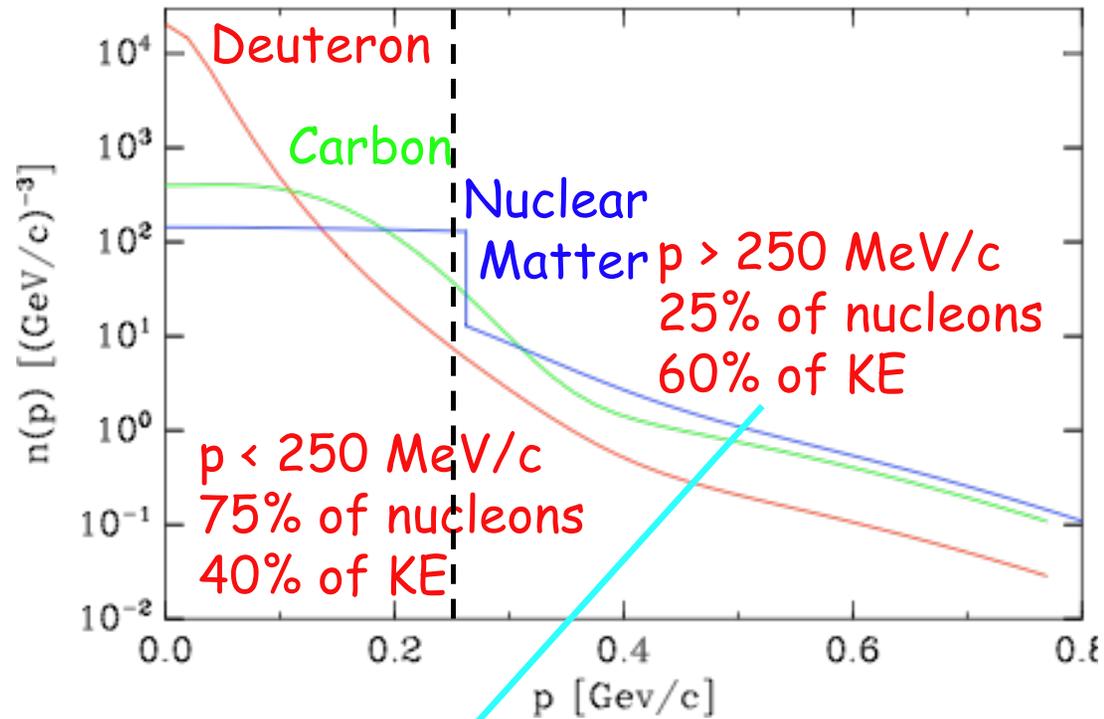
**Dominated by two-nucleon
 short range correlations**

Poorly understood part of
 nuclear structure

**NN potential models not
 applicable at $p > 350 \text{ MeV}/c$**

Uncertainty in SR interaction
 leads to uncertainty at $p > p_{\text{Fermi}}$,
 even for simplest systems

Nucleons are like people ...



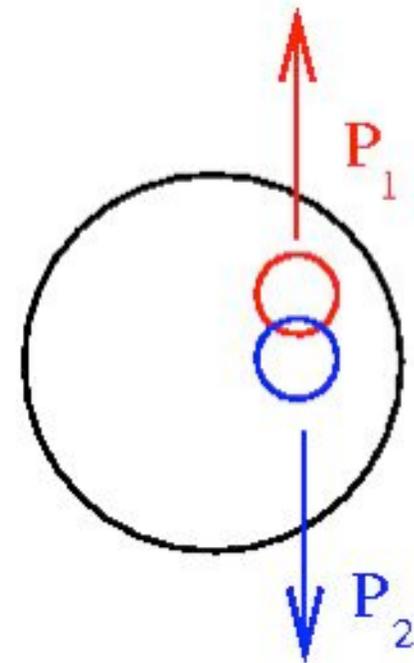
What are Correlations?

Average Two Nucleon Properties in the Nuclear Ground State

Not Two-Body Currents

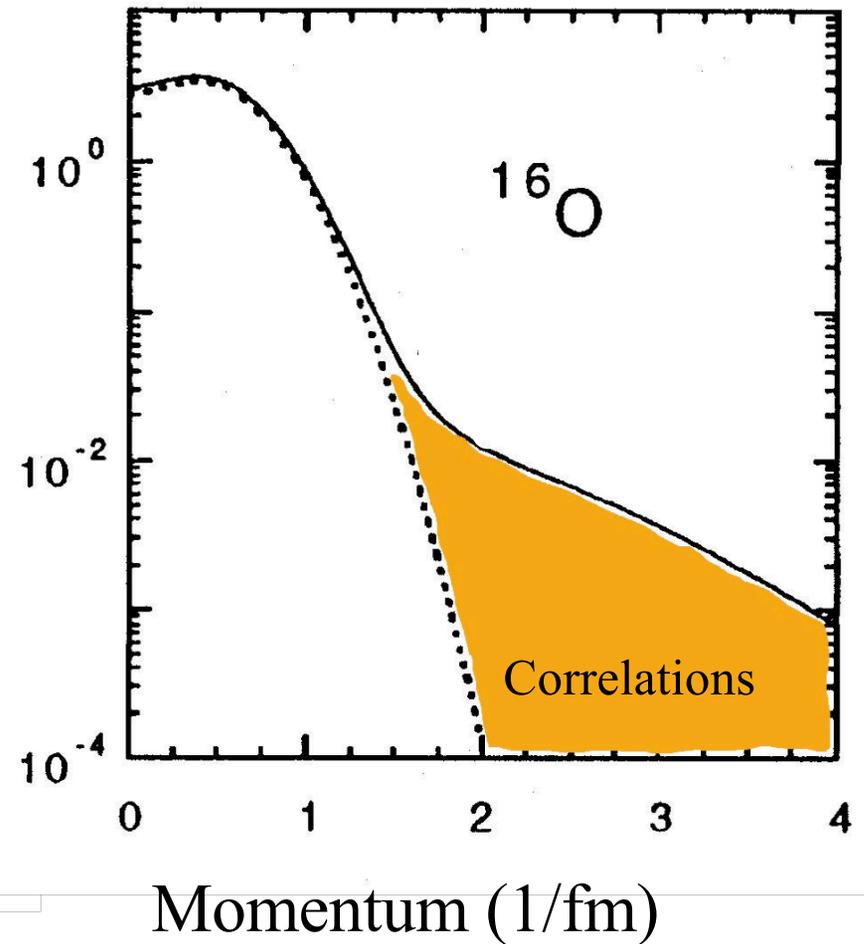
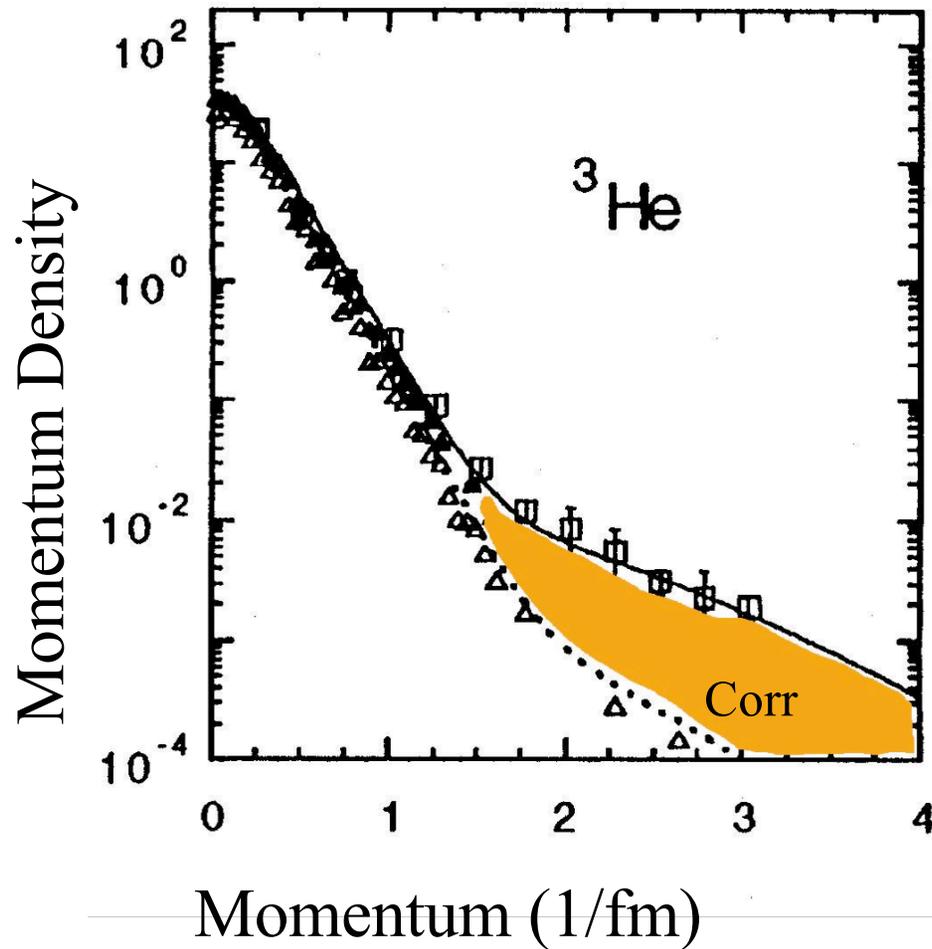
An Experimentalist's Definition:

- A high momentum nucleon whose momentum is balanced by one other nucleon
 - NN Pair with
 - Large Relative Momentum
 - Small Total Momentum
- Whatever a theorist says it is



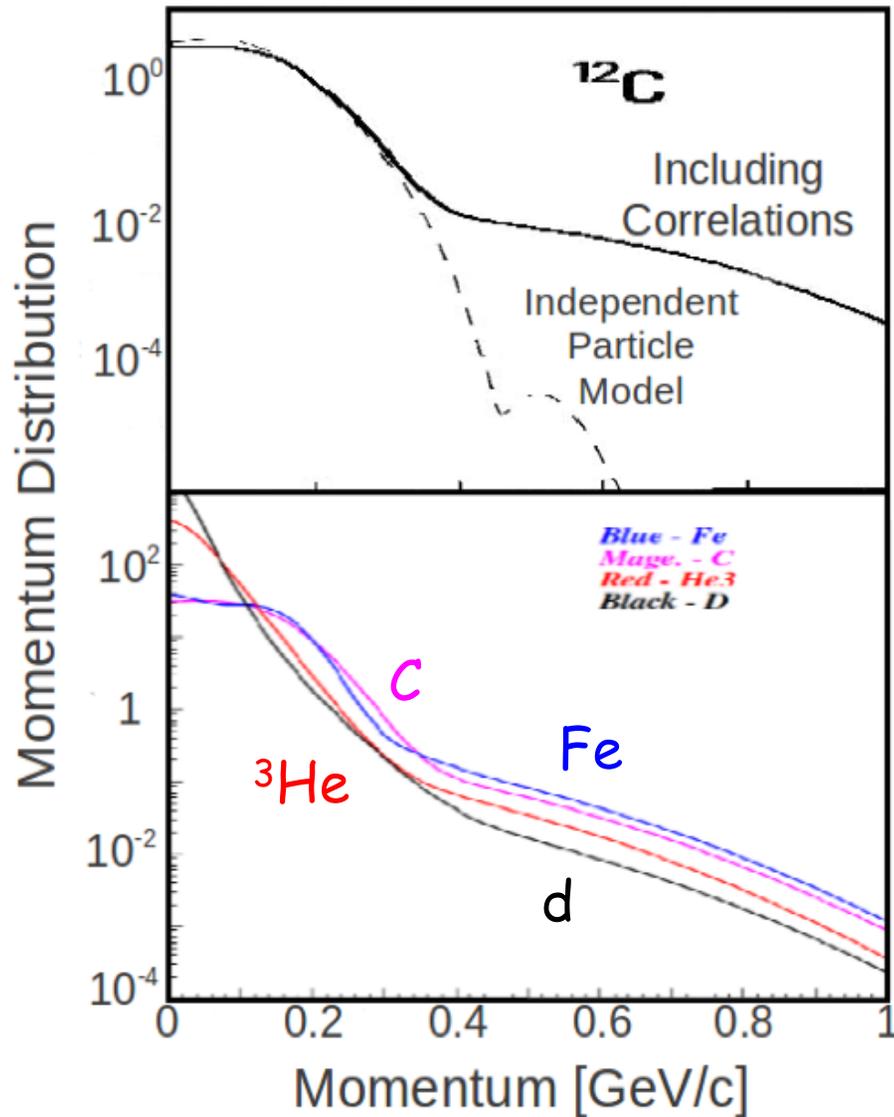
Why are Correlations Interesting?

Responsible for high momentum part of Nuclear WF



Ciofi degli Atti, PRC 53 (1996) 1689

Correlations should be universal



Many-body calculations predict that the high momentum distribution for all nuclei has the same shape:

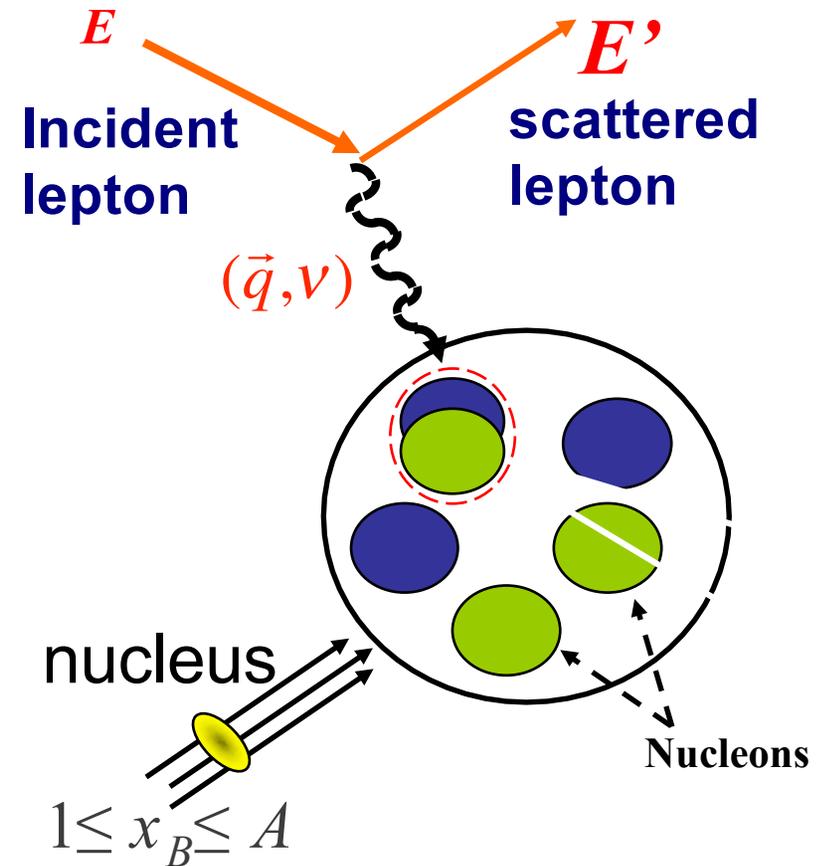
$$n_A(k)/n_d(k) = a_2(A/d)$$

O. Benhar, Phys Lett B **177** (1986) 135

C. Ciofi degli Atti, Phys Rev C **53** (1996) 1689.

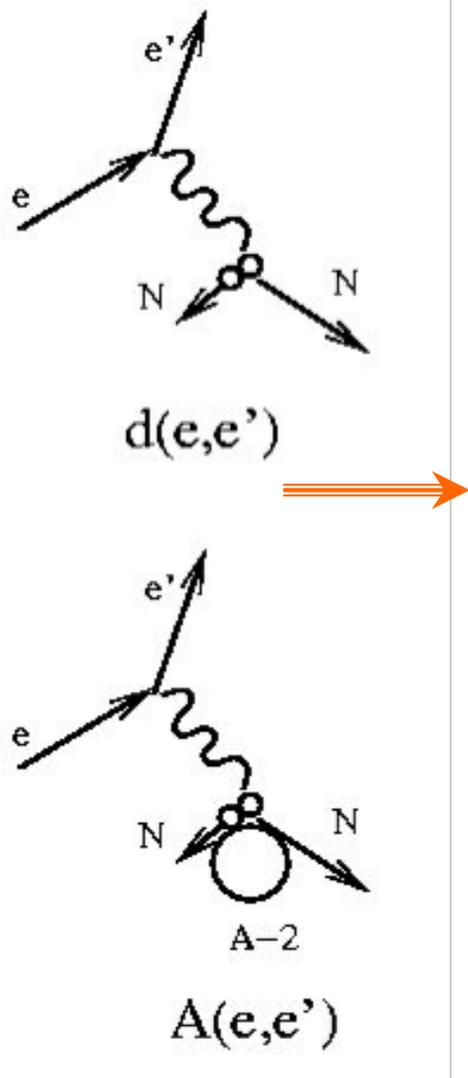
Inclusive Electron Scattering at $x_B > 1$

- At fixed Q^2 , x_B determines a minimum initial momentum for the scattered nucleon (remember y -scaling?)
- If the momentum distributions of two nuclei have the same shape, then the ratios of their cross sections should be flat

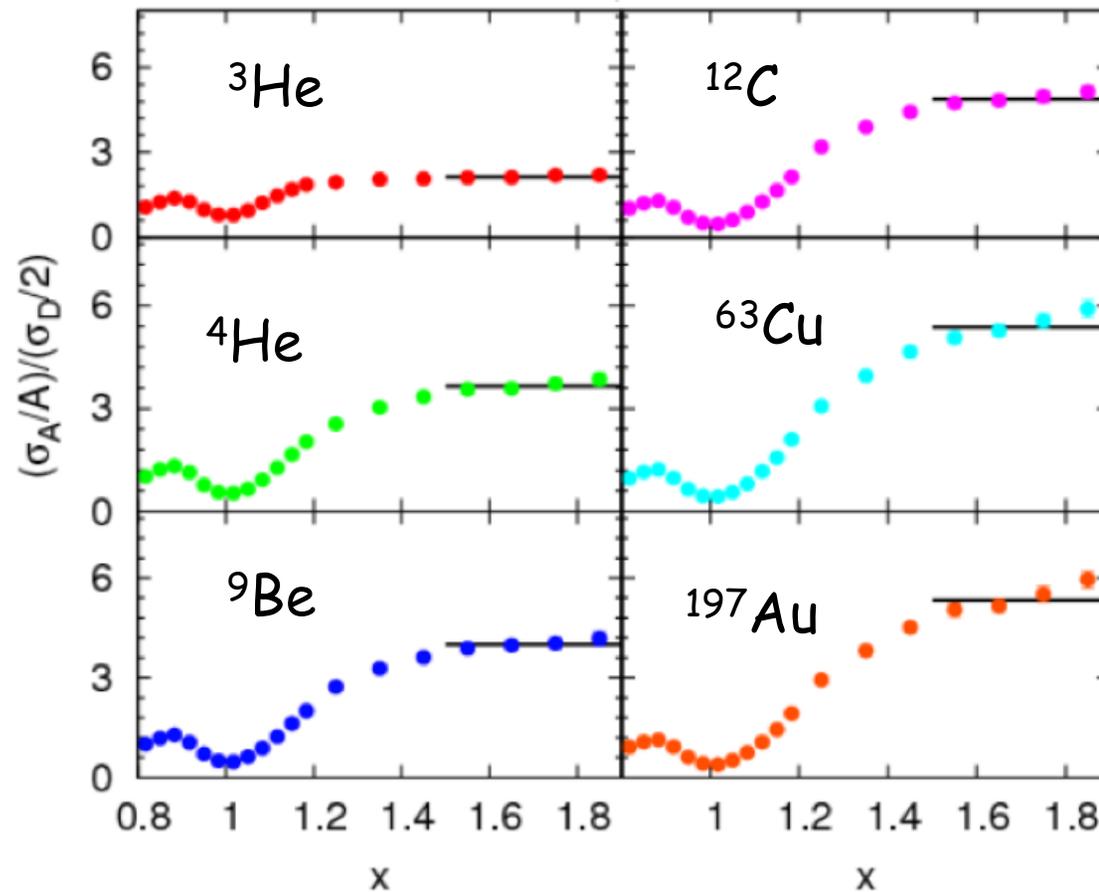


momentum scaling $\leftrightarrow x_B$ scaling

Correlations are Universal



Ratio to deuterium: $(2/A) \sigma_A / \sigma_d$



$\alpha_{2N} \approx 20\%$

$\alpha_{3N} \approx 1\%$

Scaling (flat ratios) indicates a common momentum distribution.

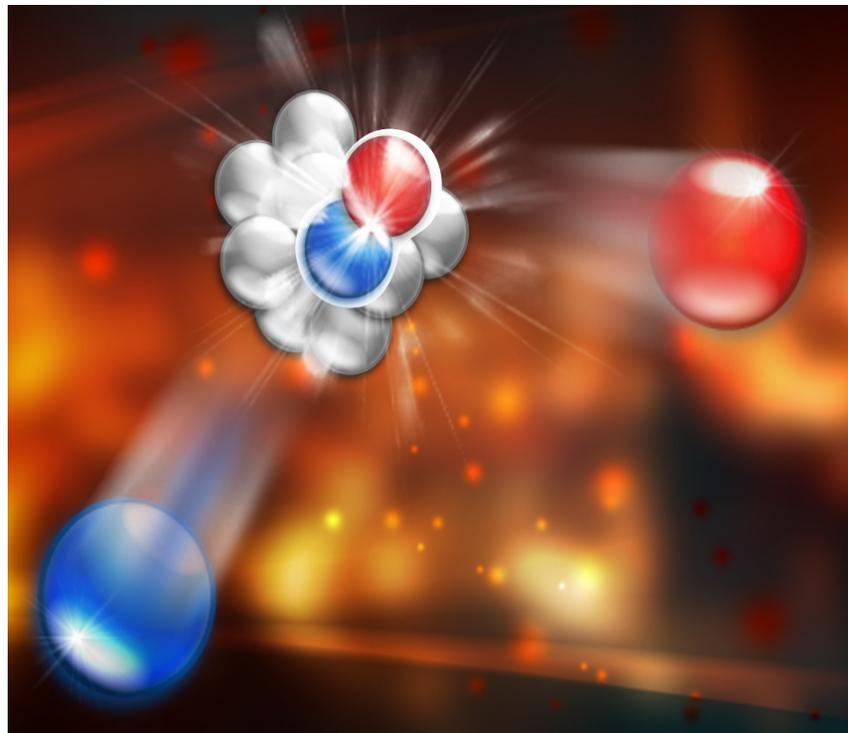
$1 < x < 1.5$: dominated by different mean field $n(k)$

$1.5 < x < 2$: dominated by 2N SRC $n(k)$

Day et al, PRL **59**, 427 (1987)
 Frankfurt et al, PRC **48** 2451 (1993)
 Egiyan et al., PRL **96**, 082501 (2006)
 Fomin et al., PRL **108**, 092502 (2012)

Short Range Correlations (SRC)

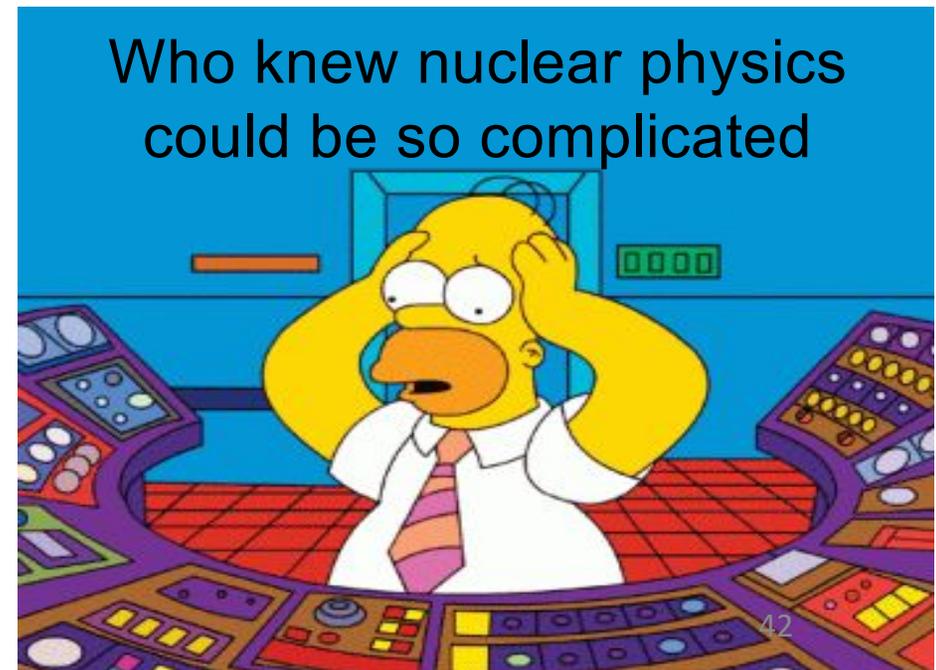
- 2N-SRC are pairs of nucleons that:
 - Are close together (overlap) in the nucleus.
 - Have high relative momentum and low center-of-mass (cm) momentum, where high and low is compared to the Fermi momentum of the nucleons (≈ 250 MeV/c in heavy nuclei)



Exclusive SRC Studies

$A(e, e'pN)$: detect electron + two nucleons

- Pros: Measure the both nucleons to characterize the 2N-SRC pairs
- Cons:
 - Interpretation difficulties:
 - Competing processes,
 - Final State Interactions (FSI)
 - Transparency.
 - Experimental difficulties:
 - Large backgrounds,
 - Low rates,
 - Large installation,
 - Dedicated detectors

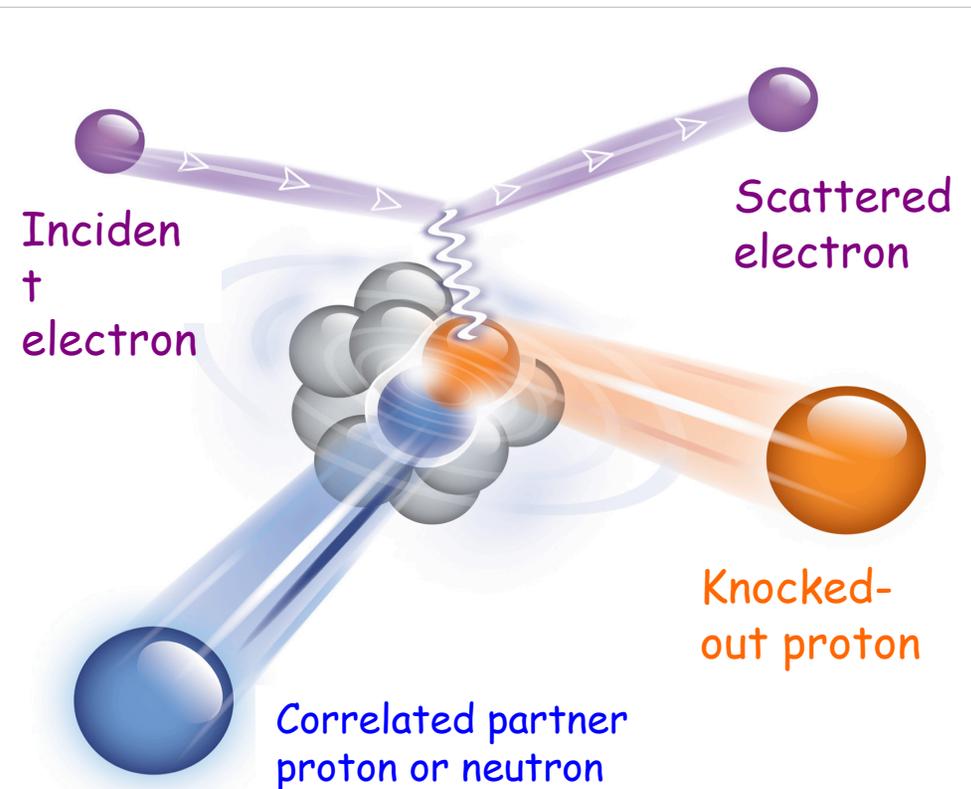


Exclusive SRC Studies

$A(e,e'pN)$: detect electron + two nucleons

Measurement Concept:

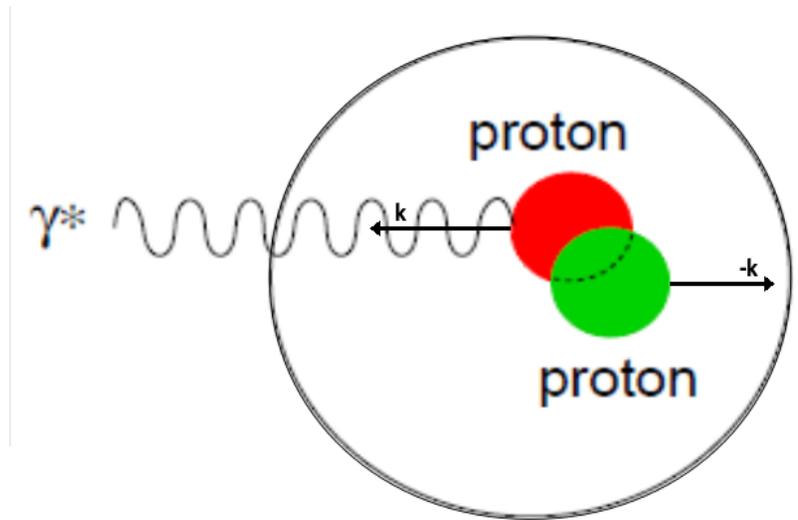
1. Hit a high momentum proton hard ($Q^2 > 1 \text{ GeV}^2$)
2. Reconstruct the initial (missing) momentum of the struck nucleon
3. Look for a recoil nucleon with momentum that balanced that of the struck proton



$$\vec{p}_{miss} = \vec{q} - \vec{p}_p = -\vec{p}_{initial}$$

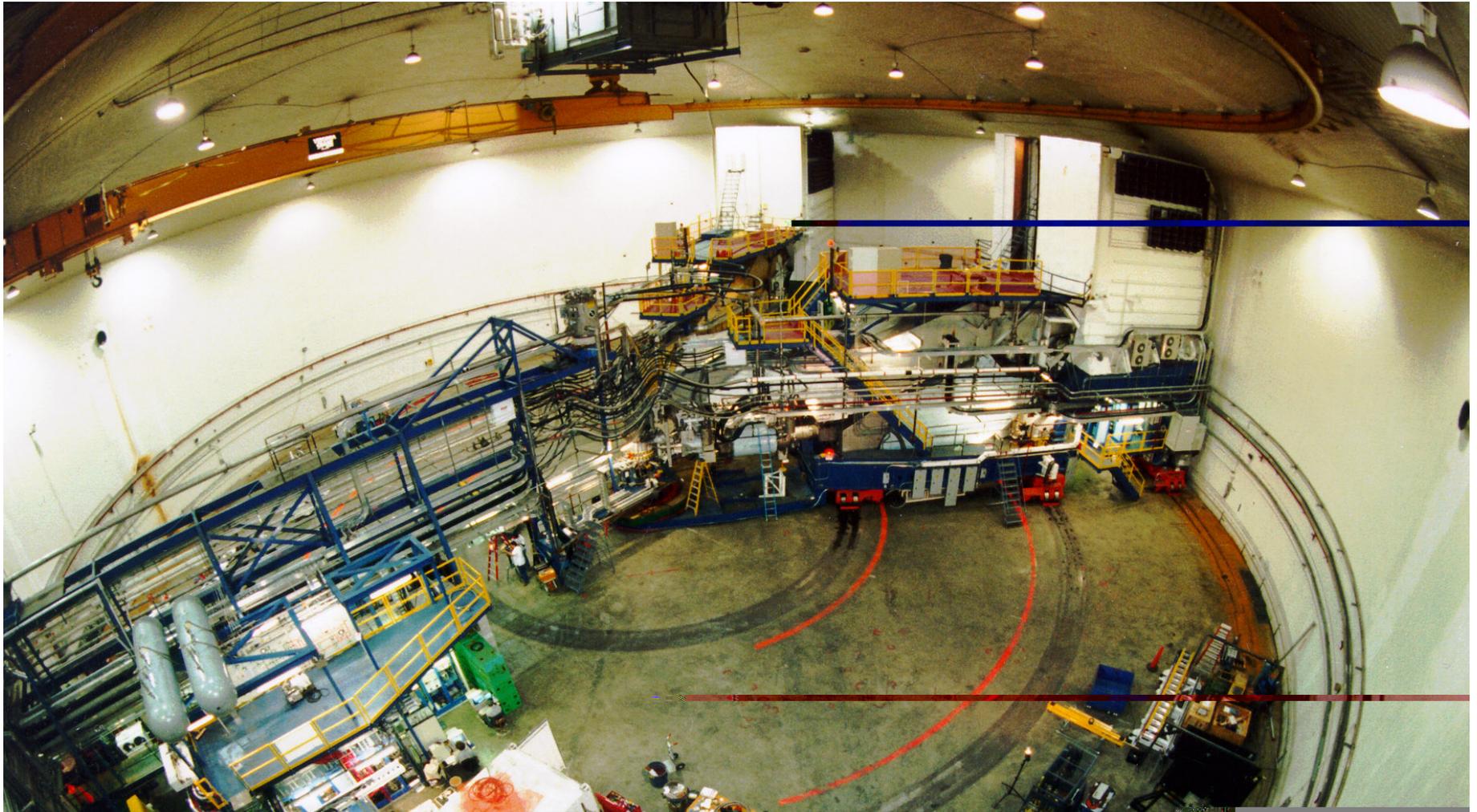
JLab Hall-A E01-015 (2004)

- Goal: Study both **pn** and **pp SRC** in ^{12}C over an $(e,e'p)$ missing momentum range of 300-600 MeV/c



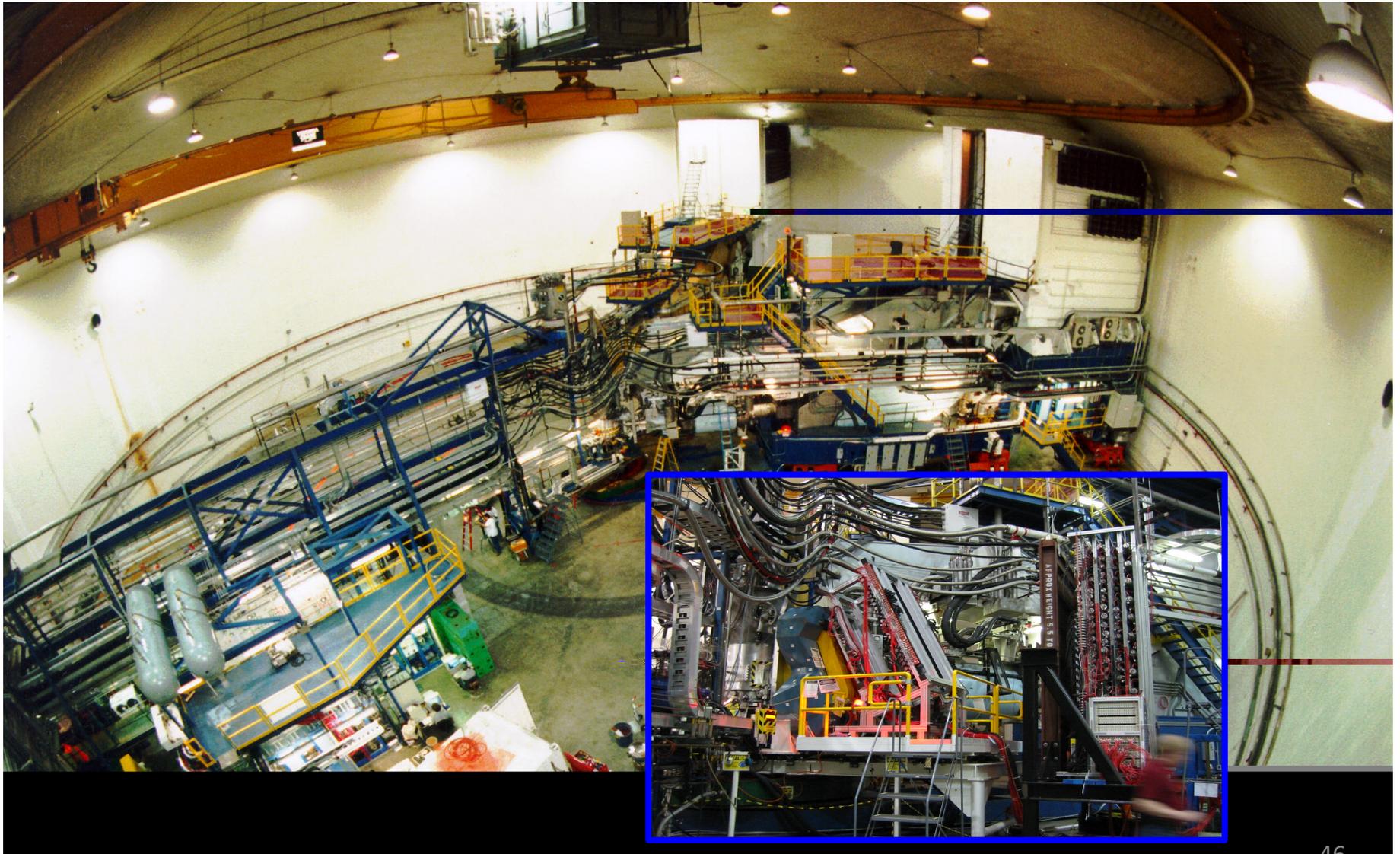
JLab Hall-A

Physicists Tend To Fill Empty Space[©]



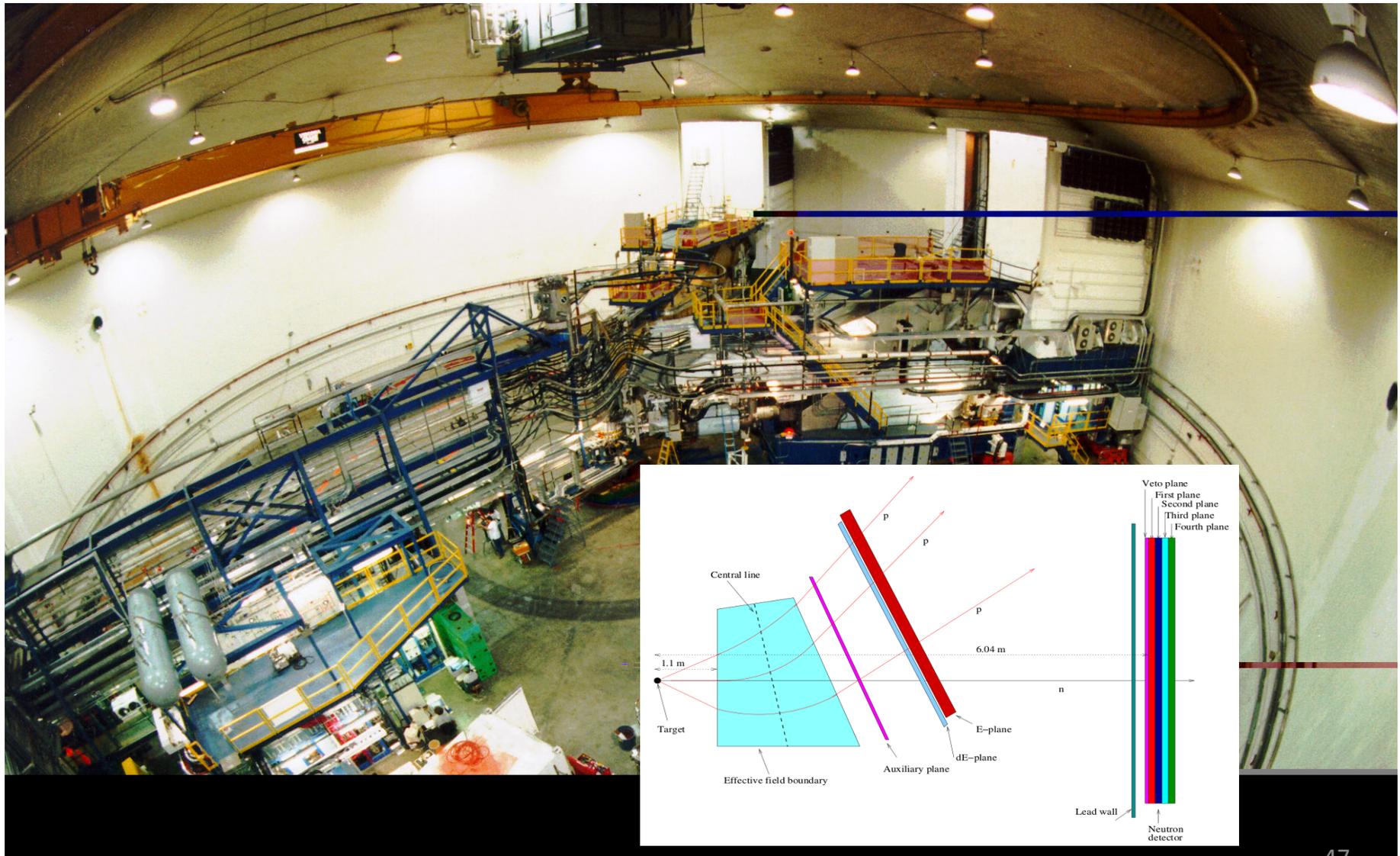
JLab Hall-A E01-015

Physicists Tend To Fill Empty Space[©]



JLab Hall-A E01-015

Physicists Tend To Fill Empty Space[©]

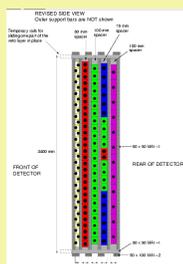
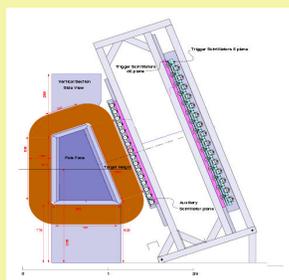
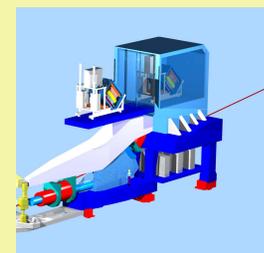
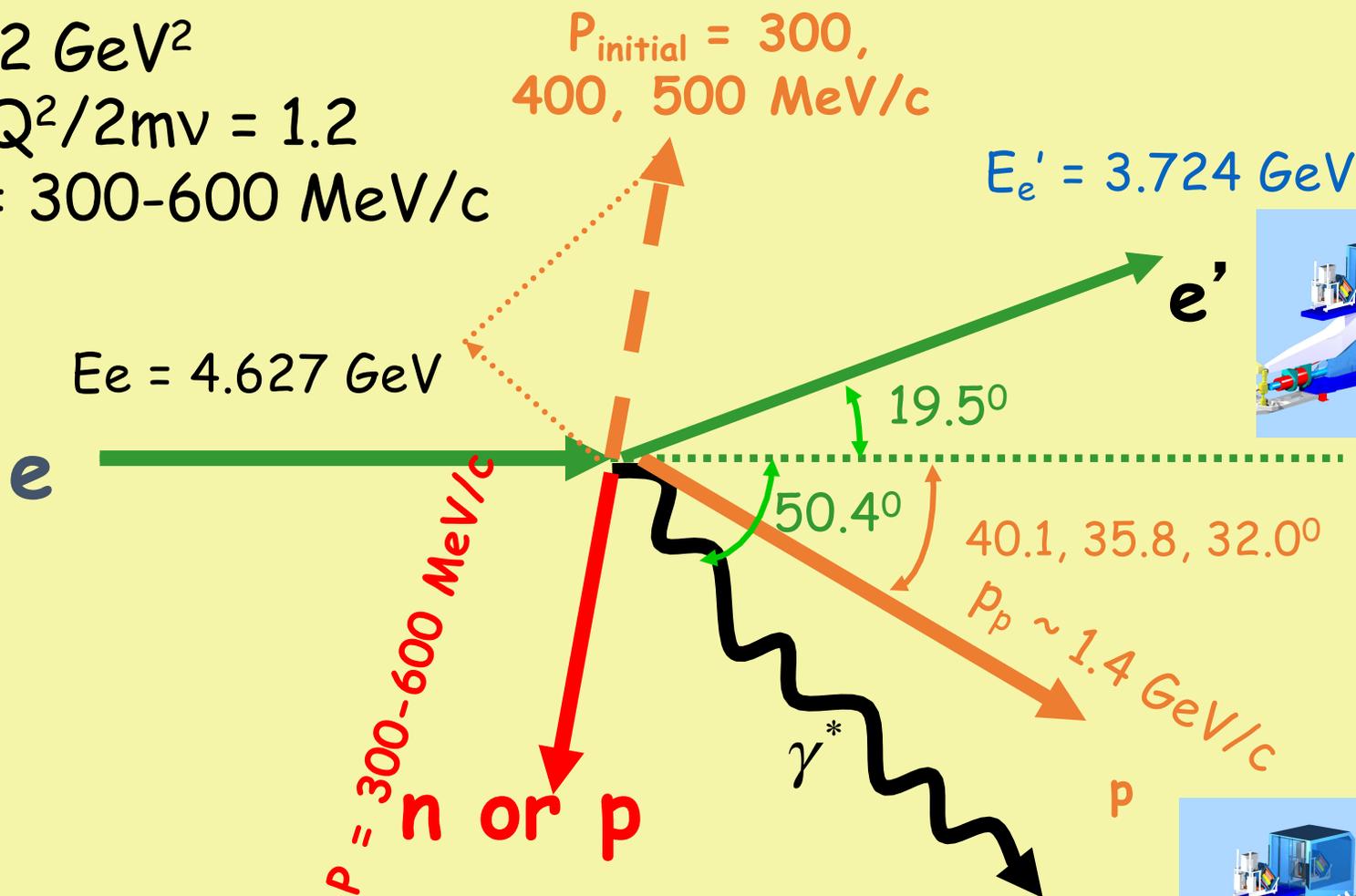


Now detect yet another nucleon JLab Hall A C(e,e'pN) - selected kinematics

$$Q^2 = 2 \text{ GeV}^2$$

$$x_B = Q^2/2m\nu = 1.2$$

$$P_{\text{miss}} = 300-600 \text{ MeV}/c$$

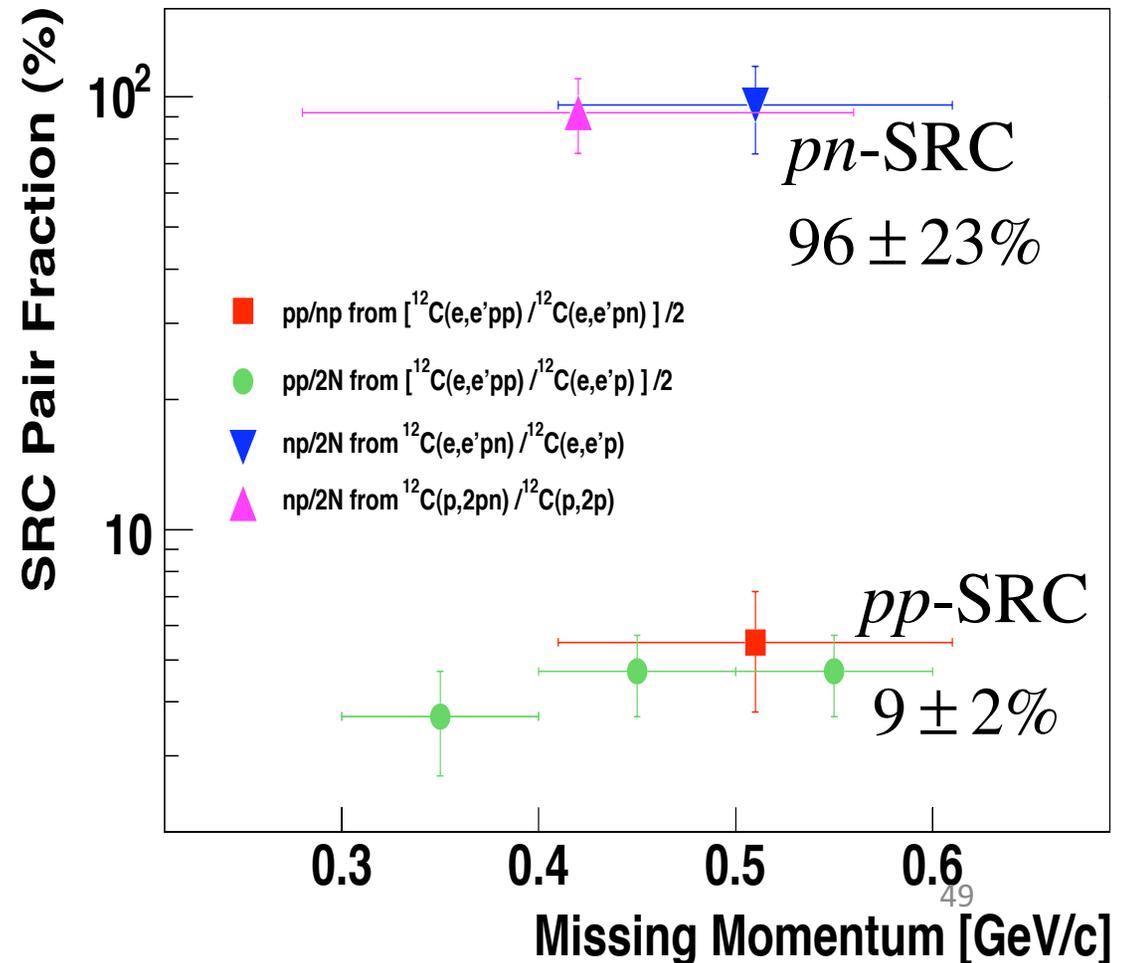


Detect the proton,
look for its partner nucleon

JLab Hall-A E01-015

[*pn and pp SRC Probabilities and the pp/np ratio*]

- The $(e,e'pN)/(e,e'p)$ ratio gives the probability for a high momentum proton to be part of a pN -SRC pair.
- **All** high p_{initial} protons have a correlated partner
- np pairs dominate
 - Importance of tensor force at $0.3 < p_{\text{initial}} < 0.6$ GeV/c

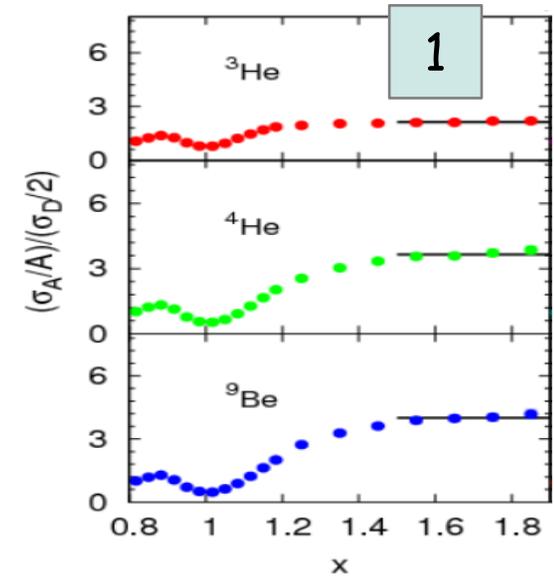


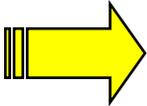
2N-SRC from inclusive and exclusive measurements

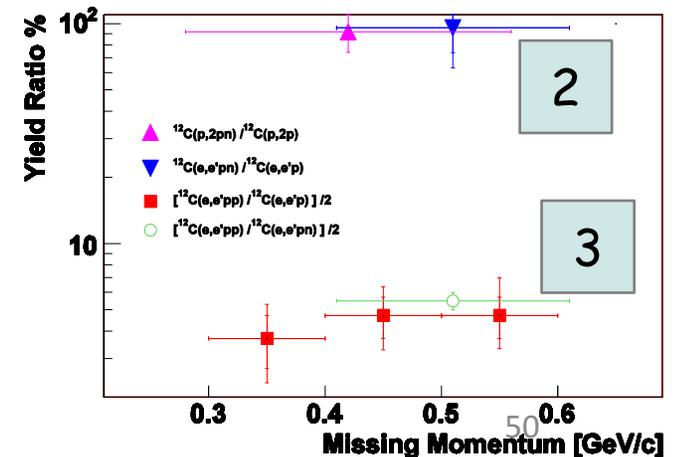
1 The probability for a nucleon to have $p \geq 300$ MeV/c in medium nuclei is 20-25%

2 More than $\sim 90\%$ of all nucleons with $p \geq 300$ MeV/c belong to 2N-SRC.

3 2N-SRC dominated by np pairs



1
2  $\sim 80\%$ of kinetic energy of nucleon in nuclei is carried by nucleons in 2N-SRC.



Quasielastic summary: (e,e') , $(e,e'p)$ and $(e,e'pN)$

- (e,e') scaling shows the electron is (mostly) scattering from single nucleons
- (e,e') ratios measure the probability of short range correlations (SRC) in nuclei
- $(e,e'p)$ measures E and p distributions of single nucleons
- $(e,e'pN)$ measures E and p distributions of nucleon pairs

The nucleus:

60-70% single particle - E + p dists measured

20±5% SRC - starting to measure

10-20% LRC